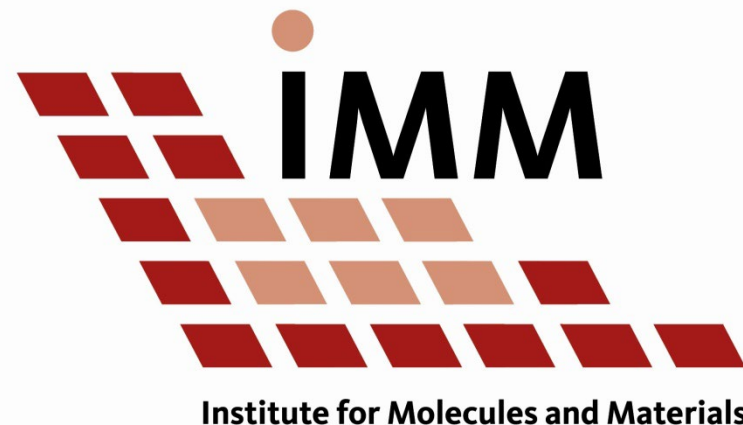


# Radboud Universiteit Nijmegen



## *From first principles to magnetic Hamiltonians and spin dynamics*

Mikhail Katsnelson



# *Outline*

1. Introduction
2. Time-dependent DFT and magnetic susceptibility
3. Exchange interactions from first principles
4. Beyond DFT: correlated systems and LDA+DMFT
5. Applications: Fe, Ni, Gd, NiO, CrO<sub>2</sub>...
6. Dzyaloshinskii-Moriya interactions
7. Application: Molecular magnets
8. Outlook

# *Epigraphs*

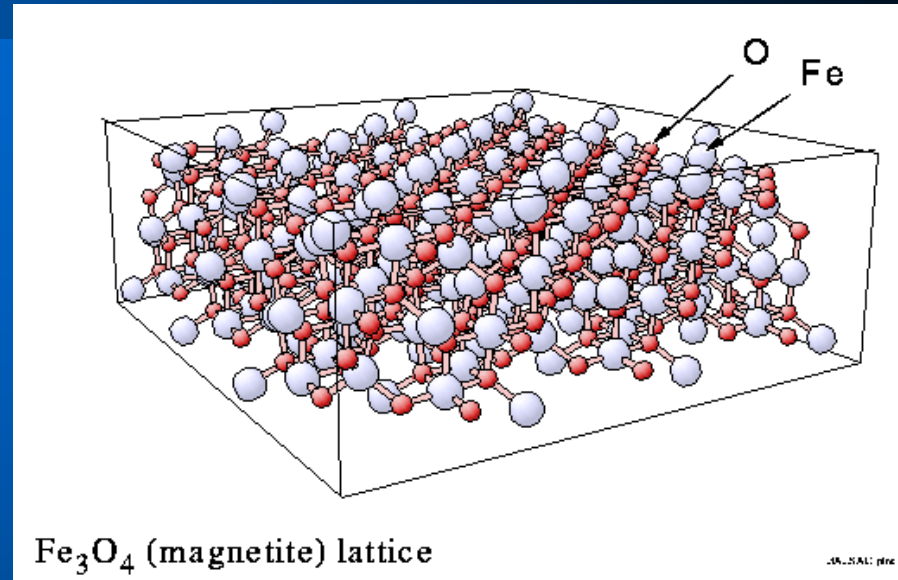
*To the theoretical physicists, ferromagnetism presents a number of very interesting, unsolved and beautiful challenges. Our challenge is to understand why it exists at all.*

*(Feynman Lectures on Physics)*

*Make things as simple as possible but not simpler*

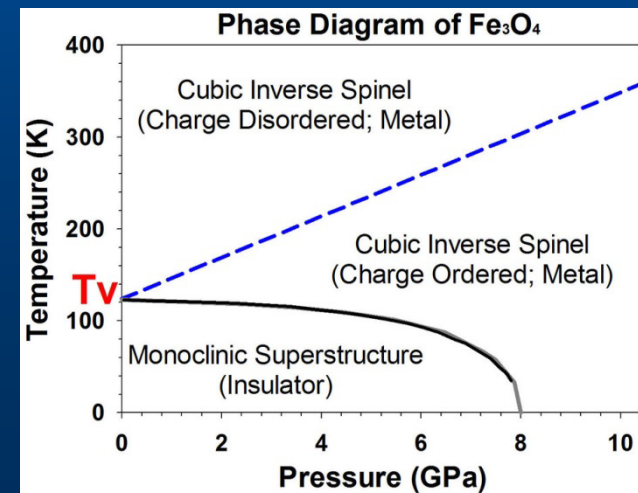
*(A. Einstein)*

# *Magnetite – first known magnet*



*Very complicated structure, still a lot of open questions*

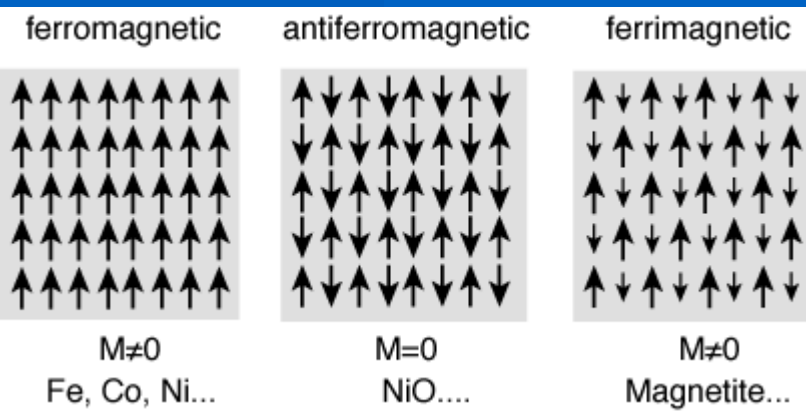
*Two types of Fe sites (tetra and octa);  
Metal-insulator transition;  
Charge ordering;  
Role of orbital degrees of freedom;  
Half-metallicity...*





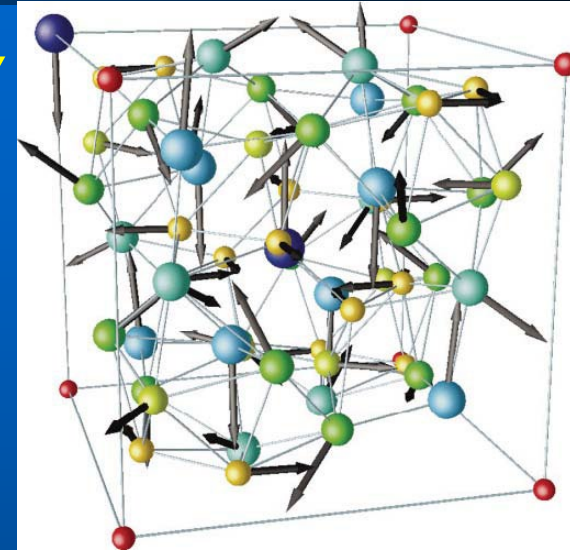
# Types of magnetic ordering

## Textbook wisdom

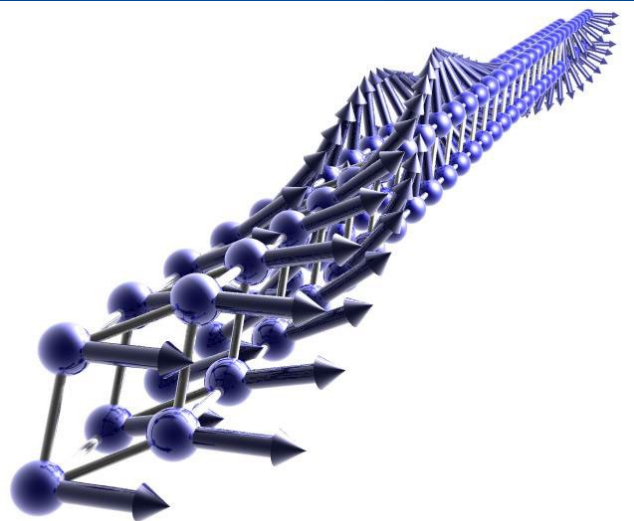


Sometimes very complicated

$\alpha$ -Mn

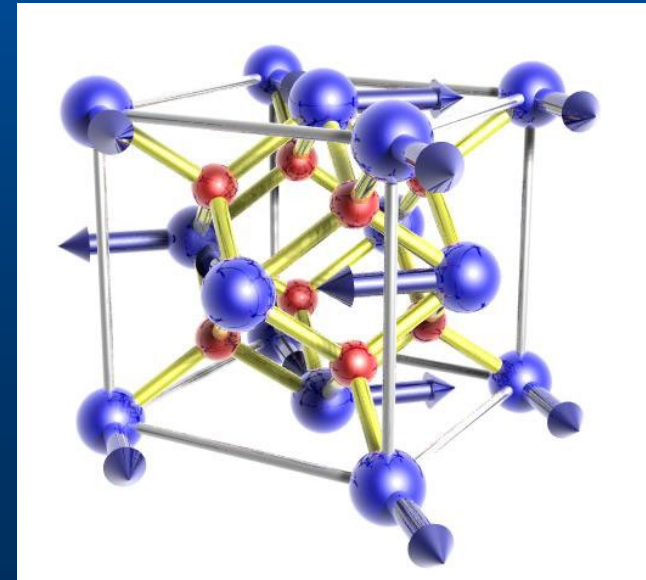


## Spin spirals

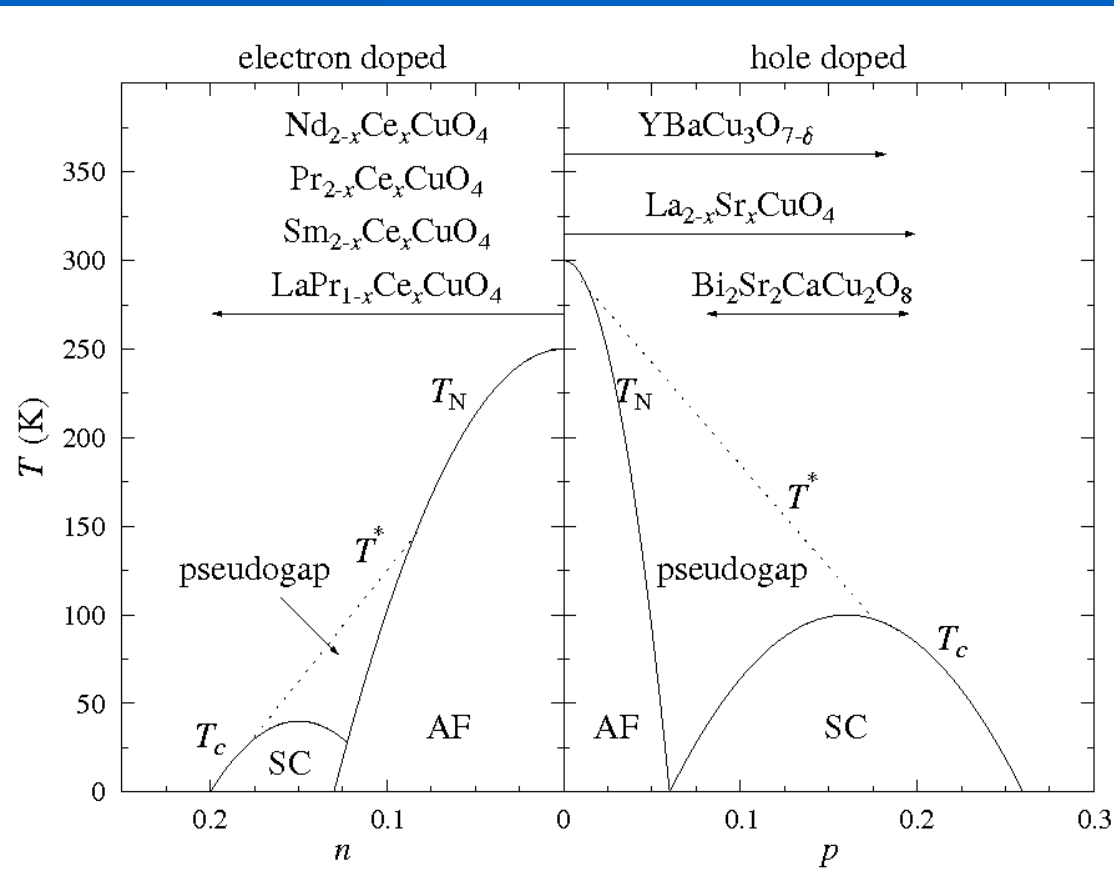


$\gamma$ -Fe

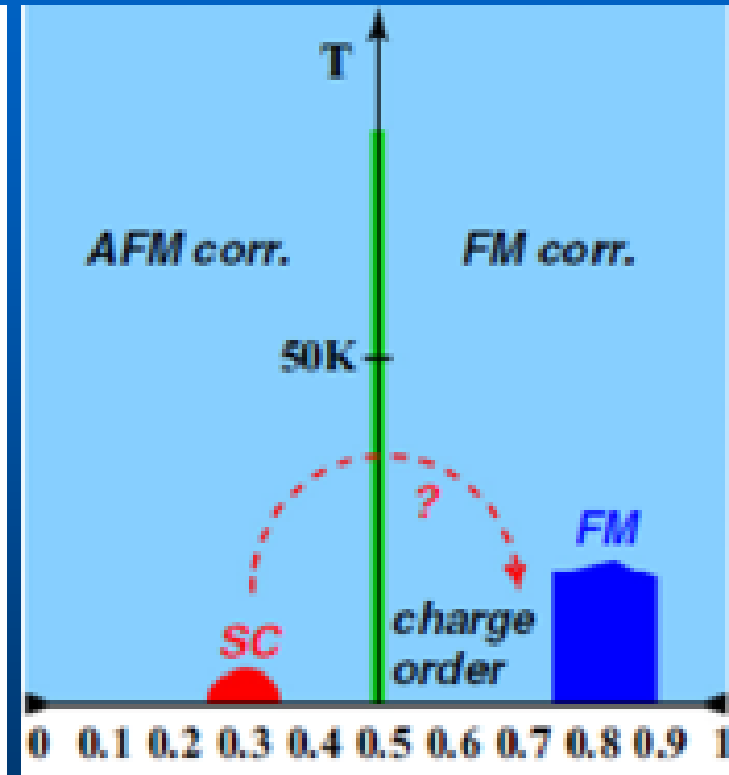
$UO_2$



# *Relation to superconductivity and other phenomena*



*Simplified phase diagram of Cu-O  
high- $T_c$  superconductors*



*Layered cobaltates  
 $\text{Na}_x\text{CoO}_2$*

# *Types of magnetic interactions*

$$\hat{H} = \sum_{ij} J_{ij} \hat{\mathbf{S}}_i \hat{\mathbf{S}}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{\mathbf{S}}_i \times \hat{\mathbf{S}}_j]$$

*The first term: **exchange interactions** (Heisenberg model)  
Quantum, nonrelativistic (Coulomb interaction plus Pauli principle).  
Determine the type of magnetic ordering (mostly)*

*The second term: **magnetic anisotropy**  
Quantum, relativistic (due to spin-orbit interaction). At least,  
second-order in SOC. Determine “practical” magnetism (hard  
and soft magnetic materials, hysteresis loop, etc.)*

*The third term: **Dzyaloshinskii-Moriya interactions**  
Quantum, relativistic (due to spin-orbit interaction). First-order in  
SOC but require broken inversion symmetry. Responsible for  
weak FM, skyrmions etc.*

# *General formulation*

System of interacting electrons (many-body problem) + crystal potential

External strong time-dependent laser field  
(nonequilibrium problem)

Temperature effects (thermal bath, open system,  
basic statistical mechanics)

Collect all difficulties of modern theoretical  
physics

# *Levels of description*

- Macroscopic (LLG equations + temperature balance, etc.)
- Microscopic, classical Heisenberg model
- Microscopic, quantum itinerant-electron model
- Ab initio, time-dependent density functional

Multiscale problem

# *Time-dependent DFT*

SE for many-body wave function in configurational space is replaced by single-particle nonlinear self-consistent equation

Spinor

$$\Psi = \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix}$$

$$i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$$

**B** is self-consistent magnetic field

$$H_L = -\nabla_{\mathbf{r}}^2 + \sum_{\mathbf{R}} V_{\mathbf{rR}} + 2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V_{\text{xc}}$$



# *Simplifications*

Adiabatic approx.:  $V_{xc}$  and  $B_{xc}$  are the same as in the equilibrium + local (spin) density approx.

$$i\frac{\partial\psi}{\partial t} = H\psi$$

$$H = -\nabla^2 + V(\mathbf{r}) - \frac{1}{2}(\mathbf{B}_{xc}(\mathbf{r}) + \mathbf{B}_{ext}(\mathbf{r}))\sigma$$

$$V(\mathbf{r}) = V_{ext}(\mathbf{r}) + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\partial}{\partial n}[n\varepsilon_{xc}]$$

$$\mathbf{B}_{xc} = -2\frac{\mathbf{m}}{m}\frac{\partial}{\partial m}[n\varepsilon_{xc}]$$

$n, m$  are charge and spin densities

# *Linear response: magnetic susceptibility*

MIK & Lichtenstein, JPCM 16, 7439 (2004)

$$\mathbf{B}_{\text{ext}}(\mathbf{r}) \rightarrow 0$$

$$\delta B_{\text{tot}}^{\alpha} = \delta B_{\text{ext}}^{\alpha} + \frac{\delta B_{\text{xc}}^{\alpha}}{\delta m^{\beta}} \delta m^{\beta}$$

$$\delta m^{\alpha} = \hat{\chi}^{\alpha\beta} \delta B_{\text{ext}}^{\beta}$$

$$(\hat{\chi}\varphi)(\mathbf{r}) = \int d\mathbf{r}' \chi(\mathbf{r}, \mathbf{r}') \varphi(\mathbf{r}')$$

At the same time (Runge-Gross theorem, 1984) in TD-DFT

$$\delta m^{\alpha} = \hat{\chi}_0^{\alpha\beta} \delta B_{\text{tot}}^{\beta}$$

A response of effective system of noninteracting Kohn-Sham particles (Liu & Vosko 1989 for magnetic case)

# *Linear response: magnetic susceptibility II*

Rigorous expression

$$\hat{\chi}^{\alpha\beta} = \hat{\chi}_0^{\alpha\beta} + \hat{\chi}_0^{\alpha\gamma} \frac{\delta B_{\text{xc}}^\gamma}{\delta m^\delta} \hat{\chi}^{\delta\beta}$$

Adiabatic approximation plus LSDA:

$$\frac{\delta B_{\text{xc}}^\gamma}{\delta m^\delta} = \frac{B_{\text{xc}}}{m} \left( \delta_{\gamma\delta} - \frac{m^\gamma m^\delta}{m^2} \right) + \frac{m^\gamma m^\delta}{m^2} \frac{\partial B_{\text{xc}}}{\partial m}$$

Transverse susceptibility is separated from  
(longitudinal spin + charge) susceptibilities

# *Transverse susceptibility*

$$\chi^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) + \int d\mathbf{r}'' \chi_0^{+-}(\mathbf{r}, \mathbf{r}'', \omega) I_{\text{xc}}(\mathbf{r}'') \chi^{+-}(\mathbf{r}'', \mathbf{r}', \omega)$$

$$I_{\text{xc}} = \frac{B_{\text{xc}}}{m}$$

Local Stoner  
parameter

$$m = \sum_{\mu\sigma} \sigma f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2$$
$$n = \sum_{\mu\sigma} f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2.$$

$$\chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Kohn-Sham  
states

$$(H_0 - \frac{1}{2} \sigma B_{\text{xc}}) \psi_{\mu\sigma} = \varepsilon_{\mu\sigma} \psi_{\mu\sigma}$$
$$H_0 = -\nabla^2 + V(\mathbf{r})$$

# *Longitudinal susceptibility*

$$\chi^{zz} = \frac{1}{4} (K^{\uparrow\uparrow} + K^{\downarrow\downarrow} - K^{\uparrow\downarrow} - K^{\downarrow\uparrow})$$

$$K^{\uparrow\uparrow} = X_{\uparrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\uparrow} + X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\uparrow}$$

$$K^{\downarrow\downarrow} = X_{\downarrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\downarrow} + X_{\downarrow} U_{\downarrow\uparrow} K^{\uparrow\downarrow}$$

$$K^{\uparrow\downarrow} = X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\downarrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\downarrow}$$

$$K^{\downarrow\uparrow} = X_{\downarrow} U_{\downarrow\uparrow} K^{\uparrow\uparrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\uparrow}.$$

$$X_{\sigma}(\mathbf{r}, \mathbf{r}') = \sum_{\mu\nu} \frac{f_{\mu\sigma} - f_{\nu\sigma}}{\omega - \varepsilon_{\mu\sigma} + \varepsilon_{\nu\sigma}} \psi_{\mu\sigma}^*(\mathbf{r}) \psi_{\nu\sigma}(\mathbf{r}) \psi_{\mu\sigma}(\mathbf{r}') \psi_{\nu\sigma}^*(\mathbf{r}')$$

$$U_{\sigma\sigma'} = \frac{\partial^2 (n\varepsilon_{xc})}{\partial n_{\sigma} \partial n_{\sigma'}}$$

$$n_{\sigma} = \frac{1}{2} (n + \sigma m)$$

# *Separation of magnon poles*

After rigorous manipulations

$$\hat{\chi}^{+-} = (m + \hat{\Lambda})(\omega - I_{\text{xc}} \hat{\Lambda})^{-1}$$

$$\Lambda(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \nabla[\psi_{\mu\uparrow}(\mathbf{r}') \nabla \psi_{\nu\downarrow}^*(\mathbf{r}') - \psi_{\nu\downarrow}^*(\mathbf{r}') \nabla \psi_{\mu\uparrow}(\mathbf{r}')] ]$$

Magnon pole

$$\omega(\mathbf{q}) = \frac{4}{M} [J(0) - J(\mathbf{q})]$$

$$J(\mathbf{r}, \mathbf{r}', \omega) = \frac{1}{4} \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) B_{\text{xc}}(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') B_{\text{xc}}(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Im part corresponds to Stoner damping



# *Alternative definition of exchanges*

## Static susceptibility

$$\hat{\chi}^{+-}(0) = m(\hat{\Omega}^{-1} - B_{\text{xc}}^{-1})$$

$$\hat{\tilde{\Omega}} = \hat{\Omega}(1 - B_{\text{xc}}^{-1}\hat{\Omega})^{-1}$$

The first way (poles of susceptibility) corresponds Liechtenstein, MIK & Gubanov, J. Phys. F 1984, the second way (static suscept.) Bruno, PRL 2003. The expressions for stiffness constant coincide and are rigorous within the adiabatic approximation + LSDA

# *Magnetic force theorem*

(Lichtenstein, MIK, Gubanov, J. Phys. F 1984; Sol. St. Comm. 1985)

Total energy in DFT

$$E = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_v^{occ} \epsilon_v$$

$$E_{dc} = E_{Hartree} + \int dr Tr \left[ \rho \frac{\delta E_{xc}}{\delta \rho} \right] - E_{xc}$$

Variation

$$\delta E = \delta^* E_{sp} + \delta_1 E_{sp} - \delta E_{dc} = \delta^* E_{sp} = \delta^* \int_{-\infty}^{\epsilon_F} d\epsilon \left[ \frac{1}{\pi} Tr \text{Im} \hat{G}(\epsilon) \right]$$

$\delta^*$

at fixed potential

$\delta_1$

due to change of potential

# *Magnetic force theorem II*

- Torque can be written in terms of variation of the density of states
- Decomposition of the torque in pair terms gives exchange integrals
- These exchange parameters are local (near given magnetic configuration)

Journal of Magnetism and Magnetic Materials 67 (1987) 65–74  
North-Holland, Amsterdam

## **LOCAL SPIN DENSITY FUNCTIONAL APPROACH TO THE THEORY OF EXCHANGE INTERACTIONS IN FERROMAGNETIC METALS AND ALLOYS**

A.I. LIECHTENSTEIN, M.I. KATSNELSON <sup>+</sup>, V.P. ANTROPOV <sup>+</sup> and V.A. GUBANOV

Table 1  
Values of exchange interaction parameters calculated by the cluster Green's function method

Metal	$J_0$ (meV)	$T_c$ (K)	$T_c^{\text{expt}}$ (K)	$J_1$ (meV)	$J_2$ (meV)	$D$ (meV Å <sup>2</sup> )	$D^{\text{expt}}$ (meV Å <sup>2</sup> )
Fe	155.7	1200	1040 <sup>a</sup>	20.5	− 3.4	294	314 <sup>b</sup>
Ni	49.1	380	630 <sup>a</sup>	1.9	0.23	386	395 <sup>c</sup>

# Non-Heisenberg character of exchange interactions in Fe and Ni

S.A. Turzhevskii, A.I. Lichtenstein, and M.I. Katsnelson, Fiz. Tverd. Tela **32**, 1952 (1990) [Sov. Phys. Solid State **32**, 1138 (1990)].

*Rotation of a central spin:  
magnetic moment is not constant,  
energy change is not cosine*

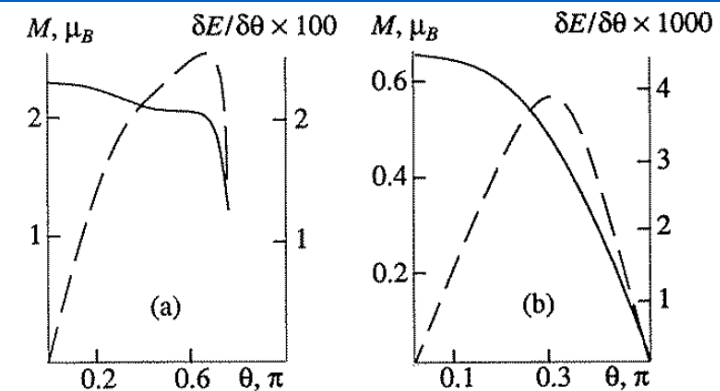


Fig. 4.4. Magnetic moment in Bohr magnetons (the full curve) and the first derivative of energy with respect to angle of rotation in Ry (the dashed curve) according to calculations in [168]: (a) Fe, (b) Ni.

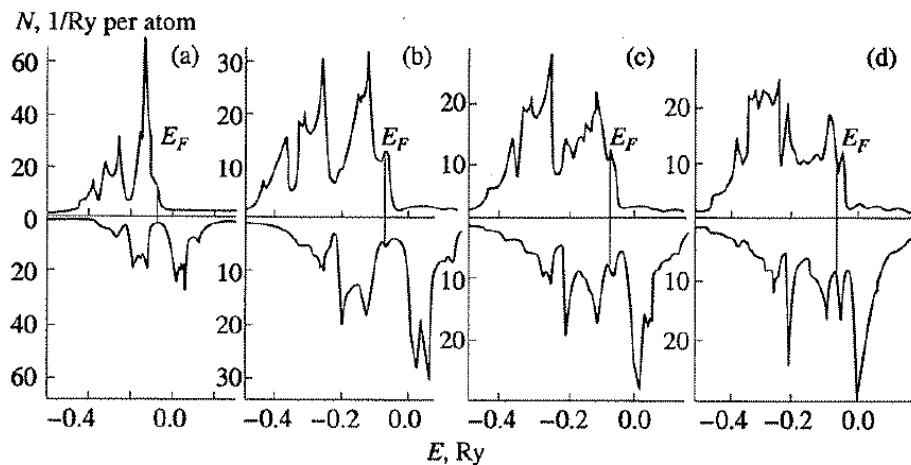


Fig. 4.5. Electronic density of states for an Fe atom in a metal with the magnetic moment turned through  $\theta = 0$  (a),  $\theta = 0.2\pi$  (b),  $\theta = 0.35\pi$  (c), and  $\theta = 0.5\pi$  (d).

*Electronic structure  
is angle-dependent*

# *Nonlocal corrections to magnon stiffness*

MIK & Antropov, PRB 67, 140406 (2003)

Exchange and correlation in spiral state of  
homogeneous electron gas

Angular gradient  
corrections

$$E_{xc} = \int d\mathbf{r} \{ n \varepsilon_{xc}(n_{\uparrow}, n_{\downarrow}) + \lambda(n_{\uparrow}, n_{\downarrow}) D \}$$

$$D = (\nabla_{\alpha} e_{\beta})(\nabla_{\alpha} e_{\beta}) = (\nabla \theta)^2 + \sin^2 \theta (\nabla \varphi)^2$$

$$\begin{aligned} \lambda(n_{\uparrow}, n_{\downarrow}) = & -\frac{e^2}{16\pi^2} \left( \frac{1}{F} - \frac{4}{3} \right) (V_{xc}^{\uparrow} p_{F\uparrow} + V_{xc}^{\downarrow} p_{F\downarrow}) \\ & - \frac{e^2}{96\pi^2 F^2} (V_{xc}^{\uparrow} + V_{xc}^{\downarrow}) (p_{F\uparrow} + p_{F\downarrow}). \end{aligned}$$

Corrections to stiffness  
constant

$$F = (p_{F\uparrow} + p_{F\downarrow}) I(n_{\uparrow}, n_{\downarrow}) / 2\pi^2$$

# *Stiffness constants for Fe and Ni*

(in meV/Å<sup>2</sup>)

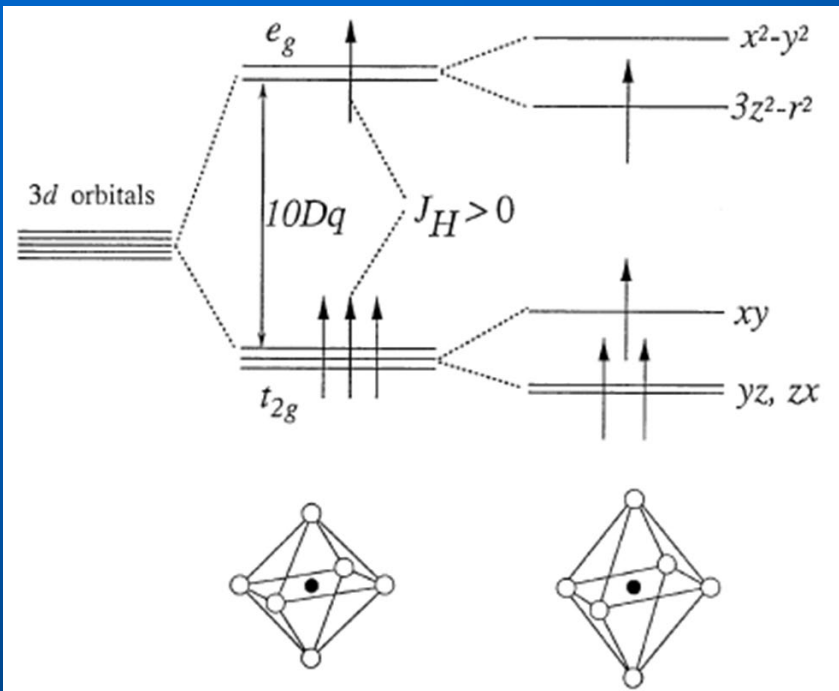
<i>Fe: LSDA</i>	239
<i>with gradient corrections</i>	251
<i>experiment</i>	280 - 310

<i>Ni: LSDA</i>	692
<i>with gradient corrections</i>	735
<i>experiment</i>	550-630

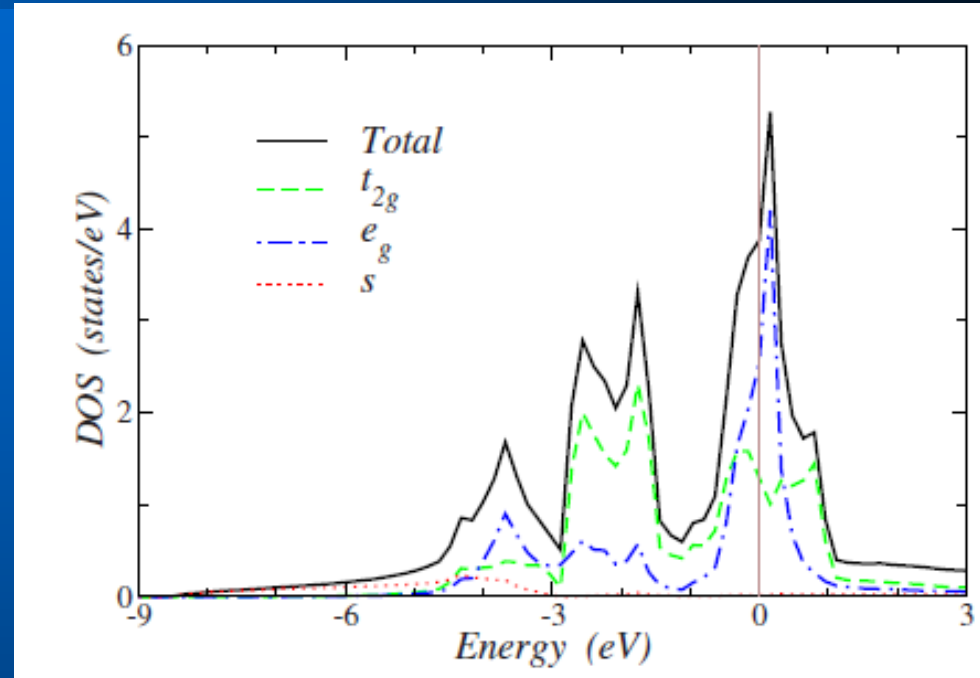
Corrections are quite small



# Iron: some details



Crystal field splitting



DOS for nonmagnetic  
bcc Fe

Stoner criterion is fulfilled due to  $e_g$  states only; they should play a special role in magnetism of Fe (Irkhin, Katsnelson, Trefilov, JPCM 5, 8763 (1993))

# Iron: detailed analysis

PRL **116**, 217202 (2016)

PHYSICAL REVIEW LETTERS

week ending  
27 MAY 2016

## Microscopic Origin of Heisenberg and Non-Heisenberg Exchange Interactions in Ferromagnetic bcc Fe

Y. O. Kvashnin,<sup>1</sup> R. Cardias,<sup>2</sup> A. Szilva,<sup>1</sup> I. Di Marco,<sup>1</sup> M. I. Katsnelson,<sup>3,4</sup> A. I. Lichtenstein,<sup>4,5</sup>  
L. Nordström,<sup>1</sup> A. B. Klautau,<sup>2</sup> and O. Eriksson<sup>1</sup>

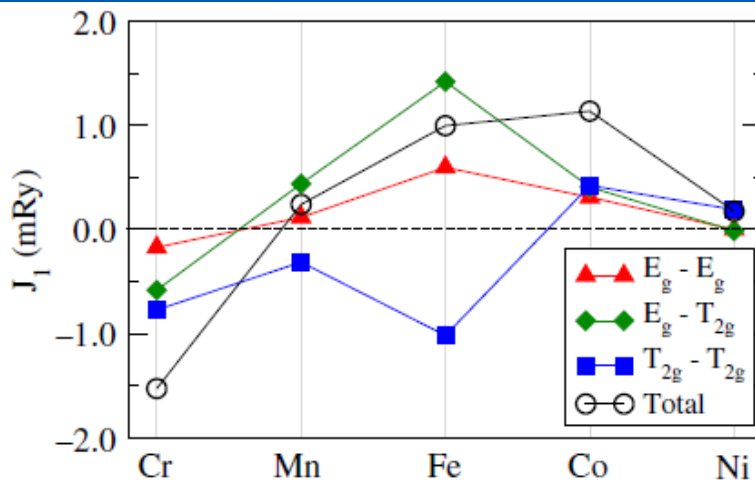
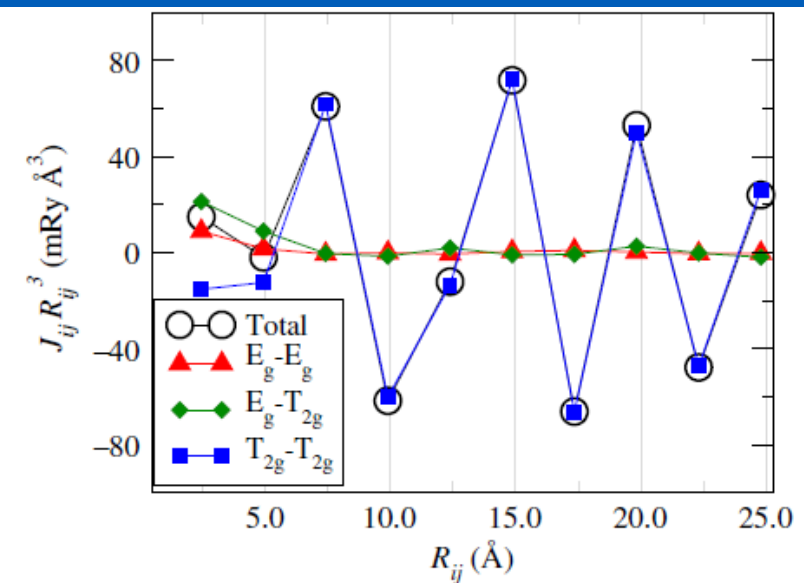
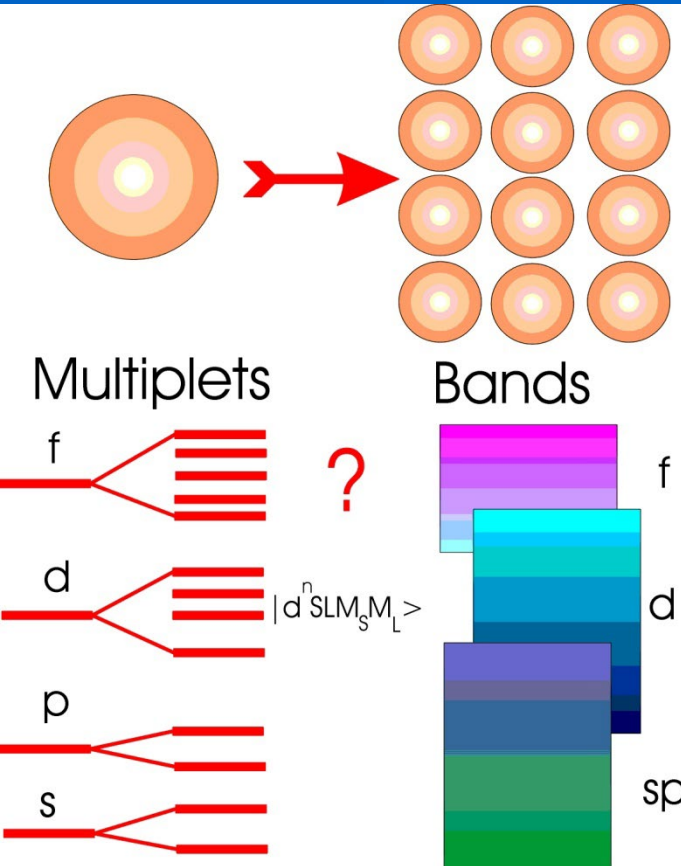


FIG. 1. Orbitaly decomposed NN exchange interaction in elemental 3d metals in the bcc structure.



$t_{2g}$  are itinerant electrons providing (Heisenberg-like) RKKY exchange with Friedel oscillations;  $e_g$  are more correlated providing (non-Heisenberg) “double exchange” typical for narrow-band systems

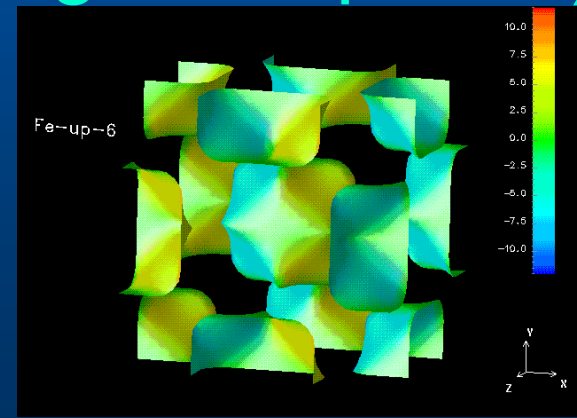
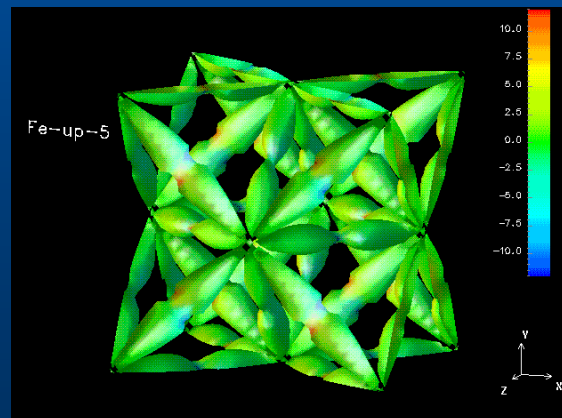
# *Problem with DFT: coexistence of localized and itinerant behavior*



Local magnetic moments do exist above  $T_C$  (Curie-Weiss law, spectroscopy, neutrons...)

d electrons are itinerant (FS, chemical bonding, transport...)

*Iron, majority spin FS*



*4f electrons are normally pure localized but not 3d*

# From atomic state to itinerant

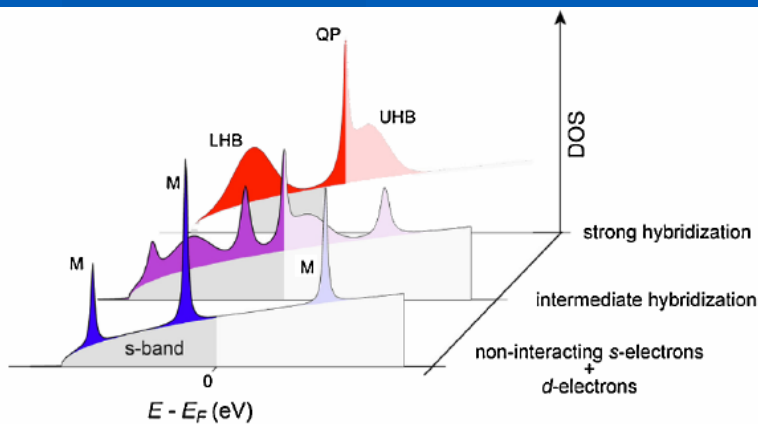
PRL **104**, 117601 (2010)

PHYSICAL REVIEW LETTERS

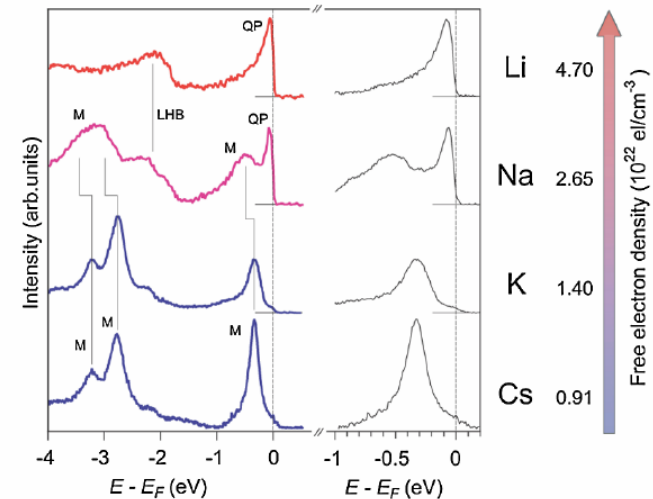
week ending  
19 MARCH 2010

## Correlated Electrons Step by Step: Itinerant-to-Localized Transition of Fe Impurities in Free-Electron Metal Hosts

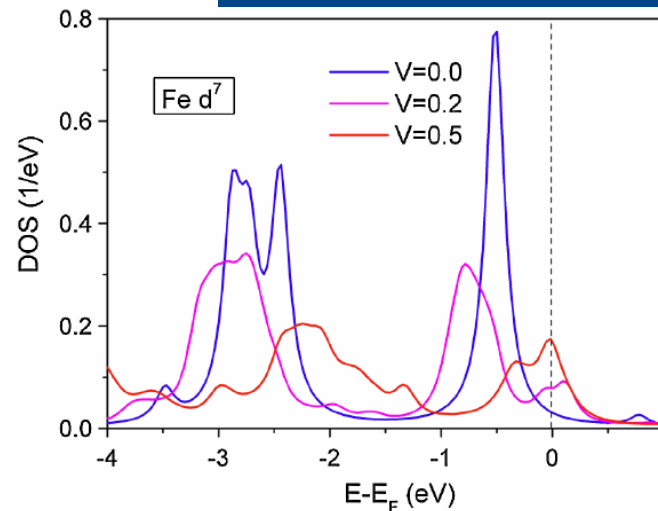
C. Carbone,<sup>1</sup> M. Veronese,<sup>1</sup> P. Moras,<sup>1</sup> S. Gardonio,<sup>1</sup> C. Grazioli,<sup>1</sup> P. H. Zhou,<sup>2</sup> O. Rader,<sup>3</sup> A. Varykhalov,<sup>3</sup> C. Krull,<sup>4</sup> T. Balashov,<sup>4</sup> A. Mugarza,<sup>4</sup> P. Gambardella,<sup>4,5</sup> S. Lebègue,<sup>6</sup> O. Eriksson,<sup>7</sup> M. I. Katsnelson,<sup>8</sup> and A. I. Lichtenstein<sup>9</sup>



*Experiment:  
disappearance  
of multiplets*



*Calculations:  
increase of  
hybridization*



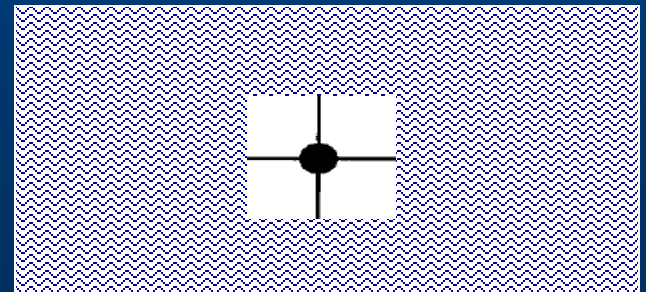
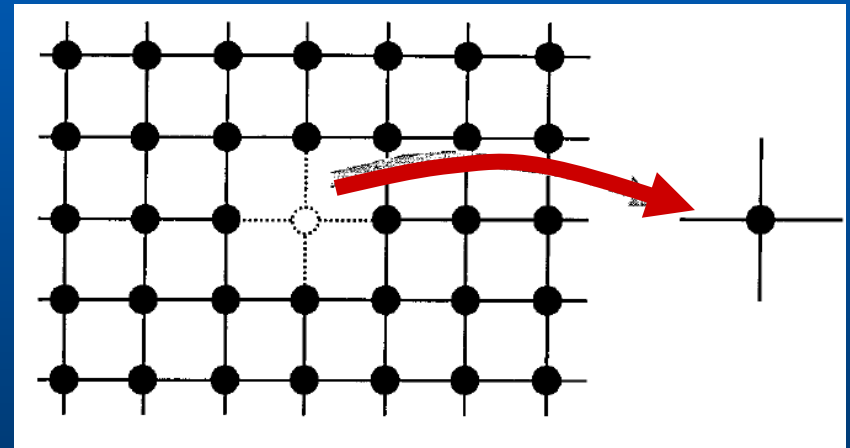
*Blue line: exact  
diagonalization  
for free atom*

# ***Dynamical Mean Field Theory***

A.Georges, G.Kotliar, W.Krauth and M.Rozenberg, Rev. Mod. Phys. '96

A natural generalization of the familiar MFT to the problem of electrons in a lattice

**Key idea:** take one site out of a lattice and embed it in a self-consistent bath = mapping to an effective impurity problem



# *LDA (DFT)+DMFT*

(Lichtenstein & MIK 1997, 1998,1999; Anisimov et al 1997)

---

LSDA

---

Density functional

Density  $\rho(\mathbf{r})$

Potential  $V_{xc}(\mathbf{r})$

$$E_{tot} = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_{\lambda < \lambda_F} \varepsilon_{\lambda}$$

$$E_{dc} = E_H + \int \rho V_{xc} d\mathbf{r} - E_{xc}$$

Temperature:

in the Fermi function

---

LDA++

---

Baym-Kadanoff functional

Green-Function  $G(\mathbf{r}, \mathbf{r}', E)$

Self-energy  $\Sigma_i(E)$

$$\Omega = \Omega_{sp} - \Omega_{dc}$$

$$\Omega_{sp} = -Tr \ln[-G^{-1}]$$

$$\Omega_{dc} = Tr \Sigma G - \Phi_{LW}$$

Matsubara frequencies: real-T

for collective excitations

---

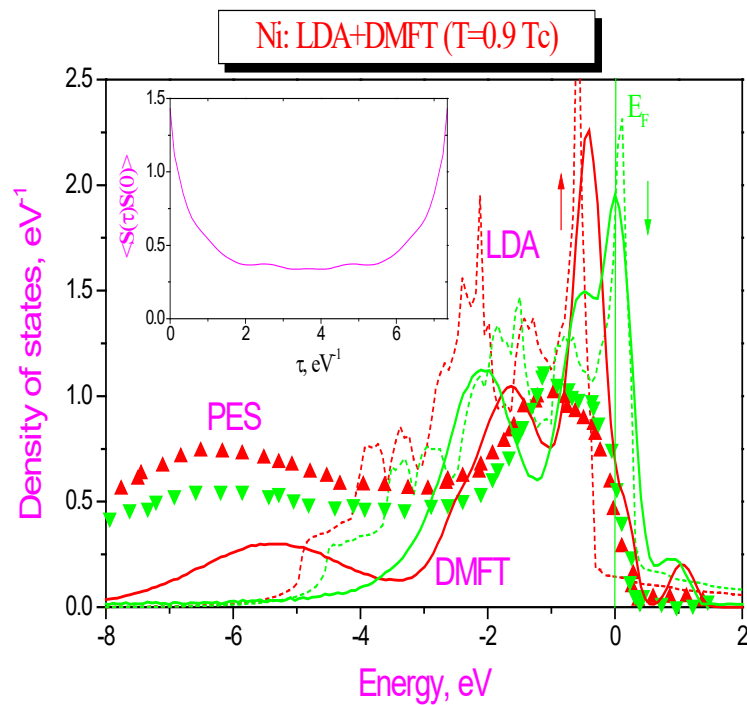
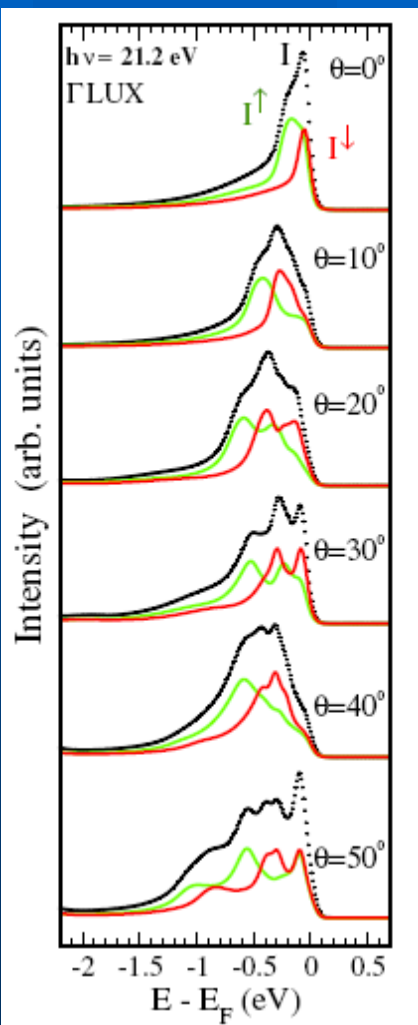


# Ferromagnetism of transition metals: LDA+DMFT

Ferromagnetic Ni DMFT vs. LSDA:

- 30% band narrowing
- 50% spin-splitting reduction
- -6 eV satellite

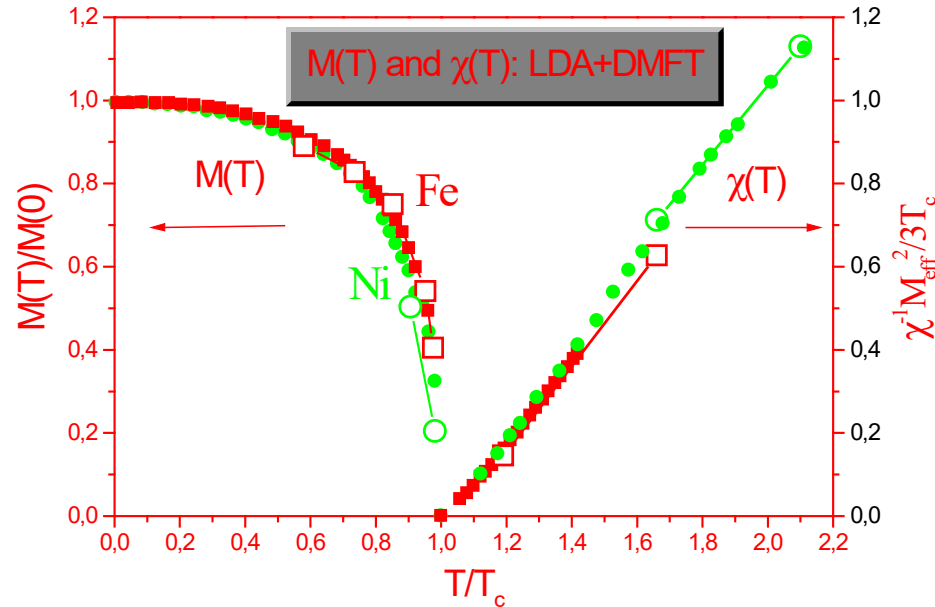
LDA+DMFT with ME  
J. Braun *et al*  
PRL (2006)



Lichtenstein, MIK, Kotliar, PRL (2001)

# *DMFT Effective Magnetic Moments: $T > T_c$*

VV	exp	eff	loc	DLM	T <sub>c</sub>	exp
Fe	3.13	3.09	2.8	1.96	1900	1043
Ni	1.62	1.5	1.3	1.21	700	631



# ARPES for iron

PRL **103**, 267203 (2009)

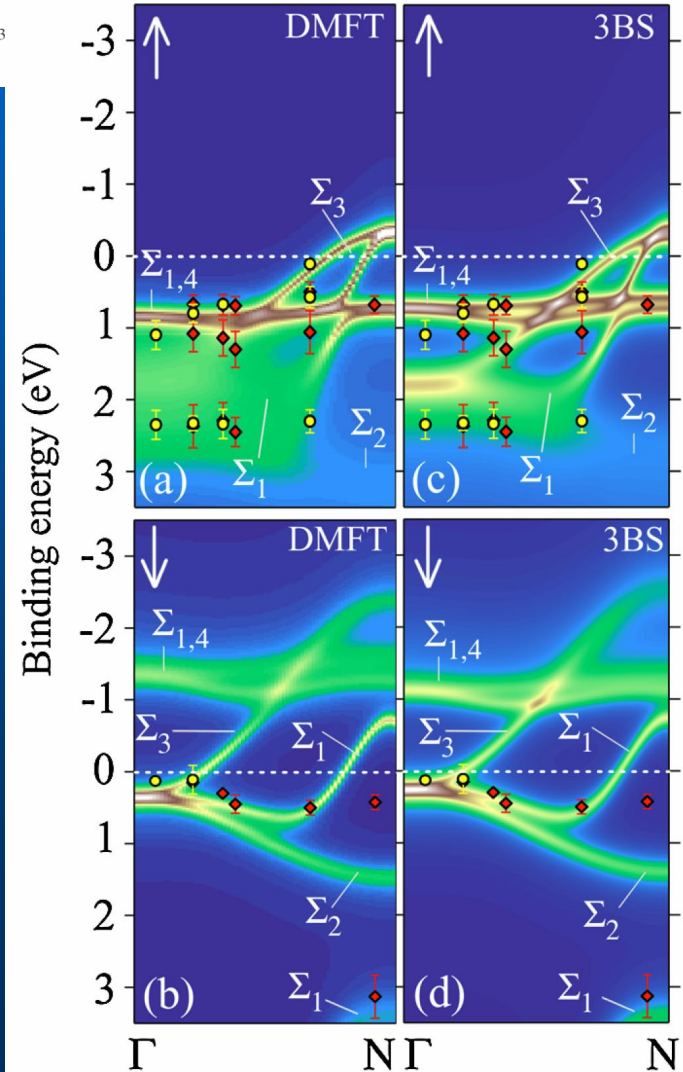
PHYSICAL REVIEW LETTERS

week ending  
31 DECEMBER 2009

## Strength of Correlation Effects in the Electronic Structure of Iron

J. Sánchez-Barriga,<sup>1</sup> J. Fink,<sup>1,2</sup> V. Boni,<sup>3</sup> I. Di Marco,<sup>4,5</sup> J. Braun,<sup>6</sup> J. Minár,<sup>6</sup> A. Varykhalov,<sup>1</sup> O. Rader,<sup>1</sup> V. Bellini,<sup>3</sup>  
F. Manghi,<sup>3</sup> H. Ebert,<sup>6</sup> M. I. Katsnelson,<sup>5</sup> A. I. Lichtenstein,<sup>7</sup> O. Eriksson,<sup>4</sup> W. Eberhardt,<sup>1</sup> and H. A. Dürr<sup>1</sup>

*Agreement is not bad (much better than LDA/GGA) but essentially worse than in nickel. Correlations in iron are not quite local*

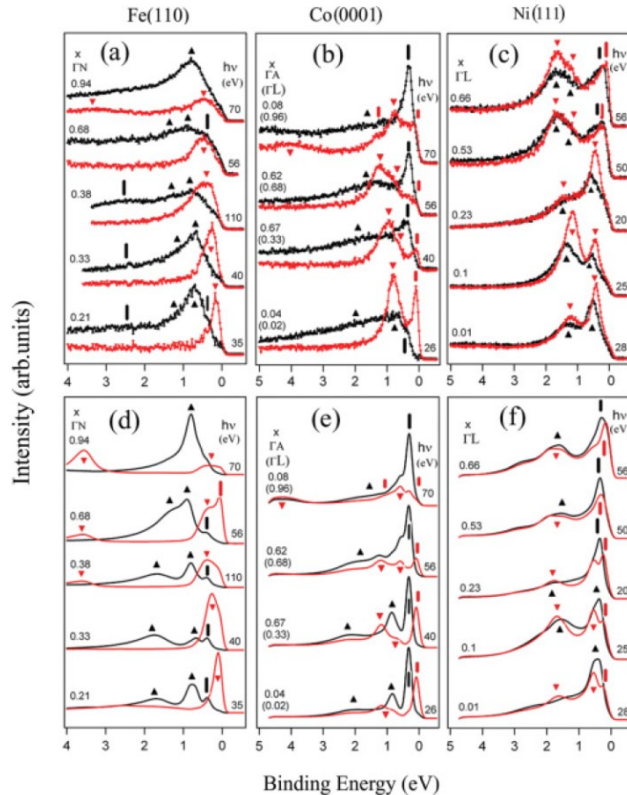


# ARPES for 3d metals

PHYSICAL REVIEW B **85**, 205109 (2012)

## Effects of spin-dependent quasiparticle renormalization in Fe, Co, and Ni photoemission spectra: An experimental and theoretical study

J. Sánchez-Barriga,<sup>1</sup> J. Braun,<sup>2</sup> J. Minár,<sup>2</sup> I. Di Marco,<sup>3</sup> A. Varykhalov,<sup>1</sup> O. Rader,<sup>1</sup> V. Boni,<sup>4</sup> V. Bellini,<sup>5</sup> F. Manghi,<sup>4</sup> H. Ebert,<sup>2</sup> M. I. Katsnelson,<sup>6</sup> A. I. Lichtenstein,<sup>7</sup> O. Eriksson,<sup>3</sup> W. Eberhardt,<sup>1</sup> H. A. Dürr,<sup>1,8</sup> and J. Fink<sup>1,9</sup>



*Variation of  $U$   
does not help  
too much for Fe*

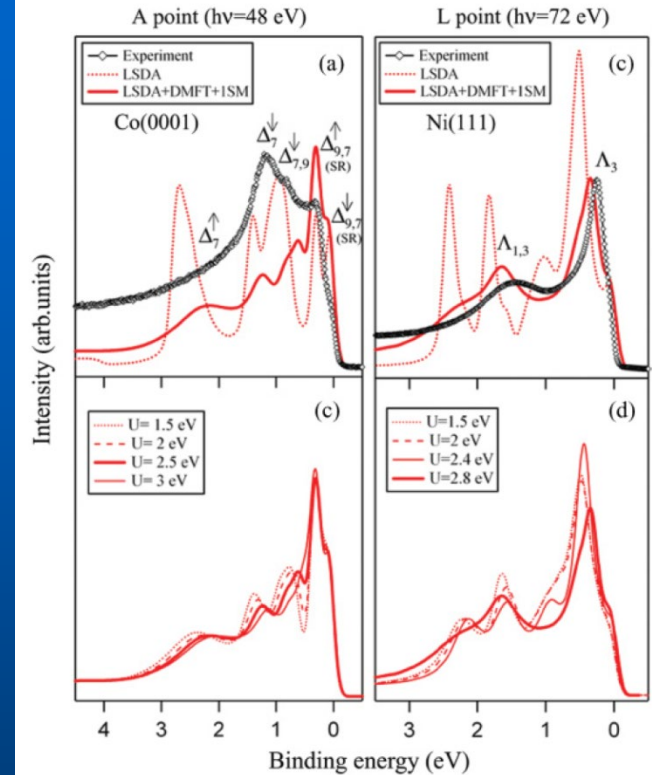


TABLE I. Values of the experimental and theoretical mass enhancement factors  $m^*/m_0$  for majority spin states at high symmetry points of the BBZ of Fe, Co, and Ni, respectively. The theoretical values are derived for  $U(\text{Fe}) = 1.5$  eV,  $U(\text{Co}) = 2.5$  eV,  $U(\text{Ni}) = 2.8$  eV.

Fe			Co			Ni		
	Expt.	Theory		Expt.	Theory		Expt.	Theory
$\Gamma$	1.7	1.2	$\Gamma$	1.26	1.31	$\Gamma$	2.0	1.8
N	1.1	1.2	A	1.29	1.31	$\Lambda$	1.9	1.8

*Black – spin up, red – spin down  
Upper panel – exper, lower - DMFT*

# *Exchange and LW Functional*

MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

Luttinger-Ward functional

Magnetic force  
theorem

$$\Omega^d = \Omega_{sp}^d - \Omega_{dc}^d$$

$$\Omega_{sp}^d = -Tr \left\{ \ln \left[ \Sigma - G_0^{-1} \right] \right\}$$

$$\Omega_{dc}^d = Tr \Sigma G - \Phi$$

$$G^{-1} = G_0^{-1} - \Sigma$$

$$\Sigma = \frac{\delta \Phi}{\delta G}$$

$$\delta \Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta \Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta \Omega_{dc} = Tr G \delta \Sigma$$

$$\delta \Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln \left[ \Sigma - G_0^{-1} \right]$$

# *Exchange interactions from DMFT*

Heisenberg exchange:

$$H = - \sum_{ij} J_{ij} S_i S_j$$

Magnetic torque:

$$\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$$

$$\delta \Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta \varphi_i$$

$$\mathbf{V}_i = 2Tr_{\omega L} [\Sigma_i^s \times \mathbf{G}_{ii}^s]$$

Exchange interactions:

$$J_{ij} = -Tr_{\omega L} \left( \Sigma_i^s G_{ij}^{\uparrow} \Sigma_j^s G_{ji}^{\downarrow} \right)$$

Spin wave spectrum:

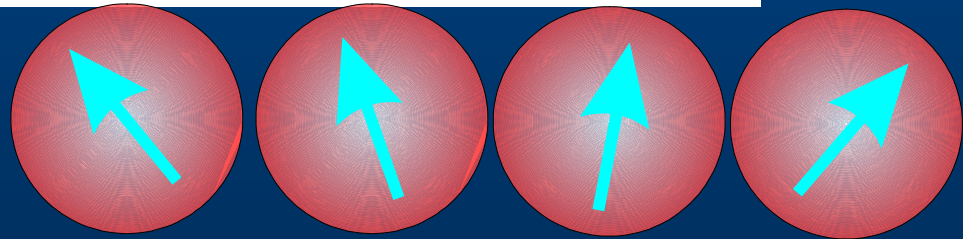
$$\Sigma_i^s = \frac{1}{2} \left( \Sigma_i^{\uparrow} - \Sigma_i^{\downarrow} \right)$$

$$\omega_{\mathbf{q}} = \frac{4}{M} \sum_j J_{0j} \left( 1 - \cos \mathbf{q} \mathbf{R}_j \right) \equiv \frac{4}{M} [J(0) - J(\mathbf{q})]$$

Non-collinear magnetism

:

MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

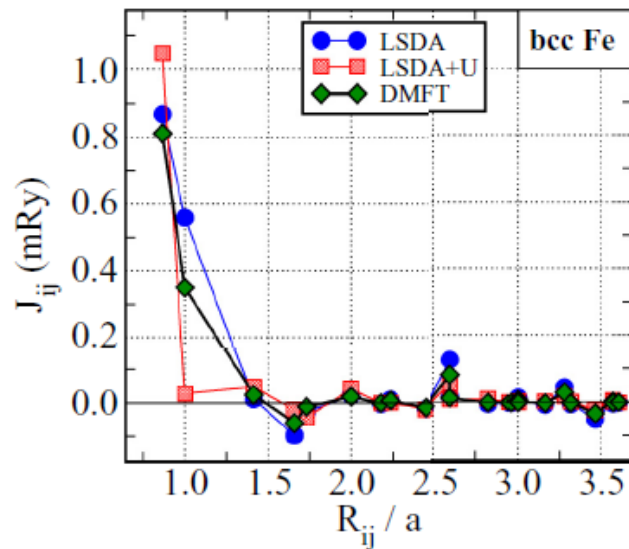


# Applications

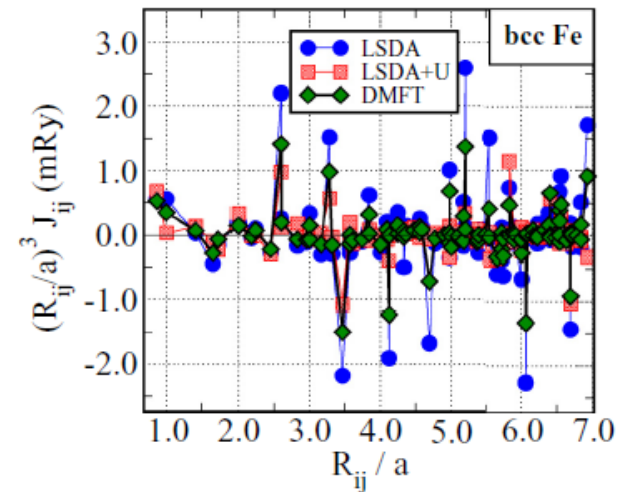
PHYSICAL REVIEW B **91**, 125133 (2015)

## Exchange parameters of strongly correlated materials: Extraction from spin-polarized density functional theory plus dynamical mean-field theory

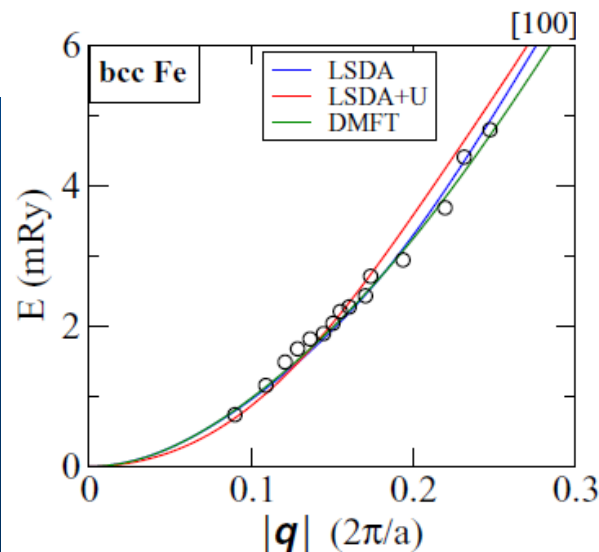
Y. O. Kvashnin,<sup>1</sup> O. Grånäs,<sup>1,2</sup> I. Di Marco,<sup>1</sup> M. I. Katsnelson,<sup>3,4</sup> A. I. Lichtenstein,<sup>4,5</sup> and O. Eriksson<sup>1</sup>



*For Fe (and Ni)  
quite small  
difference  
between DFT  
and DMFT*



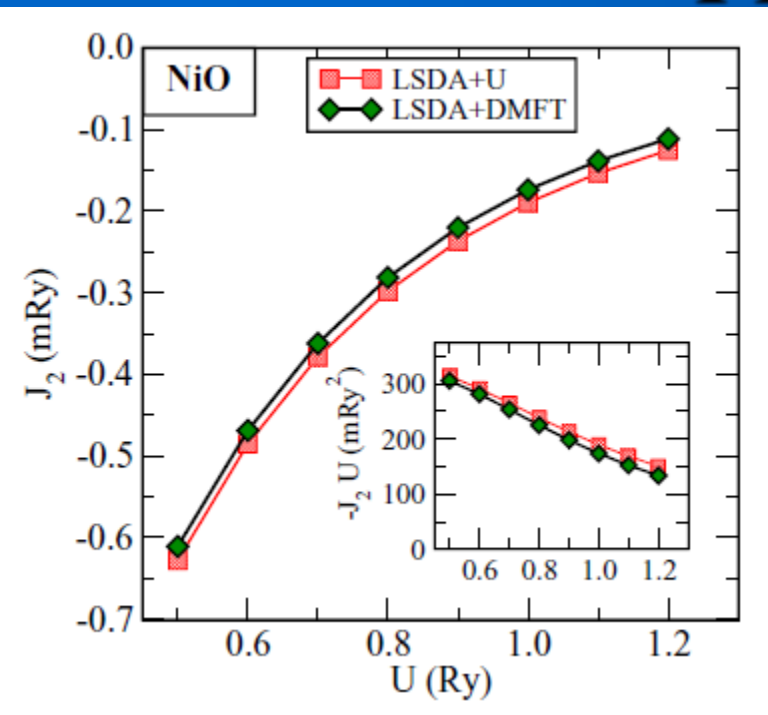
*Nontrivial: electronic  
structure is very  
different!*



*Error cancellation?!*



# Applications II

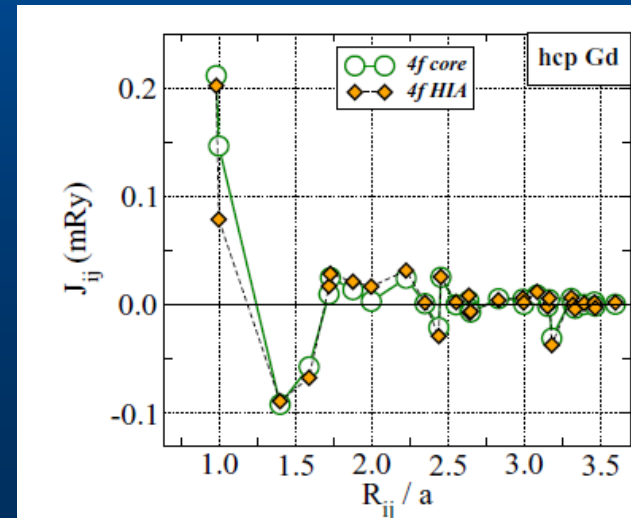


Computational setup	$J_1$	$J_2$
LSDA	0.04	-1.58
LSDA + DMFT	-0.003	-0.48
LSDA + $U$	-0.002	-0.50
LSDA + $U$ ( $U = 8$ eV) (Ref. [42])	0.004/0.0	-0.53
Exp. 1 (Ref. [41])	-0.051	-0.637
Exp. 2 (Ref. [49])	0.051	-0.67

Does not follow a naive formula  $t^2/U$   
 Difference between Mott  
 and charge transfer insulator

*NiO: not too big difference  
 between DMFT and LDA + U*

*Gd: also, DFT works quite good*





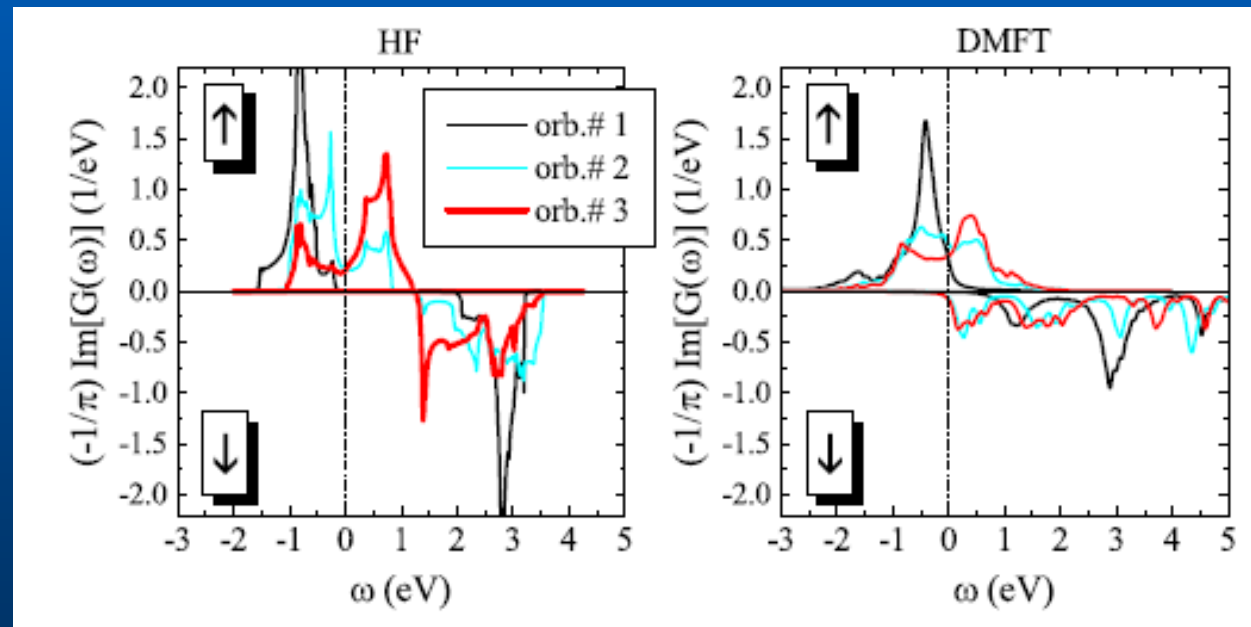
# Applications III

PHYSICAL REVIEW B 92, 144407 (2015)

## Mechanisms and origins of half-metallic ferromagnetism in $\text{CrO}_2$

I. V. Solovyev,<sup>1,2,\*</sup> I. V. Kashin,<sup>2</sup> and V. V. Mazurenko<sup>2</sup>

*Half-metallic FM*  
*DMFT shows*  
*non-quasiparticle*  
*states in the gap*  
MIK et al, RMP 80,  
315 (2008)



# Applications IV

## Important consequences from DMFT contributions to exchange

*Without magnetic polarization of oxygen FM state is unstable within DMFT (but not in simpler approaches)*

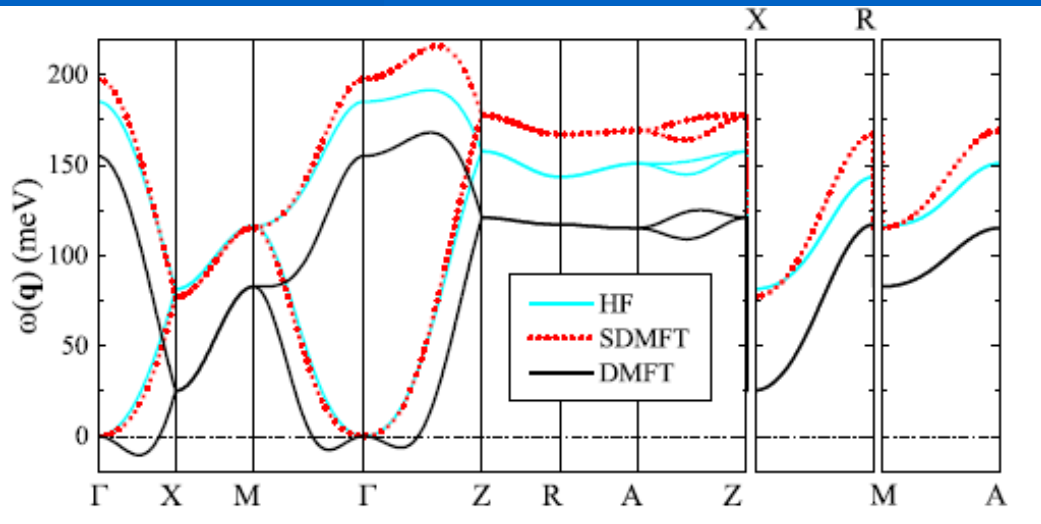
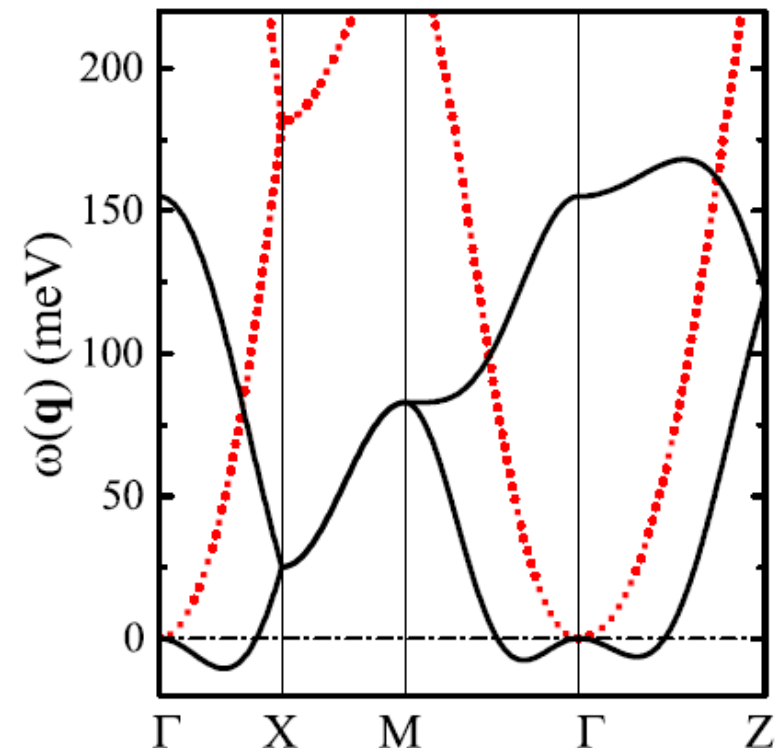


FIG. 9. (Color online) Results of calculations of the spin-wave dispersion with the DMFT parameters obtained for the isolated  $t_{2g}$  band (solid line) and after taking into account the additional FM contribution  $\Delta J_2 = 17.81$  meV, arising from magnetic polarization of the oxygen band and direct exchange interactions in the  $t_{2g}$  band (dotted line). Notations of the high-symmetry points of the BZ are taken from [55].

*Direct exchange also plays an important role*



# *Dzialoshinskii-Moriya interactions*

MIK, Kvashnin, Mazurenko & Lichtenstein, PRB 82, 100403 (2010)

*LDA+U*

$$\begin{aligned}\hat{H} &= \hat{H}_t + \hat{H}_u \\ &= \sum_{12} c_1^\dagger t_{12} c_2 + \frac{1}{2} \sum_{1234} c_1^\dagger c_2^\dagger U_{1234} c_3 c_4\end{aligned}$$

*DM interactions  
(weak FM, etc.)*

$$H_{DM} = \sum_{ij} \vec{D}_{ij} [\vec{e}_i \times \vec{e}_j]$$

*Small rotations*

$$\hat{R}_i = e^{i\delta\vec{\varphi}_i \cdot \vec{J}}$$

$$\hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

# *Dzialoshinskii-Moriya interactions II*

Starting from collinear configuration

$$\begin{aligned}\delta\hat{H}_t &= \sum_{ij} c_i^+ (\delta\hat{R}_i^+ \hat{t}_{ij} + \hat{t}_{ij} \delta\hat{R}_j) c_j \\ &= -i \sum_{ij} c_i^+ (\delta\vec{\varphi}_i \hat{\vec{J}} \hat{t}_{ij} - \hat{t}_{ij} \hat{\vec{J}} \delta\vec{\varphi}_j) c_j \\ &= -\frac{i}{2} \sum_{ij} c_i^+ (\delta\vec{\varphi}_i - \delta\vec{\varphi}_j) (\hat{\vec{J}} \hat{t}_{ij} + \hat{t}_{ij} \hat{\vec{J}}) c_j\end{aligned}$$

$$\vec{D}_{ij} = -\frac{i}{2} Tr_{m,\sigma} \langle c_i^+ [\hat{\vec{J}}, \hat{t}_{ij}]_+ c_j \rangle = -\frac{i}{2} Tr_{m,\sigma} N_{ji} [\hat{\vec{J}}, \hat{t}_{ij}]_+$$

$$N_{ji} = \langle c_i^+ c_j \rangle = -\frac{1}{\pi} \int_{-\infty}^{E_f} Im G_{ji}(E) dE$$

# FeBO<sub>3</sub>

LETTERS

PUBLISHED ONLINE: 9 FEBRUARY 2014 | DOI: 10.1038/NPHYS2859

nature  
physics

*A novel exper.  
technique to  
measure DM vector  
and not only canting  
angle (resonant  
X-ray scattering)*

## Measuring the Dzyaloshinskii–Moriya interaction in a weak ferromagnet

V. E. Dmitrienko<sup>1</sup>, E. N. Ovchinnikova<sup>2</sup>, S. P. Collins<sup>3\*</sup>, G. Nisbet<sup>3</sup>, G. Beutier<sup>4</sup>, Y. O. Kvashnin<sup>5</sup>, V. V. Mazurenko<sup>6</sup>, A. I. Lichtenstein<sup>7</sup> and M. I. Katsnelson<sup>6,8</sup>

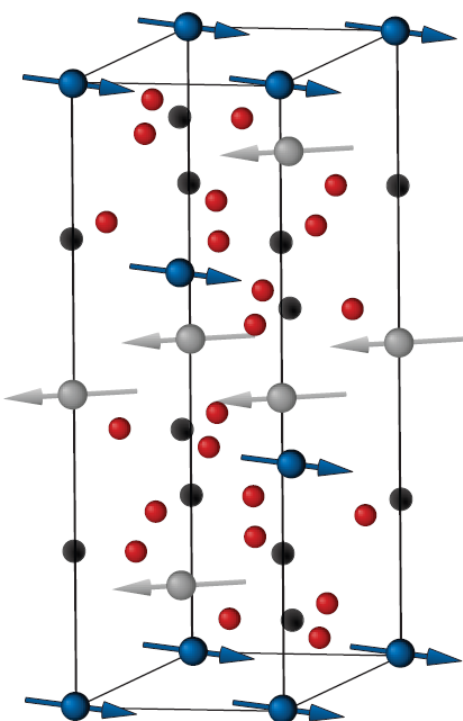


TABLE I. Calculated values of isotropic exchange interactions between magnetic moments in FeBO<sub>3</sub> (in meV). The number in parentheses denotes the coordination sphere.

Fe <sup>(1)</sup>	Fe <sup>(2)</sup>	Fe <sup>(3)</sup>	Fe <sup>(4)</sup>	Fe <sup>(5)</sup>	Fe <sup>(6)</sup>	Fe <sup>(7)</sup>
10.28	0.21	0	0.54	-0.08	0	0.02

TABLE III. Parameters of Dzyaloshinskii–Moriya interaction (in meV) calculated by using Eq. (6).

Bond $m - n$	$\mathbf{R}_{mn}$	$\mathbf{D}_{mn}$ (meV)
0-1	(1.0 ; 0.0 ; -0.904)	(-0.25; 0.0; -0.24)
0-2	(-0.5 ; $-\sqrt{3}/2$ ; -0.904)	(0.12 ; 0.22 ; -0.24)
0-3	(-0.5 ; $\sqrt{3}/2$ ; -0.904)	(0.12 ; -0.22 ; -0.24)
0-4	(-1.0 ; 0.0 ; 0.904)	(-0.25; 0.0 ; -0.24)
0-5	(0.5 ; $-\sqrt{3}/2$ ; 0.904)	(0.12 ; -0.22 ; -0.24)
0-6	(0.5 ; $\sqrt{3}/2$ ; 0.904)	(0.12 ; 0.22 ; -0.24)

*Agrees  
well  
with  
exper.*

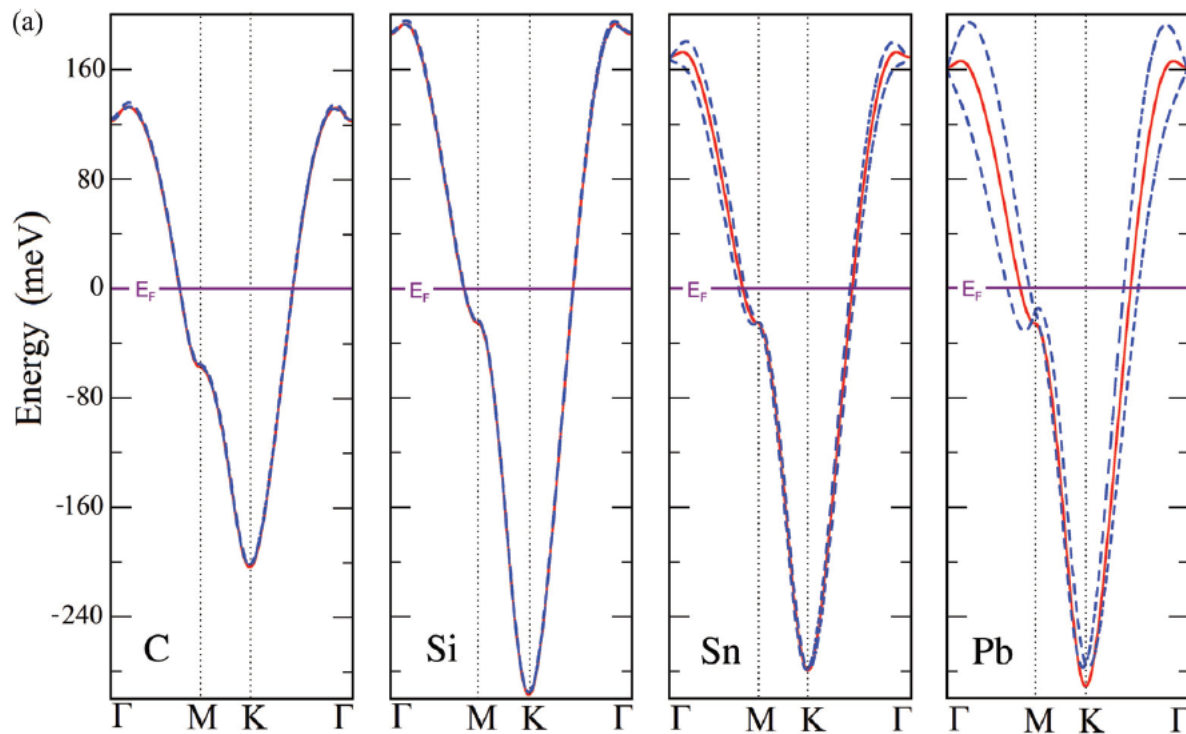
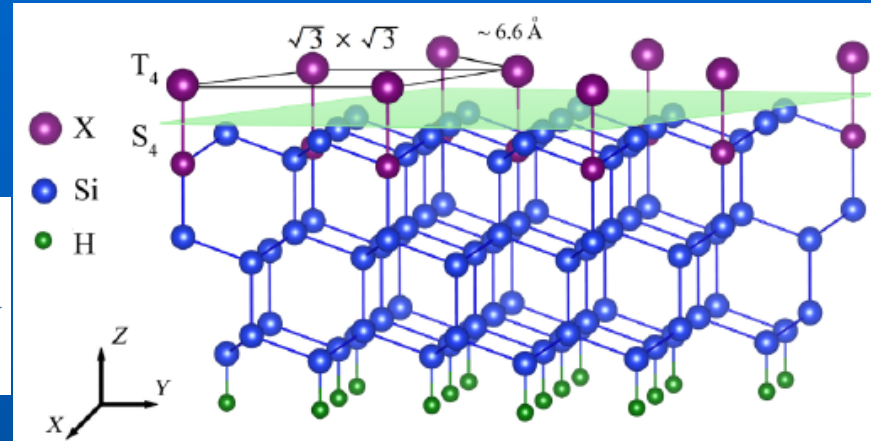
# *Si(111):X (X=C,Si,Sn,Pb)*

## *sp-electron magnets*

PHYSICAL REVIEW B **94**, 224418 (2016)

### Spin-orbit coupling and magnetic interactions in Si(111):{C,Si,Sn,Pb}

D. I. Badrtdinov,<sup>1</sup> S. A. Nikolaev,<sup>1</sup> M. I. Katsnelson,<sup>1,2</sup> and V. V. Mazurenko<sup>1</sup>



*Single narrow band near the Fermi energy*

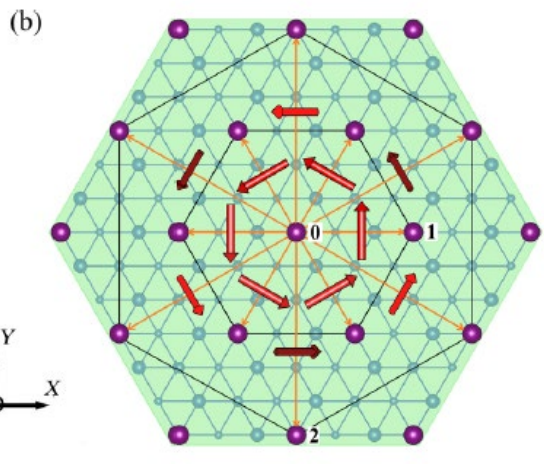
*Red – without SO  
Blue – with SO*



# *Si(111):X (X=C,Si,Sn,Pb) II*

*Mott insulator if take into account Hubbard U*

*Ground state magnetic configurations for Si(111):Pb in magnetic field (MC simulations)*

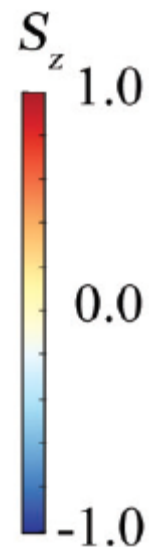
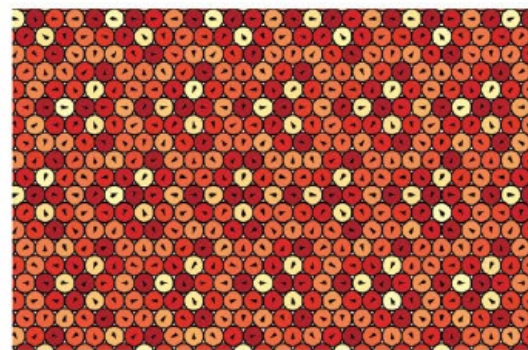
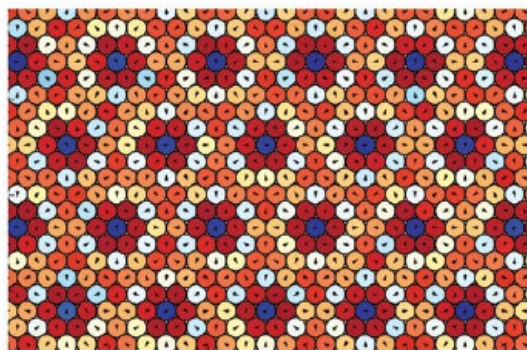
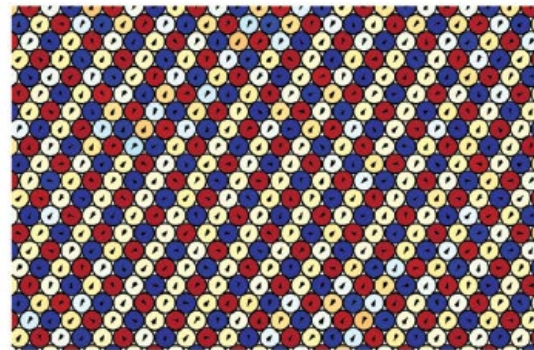


*Orientation of DMI*

$$h / J_{01} = 0.0$$

$$h / J_{01} = 3.6$$

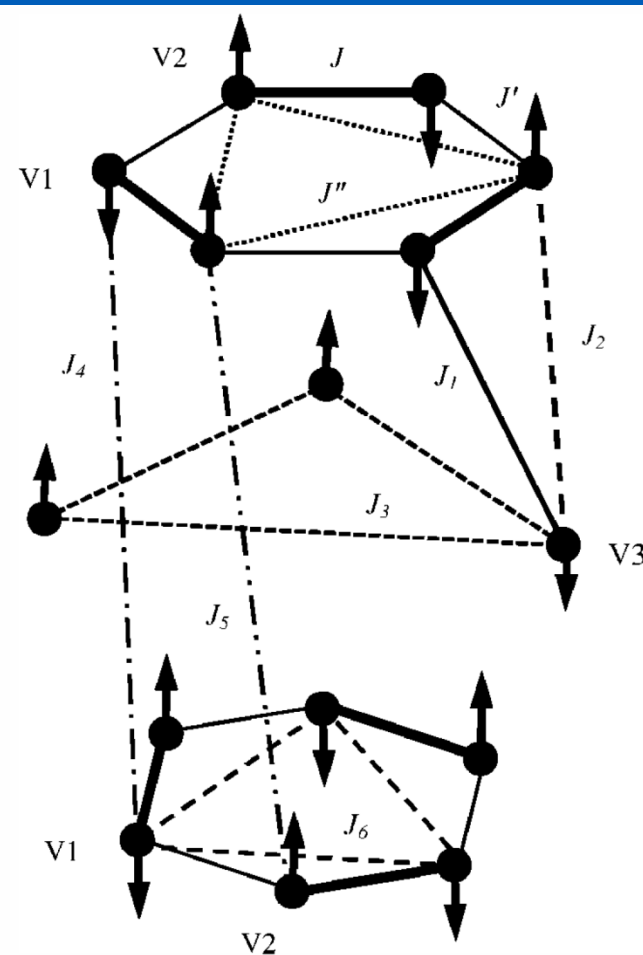
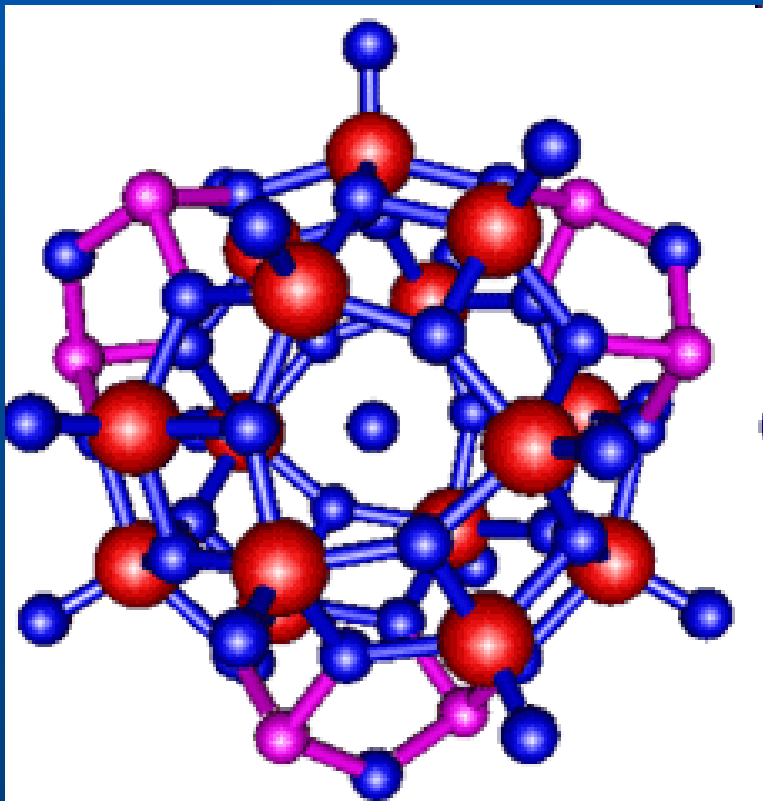
$$h / J_{01} = 6.2$$



# *Molecular magnets*

Example:  $V_{15}$

AFM ground state  $S = 1/2$





# *LDA+U calculations*

PHYSICAL REVIEW B **70**, 054417 (2004)

## Electronic structure and exchange interactions in V<sub>15</sub> magnetic molecules: LDA+U results

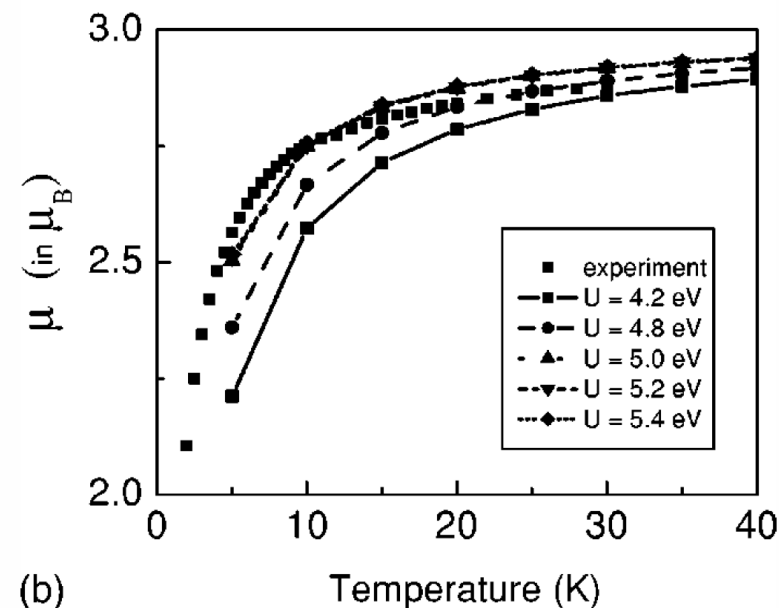
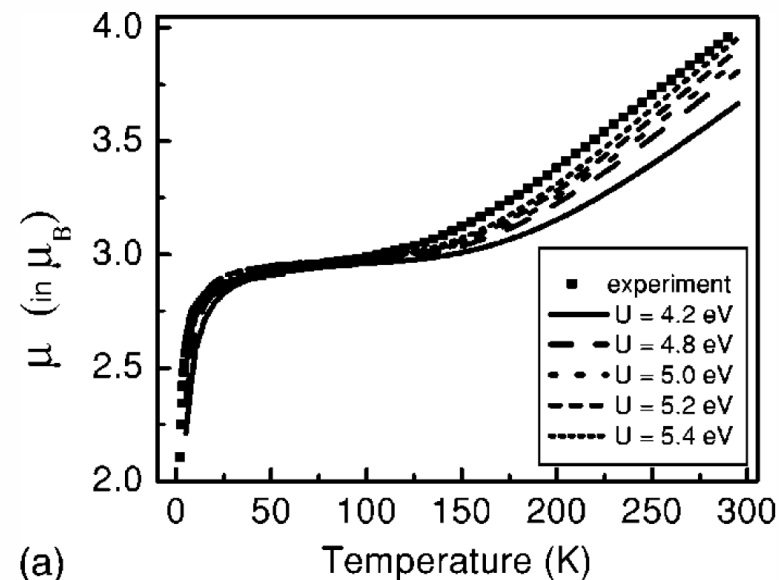
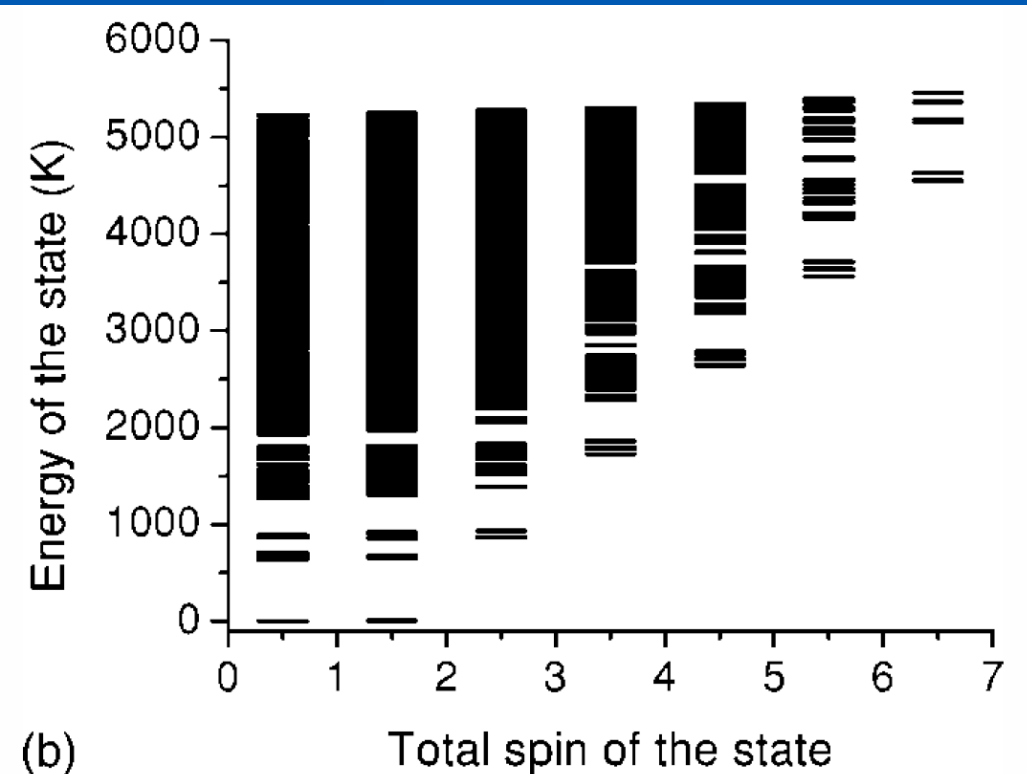
D. W. Boukhvalov,<sup>1,2</sup> V. V. Dobrovitski,<sup>3</sup> M. I. Katsnelson,<sup>2,4</sup> A. I. Lichtenstein,<sup>5</sup> B. N. Harmon,<sup>3</sup> and P. Kögerler<sup>3</sup>

TABLE II. The exchange parameters (in Kelvin), electronic gap, and the magnetic moments of V ions for different magnetic structures of V<sub>15</sub>. The calculations have been made for  $U=4$  eV,  $J=0.8$  eV.

parameter	AFM1	AFM2	FM
$J$	-910	-905	-942
$J'$	-45	-46	-53
$J''$	-136	-139	-156
$J_1$	-219	-247	-255
$J_2$	-134	-128	-132
$J_3$	-5	-5	-6
$J_4$	-13	-12	-15
$J_5$	-3	-3	-3
$J_6$	-3	-3	-3
gap	1.08	1.02	1.16
$\mu_{V1}$	-0.94	-0.93	-0.99
$\mu_{V2}$	+0.91	+0.92	-0.97
$\mu_{V3}$	-1.00	+0.97	-1.00

# *LDA+U calculations II*

Exact diagonalization  
for Heisenberg model



# *Mn<sub>12</sub>: full calculations*

PHYSICAL REVIEW B **00**, 004400 (2014)

## First-principles modeling of magnetic excitations in Mn<sub>12</sub>

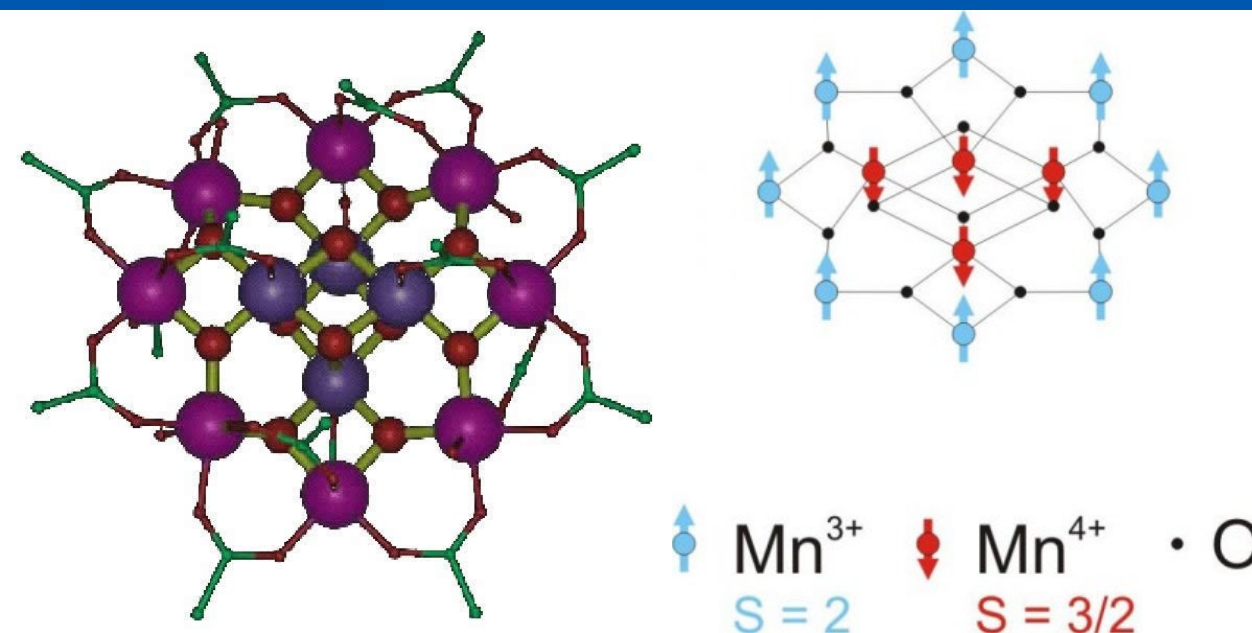
V. V. Mazurenko,<sup>1</sup> Y. O. Kvashnin,<sup>2,3</sup> Fengping Jin,<sup>4</sup> H. A. De Raedt,<sup>5</sup> A. I. Lichtenstein,<sup>6</sup> and M. I. Katsnelson<sup>1,7</sup>

### Motivation

*The prototype molecular magnet*

*Dimension of Hilbert space:*  
 $(2 \times 2 + 1)^8 (2 \times 3/2 + 1)^4 = 10^8$

*A real challenge!*



# *Mn<sub>12</sub>: full calculations II*

Inelastic neutron scattering data: cannot be explained without strong DM interactions (MIK, Dobrovistki & Harmon, PRB 1999)

Eight-spin model: S = ½ dimers from S=2 and S=3/2

Dimensionality of Hilbert space decreases to 10<sup>4</sup>

Cannot be justified quantitatively!

*Full LDA+U calculations plus Lanczos ED*

$$\hat{H} = \sum_{ij} J_{ij} \hat{S}_i \hat{S}_j + \sum_{i\mu\nu} \hat{S}_i^\mu A_i^{\mu\nu} \hat{S}_i^\nu + \sum_{ij} \vec{D}_{ij} [\hat{S}_i \times \hat{S}_j]$$

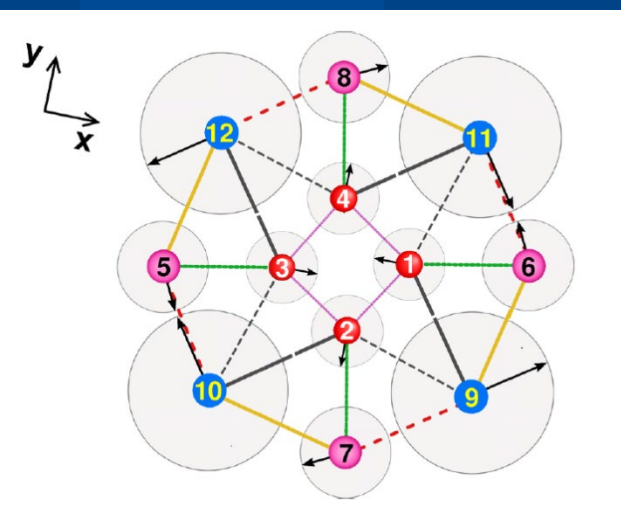


TABLE I. Intramolecular isotropic exchange interaction parameters (in meV) calculated by using the LDA + *U* approach. Positive sign corresponds to the antiferromagnetic coupling.

Bond ( <i>i, j</i> )	1-6	1-11	1-9	6-9	7-9	1-4	1-3
<i>J<sub>ij</sub></i> (this work)	4.6	1.0	1.7	-0.45	-0.37	-1.55	-0.5
<i>J<sub>ij</sub></i> (Ref. [4])	4.8	1.37	1.37	-0.5	-0.5	-1.6	-0.7
<i>J<sub>ij</sub></i> (Ref. [26])	7.4	1.72	1.72			-1.98	

# *Mn<sub>12</sub>: full calculations III*

TABLE II. Intramolecular anisotropic exchange interaction parameters calculated by using the LDA +  $U$  approach.  $\vec{R}_{ij}$  is a radius vector connecting  $i$ th and  $j$ th atoms (in units of  $a = 17.31$  Å).

Bond ( $i, j$ )	$\vec{R}_{ij}$	$\vec{D}_{ij}$ (meV)
2-7	(0.03; -0.16; 0.0)	(-0.008; -0.013; -0.002)
4-8	(-0.03; 0.16; 0.0)	(0.008; 0.013; -0.002)
1-6	(0.16; 0.03; 0.0)	(-0.013; 0.008; -0.002)
3-5	(-0.16; -0.03; 0.0)	(0.013; -0.008; -0.002)
1-11	(0.06; 0.18; 0.07)	(-0.020; 0.03; -0.055)
3-10	(-0.06; -0.18; 0.07)	(0.020; -0.03; -0.055)
2-9	(0.18; -0.06; -0.07)	(-0.03; -0.020; -0.055)
4-12	(-0.18; 0.06; -0.07)	(0.03; 0.020; -0.055)
1-9	(0.11; -0.16; 0.04)	(0.020; 0.014; 0.03)
3-12	(-0.11; 0.16; 0.04)	(-0.020; -0.014; 0.03)
2-10	(-0.16; -0.11; -0.04)	(-0.014; 0.020; 0.03)
4-11	(0.16; 0.11; -0.04)	(0.014; -0.020; 0.03)
6-9	(-0.04; -0.18; 0.04)	(-0.006; -0.004; -0.012)
5-12	(0.04; 0.18; 0.04)	(0.006; 0.004; -0.012)
7-10	(-0.18; 0.04; -0.04)	(0.004; -0.006; -0.012)
8-11	(0.18; -0.04; -0.04)	(-0.004; 0.006; -0.012)
7-9	(0.15; 0.1; -0.07)	(0.020; -0.004; 0.012)
8-12	(-0.15; -0.1; -0.07)	(-0.020; 0.004; 0.012)
6-11	(-0.1; 0.15; 0.07)	(-0.004; -0.020; 0.012)
5-10	(0.1; -0.15; 0.07)	(0.004; 0.020; 0.012)
4-1	(-0.10; 0.06; 0.11)	(-0.014; 0.005; -0.013)
1-2	(-0.06; -0.10; 0.11)	(-0.005; -0.014; -0.013)
3-4	(0.07; 0.1; 0.11)	(0.005; 0.014; -0.013)
2-3	(-0.10; 0.07; -0.11)	(0.014; -0.005; -0.013)
1-3	(-0.16; -0.03; 0.0)	(-0.006; 0.030; 0)
2-4	(-0.04; 0.17; 0.0)	(-0.030; -0.006; 0)

*Plus anisotropy tensors...*

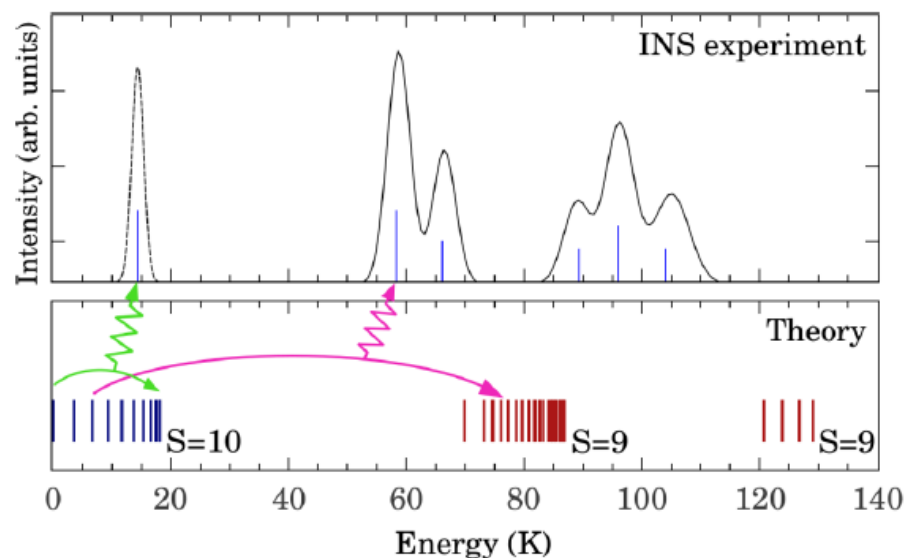


FIG. 2. (Color online) Schematic comparison of the theoretical spectrum obtained by diagonalizing Eq. (1) and INS spectrum taken from Ref. [12] (Figs. 6 and 8 therein). The arrows denote the intra- and interband transitions that correspond to the excitations observed in the INS experiment.

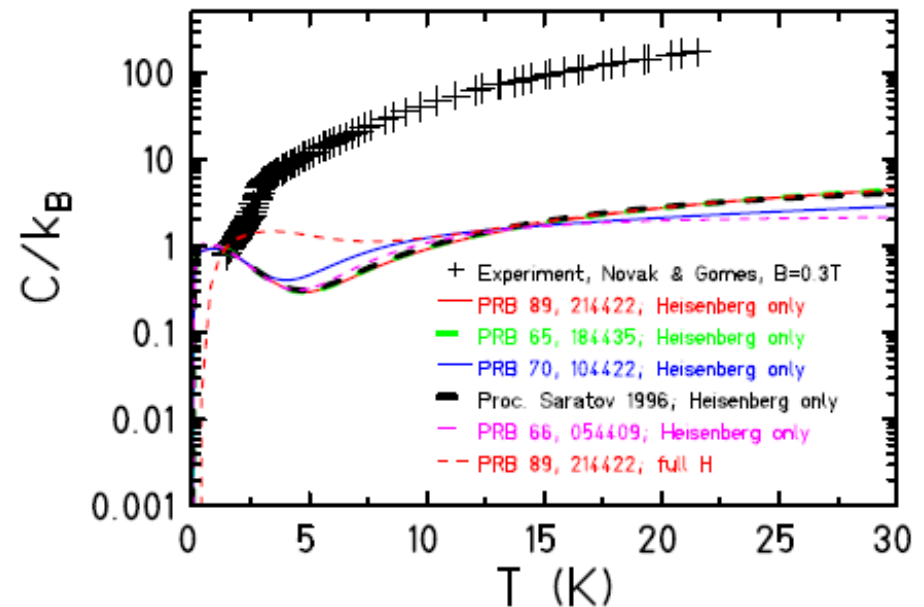
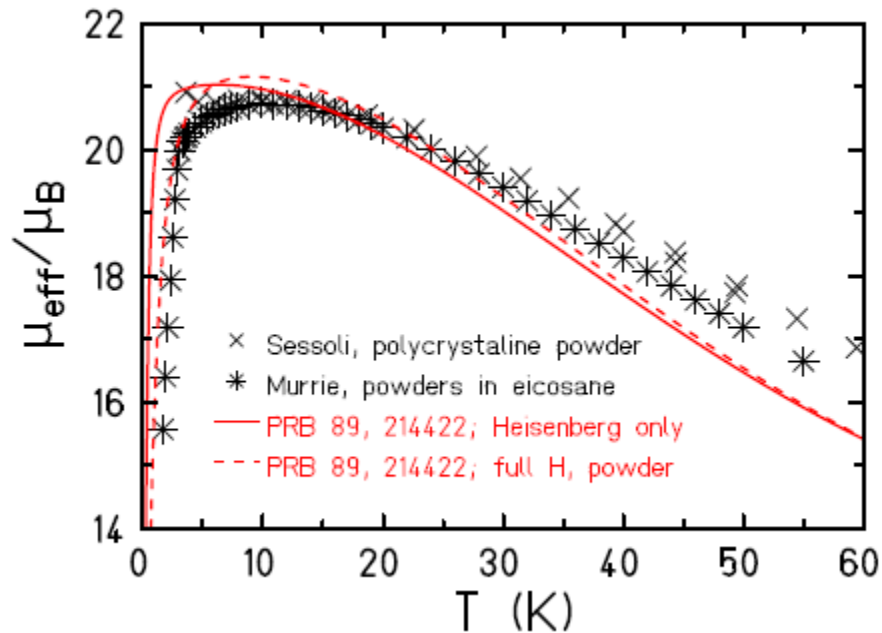
*No fitting parameters at all – not so bad!*

# *Mn<sub>12</sub>: full calculations IV*

PHYSICAL REVIEW B 92, 064424 (2015)

Thermodynamic observables of Mn<sub>12</sub>-acetate calculated for the full spin Hamiltonian

Oliver Hanebaum and Jürgen Schnack\*



*Also, thermodynamic quantities can be calculated*

# ***Beyond the talk***

*Finite-temperature effects*

*Ab initio spin dynamics for real systems*

*Intermediate level: TB spin dynamics*

*And many, many specific applications to real materials*

# ***Collaboration***

*Recent:*

*A. Lichtenstein and S. Brener (Hamburg)*

*A. Secchi and A. Rudenko (Nijmegen)*

*V. Mazurenko (Ekaterinburg)*

*Ya. Kvashnin and O. Eriksson (Uppsala)*

*and many other people involved in development of the formalism and calculations for specific materials in 1987-2013, esp. V. Antropov (Ames) and D. Boukhvalov (Seoul)*

***Thank you for your attention***