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Exchange interactions and itinerantelectron magnetism

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Outline

1. Introduction

- 2. Time-dependent DFT and magnetic susceptibility
- 3. Exchange interactions from first principles
- 4. Beyond DFT: correlated systems and LDA+DMFT
- 5. Itinerant-electron magnets: Fe, Co, Ni
- 6. Dzyaloshinskii-Moriya interactions
- 7. Application: Molecular magnets
- 8. Exchange interactions and bcc-fcc transformation in iron and steel

General formulation

System of interacting electrons (many-body problem) + crystal potential

External strong time-dependent laser field (nonequilibrium problem)

Temperature effects (thermal bath, open system, basic statistical mechanics)

Collect all difficulties of modern theoretical physics

Levels of description

- Macroscopic (LLG equations + temperature balance, etc.)

- Microscopic, classical Heisenberg model

- Microscopic, quantum itinerant-electron model

-Ab initio, time-dependent density functional

Multiscale problem

Time-dependent DFT

SE for many-body wave function in configurational space is replaced by single-particle nonlinear self-consistent equation

$$\begin{array}{c|c} \mathsf{Spinor} \\ \Psi = \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix} \end{array}$$

 $i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$

B is self-consistent magnetic field

$$H_L = -\nabla_{\mathbf{r}}^2 + \sum_{\mathbf{R}} V_{\mathbf{r}\mathbf{R}} + 2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V_{\mathbf{x}\mathbf{r}}$$

Simplifications

Adiabatic approx.: V_{xc} and B_{xc} are the same as in the equilibrium + local (spin) density approx.

$$i\frac{\partial\psi}{\partial t} = H\psi$$

$$H = -\nabla^{2} + V(\mathbf{r}) - \frac{1}{2}(\mathbf{B}_{xc}(\mathbf{r}) + \mathbf{B}_{ext}(\mathbf{r}))\sigma$$

$$V(\mathbf{r}) = V_{ext}(\mathbf{r}) + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\partial}{\partial n}[n\varepsilon_{xc}]$$

$$\mathbf{B}_{xc} = -2\frac{\mathbf{m}}{m}\frac{\partial}{\partial m}[n\varepsilon_{xc}]$$

n,m are charge and spin densities

Linear response: magnetic susceptibility

MIK & Lichtenstein, JPCM 16, 7439 (2004)

$$\mathbf{B}_{\mathrm{ext}}(\mathbf{r}) \rightarrow 0$$

$$\delta B_{\rm tot}^{\alpha} = \delta B_{\rm ext}^{\alpha} + \frac{\delta B_{\rm xc}^{\alpha}}{\delta m^{\beta}} \delta m^{\beta}$$

$$\delta m^{\alpha} = \hat{\chi}^{\alpha\beta} \delta B^{\beta}_{\rm ext}$$

$$(\hat{\chi}\varphi)(\mathbf{r}) = \int d\mathbf{r}' \,\chi(\mathbf{r},\mathbf{r}')\varphi(\mathbf{r}')$$

At the same time (Runge-Gross theorem, 1984) in TD-DFT

$$\delta m^{\alpha} = \hat{\chi}_0^{\alpha\beta} \delta B_{\rm tot}^{\beta}$$

A response of effective system of noninteracting Kohn-Sham particles (Liu & Vosko 1989 for magnetic case)

Linear response: magnetic susceptibility II

Rigorous exprression

$$\hat{\chi}^{\alpha\beta} = \hat{\chi}_0^{\alpha\beta} + \hat{\chi}_0^{\alpha\gamma} \frac{\delta B_{\rm xc}^{\gamma}}{\delta m^{\delta}} \hat{\chi}^{\delta\beta}$$

Adiabatic approximation plus LSDA:

$$\frac{\delta B_{\rm xc}^{\gamma}}{\delta m^{\delta}} = \frac{B_{\rm xc}}{m} \left(\delta_{\gamma\delta} - \frac{m^{\gamma}m^{\delta}}{m^2} \right) + \frac{m^{\gamma}m^{\delta}}{m^2} \frac{\partial B_{\rm xc}}{\partial m}$$

Transverse susceptibility is separated from (longitudinal spin + charge) susceptibilities

Transverse susceptibility

$$\chi^{+-}(\mathbf{r},\mathbf{r}',\omega) = \chi_0^{+-}(\mathbf{r},\mathbf{r}',\omega) + \int d\mathbf{r}'' \,\chi_0^{+-}(\mathbf{r},\mathbf{r}'',\omega) I_{\mathrm{xc}}(\mathbf{r}'') \,\chi^{+-}(\mathbf{r}'',\mathbf{r}',\omega)$$

$$I_{\rm xc} = \frac{B_{\rm xc}}{m} \text{Local Stoner} \quad m = \sum_{\mu\sigma} \sigma f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2 \\ n = \sum_{\mu\sigma} f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2.$$

$$\chi_0^{+-}(\mathbf{r},\mathbf{r}',\omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Kohn-Sham states

$$(H_0 - \frac{1}{2}\sigma B_{\rm xc})\psi_{\mu\sigma} = \varepsilon_{\mu\sigma}\psi_{\mu\sigma}$$
$$H_0 = -\nabla^2 + V(\mathbf{r})$$

Longitudinal susceptibility

$$\chi^{zz} = \frac{1}{4} \left(K^{\uparrow\uparrow} + K^{\downarrow\downarrow} - K^{\uparrow\downarrow} - K^{\downarrow\uparrow} \right)$$

$$\begin{split} K^{\uparrow\uparrow} &= X_{\uparrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\uparrow} + X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\uparrow} \\ K^{\downarrow\downarrow} &= X_{\downarrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\downarrow} + X_{\downarrow} U_{\downarrow\uparrow} K^{\uparrow\downarrow} \\ K^{\uparrow\downarrow} &= X_{\uparrow} U_{\uparrow\downarrow} K^{\downarrow\downarrow} + X_{\uparrow} U_{\uparrow\uparrow} K^{\uparrow\downarrow} \\ K^{\downarrow\uparrow} &= X_{\downarrow} U_{\downarrow\downarrow} K^{\uparrow\uparrow} + X_{\downarrow} U_{\downarrow\downarrow} K^{\downarrow\uparrow}. \end{split}$$

$$X_{\sigma}(\mathbf{r},\mathbf{r}') = \sum_{\mu\nu} \frac{f_{\mu\sigma} - f_{\nu\sigma}}{\omega - \varepsilon_{\mu\sigma} + \varepsilon_{\nu\sigma}} \psi^*_{\mu\sigma}(\mathbf{r}) \psi_{\nu\sigma}(\mathbf{r}) \psi_{\mu\sigma}(\mathbf{r}') \psi^*_{\nu\sigma}(\mathbf{r}')$$
$$U_{\sigma\sigma'} = \frac{\partial^2 (n\varepsilon_{\mathrm{xc}})}{\partial n_{\sigma} \partial n_{\sigma'}} \qquad n_{\sigma} = \frac{1}{2} (n + \sigma m)$$



After rigorous manipulations

$$\hat{\chi}^{+-} = (m + \hat{\Lambda})(\omega - I_{\rm xc}\hat{\Lambda})^{-1}$$

 $\Lambda(\mathbf{r},\mathbf{r}',\omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi^*_{\mu\uparrow}(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \nabla[\psi_{\mu\uparrow}(\mathbf{r}')\nabla\psi^*_{\nu\downarrow}(\mathbf{r}') - \psi^*_{\nu\downarrow}(\mathbf{r}')\nabla\psi_{\mu\uparrow}(\mathbf{r}')]$

Magnon pole
$$\omega(\mathbf{q}) = \frac{4}{M} \left[J(0) - J(\mathbf{q}) \right]$$

$$J(\mathbf{r},\mathbf{r}',\omega) = \frac{1}{4} \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi^*_{\mu\uparrow}(\mathbf{r}) B_{\mathrm{xc}}(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi^*_{\nu\downarrow}(\mathbf{r}') B_{\mathrm{xc}}(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Im part corresponds to Stoner damping

Alternative definition of exchanges

Static susceptibility

$$\hat{\chi}^{+-}(0) = m(\hat{\Omega}^{-1} - B_{\rm xc}^{-1})$$

$$\hat{\tilde{\Omega}} = \hat{\Omega}(1 - B_{\rm xc}^{-1}\hat{\Omega})^{-1}$$

The first way (poles of susceptibility) corresponds Liechtenstein, MIK & Gubanov, J. Phys. F 1984, the second way (static suscept.) Bruno, PRL 2003. The expressions for stiffness constant coincide and are rigorous within the adiabatic approximation + LSDA

Nonlocal corrections to magnon stiffnessMIK & Antropov, PRB 67, 140406 (2003)Exchange and correlation in spiral state of
homogeneous electron gasAngular gradient
corrections
$$E_{xc} = \int d\mathbf{r} \{ n \varepsilon_{xc}(n_{\uparrow}, n_{\downarrow}) + \lambda(n_{\uparrow}, n_{\downarrow}) D \}$$
 $D = (\nabla_{\alpha} e_{\beta}) (\nabla_{\alpha} e_{\beta}) = (\nabla \theta)^2 + \sin^2 \theta (\nabla \varphi)^2$ $\lambda(n_{\uparrow}, n_{\downarrow}) = -\frac{e^2}{16\pi^2} (\frac{1}{F} - \frac{4}{3}) (V_{xc}^{\dagger} p_{F\uparrow} + V_{xc}^{\downarrow} p_{F\downarrow})$ Corrections to stiffness
constant $-\frac{e^2}{96\pi^2 F^2} (V_{xc}^{\dagger} + V_{xc}^{\downarrow}) (p_{F\uparrow} + p_{F\downarrow}).$ $F = (p_{F\uparrow} + p_{F\downarrow}) I(n_{\uparrow}, n_{\downarrow})/2\pi^2$

Stiffness constants for Fe and Ni

(in meV/Å²)

Fe: LSDA with gradient corrections experiment

Ni: LSDA with gradient corrections experiment 239 251 280 - 310

692 735 550-630

Corrections are quite small

Stoner damping in Fe and Ni



Nonlinear spin dynamics

Rigid spin approximation: slow spin motions, well-defined magnetic moments

Antropov, MIK et al, PRL 75, 729 (1995); PRB 54, 1019 (1996)

1. Start with time-dependent Schroedinger equation

$$i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$$

$$\Psi \!=\! \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix}$$

2. Time-dependent unitary transformation tp a coordinate system where spin at given *rt* is along *z* axis $T_{T} = T_{T}$

$$U(\xi) = (1 + |\xi|^2)^{-1/2} \begin{pmatrix} 1 & \xi \\ -\xi^* & 1 \end{pmatrix} \quad \xi = -\exp(-i\varphi) \tan \theta/2$$

Nonlinear spin dynamics II

In the local coordinate system the Hamiltonian has diagonal part

$$H_{\text{diag}} = -\hat{\sigma}_z B_z - i U^{\dagger}(\xi) \frac{d}{dt} U(\xi) \qquad U^{\dagger}(\xi) \frac{d}{dt} U(\xi) = \frac{\xi \dot{\xi}^* - \xi^* \dot{\xi}}{1 + |\xi|^2} \hat{\sigma}_z = i(1 - \cos\theta) \dot{\varphi} \hat{\sigma}_z$$

1. Off-diagonal part can be neglected if local spin splitting is much larger than frequency of rotations (Stoner splitting much larger than typical magnon energy) Valid for d(f) magnets, may be incorrect for s(p) magnets (if any) (Edwards & MIK, JPCM 2006)

2. Rigid rotation within atomic spheres (neglecting terms with gradients of angles) Valid for well-defined local moments, wrong for weak magnets such as $ZrZn_2$ or Sc_3In

Nonlinear spin dynamics III

$$\dot{\mathbf{e}}_{\nu} = -\frac{2}{\mu_{\nu}} \left[\mathbf{e}_{\nu} \times \mathbf{I}_{\nu} \right]$$

Ab initio SD

$$\mathbf{e} = \left(-\frac{\xi + \xi^*}{1 + |\xi|^2}, i \frac{\xi - \xi^*}{1 + |\xi|^2}, \frac{1 - |\xi|^2}{1 + |\xi|^2} \right)$$

Magnetic moment direction

 $\mathbf{I}_{\nu} = \delta E / \delta \mathbf{e}_{\nu}$

Torque, *E* is the total energy

Magnetic force theorem

(Lichtenstein & MIK 1984)

Total energy in DF

$$\begin{split} E &= E_{sp} - E_{dc} \\ E_{sp} &= \sum_{\nu}^{occ} \mathcal{E}_{\nu} \\ E_{dc} &= E_{Hartree} + \int dr Tr \left[\rho \frac{\delta E_{xc}}{\delta \rho} \right] - E_{xc} \end{split}$$

Variation

$$\delta E = \delta^* E_{sp} + \delta_1 E_{sp} - \delta E_{dc} = \delta^* E_{sp} = \delta^* \int_{-\infty}^{\varepsilon_F} d\varepsilon \left[\frac{1}{\pi} Tr \operatorname{Im} \hat{G}(\varepsilon) \right]$$



at fixed potential



due to change of potential

Magnetic force theorem II

- Torque can be written in terms of variation of the density of states
- Decomposition of the torque in pair terms gives exchange integrals (LK)
- These exchange parameters are local (near given magnetic configuration)
- Adding constrain to stabilize rotated configuration gives exchange parameters (Bruno)

Exchange parameters for *d* metals are strongly non-Heisenbergian (depend on magnetic configuration) (Turzhevskii, Lichtenstein & MIK, Fiz. Tverd. Tela 1990)

Example: magnetism of Fe, Co, Ni

M PERIODIC TABLE



Gd

Cm

ть

Bk

Dy

Cf

Ho

Es

Er

Fm

Yb

No

Lr

Tm

Md



Ferromagnetism of iron is known from ancient times



Pr

Pa

Th

Nd

Pm

Np

Sm

Pu

€a

Am



Cobalt



Nickel

Iron

Problem: coexistence of localized and itinerant behavior

Multiplets Bands f |dⁿSLM_SM_I> d sp

Iron, majority spin FS

Local magnetic moments do exist above T_C (Curie-Weiss law, spectroscopy, neutrons...)

d electrons are itinerant (FS, chemical bonding, transport...





4f electrons are normally pure localized but not 3d



Dynamical Mean Field Theory I

A.Georges, G.Kotliar, W.Krauth and M.Rozenberg, Rev. Mod. Phys. '96

A natural generalization of the familiar MFT to the problem of electrons in a lattice

Key idea: take one site out of a lattice and embed it in a selfconsistent bath = mapping to an effective impurity problem





Dynamical Mean Field Theory II



W. Metzner and D. Vollhardt (1987) A. Georges and G. Kotliar (1992)

$$\hat{G}(i\omega_n) = \frac{1}{\Omega} \sum_{\vec{k}}^{BZ} \hat{G}(\vec{k}, i\omega_n)$$

$$\hat{G}_0^{-1}(i\omega_n) = \hat{G}^{-1}(i\omega_n) + \hat{\Sigma}(i\omega_n)$$

$$\hat{\Sigma}_{new}(i\omega_n) = \hat{G}_0^{-1}(i\omega_n) - \hat{G}^{-1}(i\omega_n)$$

Ferromagnetism of transition metals: LDA+DMFT

Ferromagnetic Ni DMFT vs. LSDA:

- 30% band narrowing
- 50% spin-splitting reduction
- -6 eV sattellite



LDA+DMFT with ME J. Braun *et al* PRL (2006)



Lichtenstein, MIK, Kotliar, PRL (2001)

Orbital magnetic moments

Orbital magnetism in transition metal systems: The role of local correlation effects

S. Chadov, J. Minár, M. I. Katsnelson, H. Ebert, D. Ködderitzsch and A. I. Lichtenstein

EPL, 82 (2008) 37001





For Fe_xCo_{1-x} alloys



LDA+Disordered Local Moments

The best first-principle Spin-fluctuation model with classical moments

J. Staunton and B. Gyorffy PRL69, 371 (1992)



DMFT Effective Magnetic Moments: T>T_c

μ	exp	eff	loc	DLM	Тс	exp
Fe	3.13	3.09	2.8	1.96	1900	1043
Ni	1.62	1.5	1.3	1.21	700	631



ARPES for iron

PRL 103, 267203 (2009)

PHYSICAL REVIEW LETTERS

week ending 31 DECEMBER 2009

Strength of Correlation Effects in the Electronic Structure of Iron

J. Sánchez-Barriga,¹ J. Fink,^{1,2} V. Boni,³ I. Di Marco,^{4,5} J. Braun,⁶ J. Minár,⁶ A. Varykhalov,¹ O. Rader,¹ V. Bellini,³ F. Manghi,³ H. Ebert,⁶ M. I. Katsnelson,⁵ A. I. Lichtenstein,⁷ O. Eriksson,⁴ W. Eberhardt,¹ and H. A. Dürr¹

Agreement is not bad (much better than LDA/GGA) but essentially worse than in nickel. Correlations in iron are not quite local



ARPES for 3d metals

Variation of U

does not help

too much for Fe

PHYSICAL REVIEW B 85, 205109 (2012)

Effects of spin-dependent quasiparticle renormalization in Fe, Co, and Ni photoemission spectra: An experimental and theoretical study

J. Sánchez-Barriga,¹ J. Braun,² J. Minár,² I. Di Marco,³ A. Varykhalov,¹ O. Rader,¹ V. Boni,⁴ V. Bellini,⁵ F. Manghi,⁴ H. Ebert,² M. I. Katsnelson,⁶ A. I. Lichtenstein,⁷ O. Eriksson,³ W. Eberhardt,¹ H. A. Dürr,^{1,8} and J. Fink^{1,9}



Black – spin up, red – spin down Upper panel – exper,lower - DMFT



TABLE I. Values of the experimental and theoretical mass enhancement factors m^*/m_0 for majority spin states at high symmetry points of the BBZ of Fe, Co, and Ni, respectively. The theoretical values are derived for U(Fe) = 1.5 eV, U(Co) = 2.5 eV, U(Ni) = 2.8 eV.

	Fe		Со		Ni	
Г N	Expt. Theory 1.7 1.2 1.1 1.2	Г А	Expt. Theory 1.26 1.31 1.29 1.31	$\Gamma \ \Lambda$	Expt. Theory 2.0 1.8 1.9 1.8	

Why Ni is more local than Fe?



http://www.phys.ufl.edu/fermisurface

Nickel is almost half-metallic: majority-spin FS almost coincides with the boundaries of the Brillouin band

But the difference for minority spin is even more dramatic Occupations for majority (minority) electrons 5 means full occupation

Fe: 4.6 (2.34) Ni: 4.82 (4.15)

Why Ni is more local than Fe II

Friedel oscillations originating from FS are much weaker in nickel

PHYSICAL REVIEW B, VOLUME 64, 174402

Ab initio calculations of exchange interactions, spin-wave stiffness constants, and Curie temperatures of Fe, Co, and Ni

M. Pajda,¹ J. Kudrnovský,^{2,1} I. Turek,^{3,4} V. Drchal,² and P. Bruno¹ TABLE I. Effective Heisenberg exchange parameters J_{0j} for ferromagnetic Fe, Co, and Ni for the first 10 shells. Quantities \mathbf{R}_{0j} and N_s denote, respectively, shell coordinates in units of corresponding lattice constants and the number of equivalent sites in the shell.

	Fe (bcc)			Co (fcc)			Ni (fcc)	
\mathbf{R}_{0j}	N_s	J_{0j} (mRy)	\mathbf{R}_{0j}	N_s	J_{0j} (mRy)	\mathbf{R}_{0j}	N_s	J_{0j} (mRy)
$(\frac{1}{2}\frac{1}{2}\frac{1}{2}\frac{1}{2})$	8	1.432	$(\frac{1}{2}\frac{1}{2}0)$	12	1.085	$(\frac{1}{2}\frac{1}{2}0)$	12	0.206
(100)	6	0.815	(100)	6	0.110	(100)	6	0.006
(110)	12	-0.016	$(1\frac{1}{2}\frac{1}{2})$	24	0.116	$(1\frac{1}{2}\frac{1}{2})$	24	0.026
$(\frac{3}{2}\frac{1}{2}\frac{1}{2}\frac{1}{2})$	24	-0.126	(110)	12	-0.090	(110)	12	0.012
(111)	8	-0.146	$(\frac{3}{2}\frac{1}{2}0)$	24	0.026	$(\frac{3}{2}\frac{1}{2}0)$	24	0.003
(200)	6	0.062	(111)	8	0.043	(111)	8	-0.003
$\left(\frac{3}{2}\frac{3}{2}\frac{1}{2}\frac{1}{2}\right)$	24	0.001	$(\frac{3}{2}1\frac{1}{2})$	48	-0.024	$(\frac{3}{2}1\frac{1}{2})$	48	0.007
(210)	24	0.015	(200)	6	0.012	(200)	6	-0.001
(211)	24	-0.032	$(\frac{3}{2},\frac{3}{2},0)$	12	0.026	$(\frac{3}{2},\frac{3}{2},0)$	12	-0.011
$(\frac{3}{2}\frac{3}{2}\frac{3}{2}\frac{3}{2})$	8	0.187	$(2\frac{1}{2}\frac{1}{2})$	24	0.006	$(2\frac{1}{2}\frac{1}{2})$	24	0.001

As a result:

Magnons are much softer in Fe than in Ni (Curie temp. Higher but magnon frequencies lower)

	$D_{ex} (\mathrm{meV \AA^2})$	T_C^{ex} (K)
Fe	280, ^a 330 ^b	1044–1045
Co	580, ^{c, a} 510 ^b	1388–1398°
Ji	555, ^d 422 ^a	624–631

The softer magnons the stronger nonlocal e-m intercation

Exchange and Functionals

$$\Omega^{d} = \Omega^{d}_{sp} - \Omega^{d}_{dc}$$
$$\Omega^{d}_{sp} = -Tr \left\{ \ln \left[\Sigma - G_{0}^{-1} \right] \right\}$$
$$\Omega^{d}_{dc} = Tr\Sigma G - \Phi$$

$$G^{-1}=G_0^{-1}-\Sigma$$

$$\Sigma = \frac{\delta \Phi}{\delta G}$$

$$\delta\Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta\Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta \Omega_{dc} = TrG\delta\Sigma$$

$$\delta\Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln\left[\Sigma - G_0^{-1}\right]$$

LDA+DMFT

(Lichtenstein & MIK 1997, 1998, 1999; Anisimov et al 1997)

LSDA	LDA++
Density functional	Baym-Kadanoff functional
Density $\rho(\mathbf{r})$	Green-Function $G(\mathbf{r}, \mathbf{r}', E)$
Potential $V_{xc}(\mathbf{r})$	Self-energy $\Sigma_i(E)$
$E_{tot} = E_{sp} - E_{dc}$	$\Omega = \Omega_{sp} - \Omega_{dc}$
$E_{sp} = \sum_{\lambda < \lambda_F} \varepsilon_{\lambda}$	$\Omega_{sp} = -Tr\ln[-G^{-1}]$
$E_{dc} = E_H + \int \rho V_{xc} d\mathbf{r} - E_{xc}$	$\Omega_{dc} = Tr\Sigma G - \Phi_{LW}$
Temperature:	Matsubara frequencies: real-T
in the Fermi function	for collective excitations

Exchange interactions from DMFT

Heisenberg exchange:

Magnetic torque:

 $\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$

$$H = -\sum_{ij} J_{ij} S_i S_j$$
$$\delta \Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta \varphi_i$$

$$\mathbf{V}_i = 2Tr_{\omega L} \left[\mathbf{\Sigma}_i^s \times \mathbf{G}_{ii}^s \right]$$

$$J_{ij} = -Tr_{\omega L} \left(\boldsymbol{\Sigma}_{i}^{s} \boldsymbol{G}_{ij}^{\uparrow} \boldsymbol{\Sigma}_{j}^{s} \boldsymbol{G}_{ji}^{\downarrow} \right)$$

 $\Sigma_i^s = \frac{1}{2} \left(\Sigma_i^{\uparrow} - \Sigma_i^{\downarrow} \right)$

Exchange interactions:

$$\omega_{\mathbf{q}} = \frac{4}{M} \sum_{j} J_{0j} \left(1 - \cos \mathbf{q} \mathbf{R}_{j} \right) \equiv \frac{4}{M} [J(0) - J(\mathbf{q})]$$

Non-collinear magnetism

MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

Alternative view

First- and secondorder smallness in theta angle!

$$\delta H = \sum_{ij} \operatorname{Tr}_{L\sigma} \left[t_{ij} c_i^+ \left(U_i^+ U_j - 1 \right) c_j \right] = \delta_1 H + \delta_2 H$$

$$\delta_{1}H = \sin^{2}\frac{\theta}{2}\sum_{k} \operatorname{Tr}_{L\sigma}\left[\left(t\left(\mathbf{k}+\mathbf{q}\right)-t\left(\mathbf{k}\right)\right)c_{\mathbf{k}}^{+}c_{\mathbf{k}}\right]$$
$$\delta_{2}H = \frac{1}{2}\sin\theta\sum_{ij}\operatorname{Tr}_{L}\left[t_{ij}c_{i\downarrow}^{+}c_{j\uparrow}\right]$$
$$\times\left(\exp\left(i\mathbf{q}\mathbf{R}_{i}\right)-\exp\left(i\mathbf{q}\mathbf{R}_{j}\right)\right).$$

Total energy corrections by diagram technique neglecting vertex corrections \rightarrow our exchanges

$$\omega_{\mathbf{q}} = D_{\alpha\beta} q_{\alpha} q_{\beta}, \ \mathbf{q} \to \mathbf{0} \ D_{\alpha\beta} = -\frac{2}{M} \operatorname{Tr}_{\omega L} \sum_{\mathbf{k}} \left(\Sigma^{s} \frac{\partial G^{\uparrow}(\mathbf{k})}{\partial k_{\alpha}} \Sigma^{s} \frac{\partial G^{\downarrow}(\mathbf{k})}{\partial k_{\beta}} \right)$$

Exact within DMFT (local self-energy!)

Results for Fe and Ni

400

Energy (meV)

H





Fig. 2. Spin-wave spectrum for ferromagnetic nickel in LDA+SPTF scheme with different U and J = 1 eV in comparison with experimental magnon spectrum (Ref. [36]) in $\Gamma - L$ direction.

Ni

Dzialoshinskii-Moriya interactions

MIK, Kvashnin, Mazurenko & Lichtenstein, PRB 82, 100403 (2010)

LDA+U

$$\hat{H} = \hat{H}_t + \hat{H}_u$$
$$= \sum_{12} c_1^+ t_{12} c_2 + \frac{1}{2} \sum_{1234} c_1^+ c_2^+ U_{1234} c_3 c_4$$

DM interactions (weak FM, etc.)

$$H_{DM} = \sum_{ij} \vec{D}_{ij} [\vec{e}_i \times \vec{e}_j]$$

Small rotations

$$\hat{R}_i = e^{i\delta\vec{\varphi}_i\vec{J}}$$

$$\hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

Dzialoshinskii-Moriya interactions II

Starting from collinear configuration

$$\delta \hat{H}_t = \sum_{ij} c_i^+ (\delta \hat{R}_i^+ \hat{t}_{ij} + \hat{t}_{ij} \delta \hat{R}_j) c_j$$
$$= -i \sum_{ij} c_i^+ (\delta \vec{\varphi}_i \hat{\vec{J}} \hat{t}_{ij} - \hat{t}_{ij} \hat{\vec{J}} \delta \vec{\varphi}_j) c_j$$
$$= -\frac{i}{2} \sum_{ij} c_i^+ (\delta \vec{\varphi}_i - \delta \vec{\varphi}_j) (\hat{\vec{J}} \hat{t}_{ij} + \hat{t}_{ij} \hat{\vec{J}}) c_j$$

$$\vec{D}_{ij} = -\frac{i}{2} Tr_{m,\sigma} \langle c_i^+ [\hat{\vec{J}}, \hat{t}_{ij}]_+ c_j \rangle = -\frac{i}{2} Tr_{m,\sigma} N_{ji} [\hat{\vec{J}}, \hat{t}_{ij}]_+$$

=

$$N_{ji} = \langle c_i^+ c_j \rangle = -\frac{1}{\pi} \int_{-\infty}^{E_f} Im G_{ji}(E) dE$$

-

Applications to La₂CuO₄



Canting angle 0.005 Exper. 0.003

TABLE II: Different contributions to Dzyaloshinskii-Moriya vector (in meV).

$ec{R}_{1j}$	$ec{D}_{1j}^{spin}$	$ec{D}_{1j}^{orb}$
(1,2)	(-0.005; -0.006; 0.0)	(-0.07; -0.03; 0.0)
(1,3)	(-0.005; 0.006; 0.0)	(-0.07; 0.03; 0.0)
(1,4)	(-0.005; -0.006; 0.0)	(-0.07; -0.03; 0.0)
(1,5)	(-0.005; 0.006; 0.0)	(-0.07; 0.03; 0.0)



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Measuring the Dzyaloshinskii-Moriya interaction in a weak ferromagnet

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A novel exper. technique to measure DM vector and not only canting angle (resonant X-ray scattering)



TABLE I. Calculated values of isotropic exchange interactions between magnetic moments in

 ${\rm FeBO}_3$ (in meV). The number in parentheses denotes the coordination sphere.

Bond $m - n$	\mathbf{R}_{mn}	$\mathbf{D}_{mn} \ (\mathrm{meV})$
0-1	(1.0 ; 0.0 ; -0.904)	(-0.25; 0.0; -0.24)
0-2	$(-0.5; -\sqrt{3}/2; -0.904)$	(0.12; 0.22; -0.24)
0-3	$(-0.5 ; \sqrt{3}/2 ; -0.904)$	(0.12; -0.22; -0.24)
0-4	(-1.0; 0.0; 0.904)	(-0.25; 0.0; -0.24)
0-5	$(0.5; -\sqrt{3}/2; 0.904)$	(0.12; -0.22; -0.24)
0-6	$(0.5 ; \sqrt{3}/2 ; 0.904)$	(0.12; 0.22; -0.24)

TABLE III. Parameters of Dzyaloshinskii-Moriya interaction (in meV) calculated by using Eq. (6).

Agrees well with exper.

Molecular magnets

Example: V_{15} AFM ground state S = 1/2

$V_{15}(K_6[V_{15}As_6O_{42}(H_2O)] \cdot 8H_2O)$





LDA+U calculations

PHYSICAL REVIEW B 70, 054417 (2004)

Electronic structure and exchange interactions in V₁₅ magnetic molecules: LDA+U results

D. W. Boukhvalov,^{1,2} V. V. Dobrovitski,³ M. I. Katsnelson,^{2,4} A. I. Lichtenstein,⁵ B. N. Harmon,³ and P. Kögerler³

TABLE II. The exchange parameters (in Kelvin), electronic gap, and the magnetic moments of V ions for different magnetic structures of V₁₅. The calculations have been made for U=4 eV, J=0.8 eV.

parameter	AFM1	AFM2	FM
J	-910	-905	-942
J'	-45	-46	-53
$J^{\prime\prime}$	-136	-139	-156
J_1	-219	-247	-255
J_2	-134	-128	-132
J_3	-5	-5	-6
J_4	-13	-12	-15
J_5	-3	-3	-3
J_6	-3	-3	-3
gap	1.08	1.02	1.16
μ_{V1}	-0.94	-0.93	-0.99
μ_{V2}	+0.91	+0.92	-0.97
μ_{V3}	-1.00	+0.97	-1.00

LDA+U calculations II

Exact diagonalization for Heisenberg model





Mn₁₂: full calculations

PHYSICAL REVIEW B 00, 004400 (2014)

First-principles modeling of magnetic excitations in Mn₁₂

V. V. Mazurenko,¹ Y. O. Kvashnin,^{2,3} Fengping Jin,⁴ H. A. De Raedt,⁵ A. I. Lichtenstein,⁶ and M. I. Katsnelson^{1,7}



$[Mn_{12}O_{12}(CH_{3}COO)_{16}(H_{2}O)_{4}] \cdot 2CH_{3}COOH \cdot 4H_{2}O$

Mn₁₂: full calculations II

Inelastic netron scattering data: cannot be explained without strong DM interactions (MIK, Dobrovistki & Harmon, PRB 1999

Eight-spin model: $S = \frac{1}{2}$ dimers from S=2 and S=3/2 Dimensionality of Hilbert space decreases to 10^4 Cannot be justified quantitatively!

Full LDA+U calculations plus Lanczos ED

$$\hat{H} = \sum_{ij} J_{ij} \hat{\vec{S}}_i \hat{\vec{S}}_j + \sum_{i\mu\nu} \hat{S}_i^{\mu} A_i^{\mu\nu} \hat{S}_i^{\nu} + \sum_{ij} \vec{D}_{ij} [\hat{\vec{S}}_i \times \hat{\vec{S}}_j]$$



TABLE I. Intramolecular isotropic exchange interaction parameters (in meV) calculated by using the LDA + U approach. Positive sign corresponds to the antiferromagnetic coupling.

Bond (i, j)	1–6	1–11	1–9	6–9	7–9	1–4	1–3
$ J_{ij} \text{ (this work)} \\ J_{ij} \text{ (Ref. [4])} \\ J_{ij} \text{ (Ref. [26])} $	4.6 4.8 7.4	1.0 1.37 1.72	1.7 1.37 1.72	$-0.45 \\ -0.5$	-0.37 -0.5	-1.55 -1.6 -1.98	-0.5 -0.7

Mn₁₂: full calculations III

TABLE II. Intramolecular anisotropic exchange interaction parameters calculated by using the LDA + U approach. \vec{R}_{ij} is a radius vector connecting *i*th and *j*th atoms (in units of a = 17.31 Å).

Bond (i, j)	$ec{R}_{ij}$	\vec{D}_{ij} (meV)
2–7	(0.03; -0.16; 0.0)	(-0.008; -0.013; -0.002)
4-8	(-0.03; 0.16; 0.0)	(0.008; 0.013; -0.002)
1–6	(0.16; 0.03; 0.0)	(-0.013; 0.008; -0.002)
3–5	(-0.16; -0.03; 0.0)	(0.013; -0.008; -0.002)
1-11	(0.06; 0.18; 0.07)	(-0.020; 0.03; -0.055)
3-10	(-0.06; -0.18; 0.07)	(0.020; -0.03; -0.055)
2–9	(0.18; -0.06; -0.07)	(-0.03; -0.020; -0.055)
4–12	(-0.18; 0.06; -0.07)	(0.03; 0.020; -0.055)
1–9	(0.11; -0.16; 0.04)	(0.020; 0.014; 0.03)
3-12	(-0.11; 0.16; 0.04)	(-0.020; -0.014; 0.03)
2-10	(-0.16; -0.11; -0.04)	(-0.014; 0.020; 0.03)
4-11	(0.16; 0.11; -0.04)	(0.014; -0.020; 0.03)
6–9	(-0.04; -0.18; 0.04)	(-0.006; -0.004; -0.012)
5-12	(0.04; 0.18; 0.04)	(0.006; 0.004; -0.012)
7–10	(-0.18; 0.04; -0.04)	(0.004; -0.006; -0.012)
8-11	(0.18; -0.04; -0.04)	(-0.004; 0.006; -0.012)
7–9	(0.15; 0.1; -0.07)	(0.020; -0.004; 0.012)
8-12	(-0.15; -0.1; -0.07)	(-0.020; 0.004; 0.012)
6-11	(-0.1; 0.15; 0.07)	(-0.004; -0.020; 0.012)
5-10	(0.1; -0.15; 0.07)	(0.004; 0.020; 0.012)
4–1	(-0.10; 0.06; 0.11)	(-0.014; 0.005; -0.013)
1–2	(-0.06; -0.10; 0.11)	(-0.005; -0.014; -0.013)
3-4	(0.07; 0.1; 0.11)	(0.005; 0.014; -0.013)
2–3	(-0.10; 0.07; -0.11)	(0.014; -0.005; -0.013)
1–3	(-0.16; -0.03; 0.0)	(-0.006; 0.030; 0)
2–4	(-0.04; 0.17; 0.0)	(-0.030; -0.006; 0)

Plus anisotropy tensors...



FIG. 2. (Color online) Schematic comparison of the theoretical spectrum obtained by diagonalizing Eq. (1) and INS spectrum taken from Ref. [12] (Figs. 6 and 8 therein). The arrows denote the intraand interband transitions that correspond to the excitations observed in the INS experiment.

No fitting parameters at all – not so bad!

α-γ transformation in Fe: role of magnetism

Zener: bcc phase of Fe is stabilized by magnetism (DOS peaks destabilizing crystal lattice are moved from the Fermi energy)

SCIENTIFIC REPORTS

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SUBJECT AREAS: MAGNETIC PROPERTIES AND MATERIALS ELECTRONIC PROPERTIES AND MATERIALS phase stability of iron up to the melting temperature

Electronic correlations determine the

I. Leonov¹, A. I. Poteryaev^{2,3}, Yu. N. Gornostyrev^{2,3}, A. I. Lichtenstein⁴, M. I. Katsnelson^{5,6}, V. I. Anisimov^{2,6} & D. Vollhardt¹

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Figure 2 | Calculated phonon dispersions of paramagnetic bcc iron near the α -to- γ and γ -to- δ phase transitions for different temperatures.



Figure 1 | Calculated phonon dispersion curves for bcc iron near the Curie temperature T_{C} . The results are compared with neutron inelastic scattering measurements at 1043 K.

Dynamical instability as a result of disappearance of magnetic moments

DMFT is essential!!!

Frustrated magnetism in y-Fe

Antropov et al, PRL 1995 - first practical application of ab initio SD





Many magnetic structures with very close energies (frustration); strong dependence on lattice constants Also in Fe-Ni alloys

Origin of the Invar effect in iron-nickel alloys

NATURE VOL 400 1 JULY 1999

Application to Invar problem

Mark van Schilfgaarde*, I. A. Abrikosov† & B. Johansson†







Atomic volumes

in a.u.

Fe-Ni

Frustrated magnetism in y-Fe II

PHYSICAL REVIEW B 71, 054402 (2005)

Origin of magnetic frustrations in Fe-Ni Invar alloys

A. V. Ruban,¹ M. I. Katsnelson,^{2,3} W. Olovsson,³ S. I. Simak,^{3,4} and I. A. Abrikosov⁴

FIG. 1. (Color online) Effective exchange parameters for Fe J_0^{Fe} (thick black solid line) and Ni J_0^{Ni} (thin black dot-double dashed line), as well as average pair exchange parameters $\langle J_p^{\text{Fe}} \rangle = (1/z_p) \Sigma_{j \in p} [c J_{0j}^{\text{Fe}-\text{Fe}} + (1-c) J_{0j}^{\text{Fe}-\text{Ni}}]$ for the first (red long-dashed line), second (dark blue dotted line), third (green short-dashed line), and fourth (light blue dot-dashed line) shells in (a) fcc Fe, (b) Fe₆₅Ni₃₅ alloy, and (c) Fe₅₀Ni₅₀ alloy as a function of the volume per atom. z_p is the coordination number of the *p*th coordination shell, and *c* is the Fe concentration. In the figure $\langle J_p^{\text{Fe}} \rangle$ are multiplied with z_p in order to show their actual contribution to J_0^{Fe} . Vertical dashed lines in (b) and (c) show calculated equilibrium volume at corresponding concentrations. The vertical dotted line in (c) indicates estimated volume where fcc Fe₅₀Ni₅₀ alloy shows Invar behavior under pressure, Ref. 5. Calculations are done within the coherent potential approximation (see text for the discussion).

Total exchange is determined by J_1 ; longrange oscillating tail favors frustrations. J_1 strongly depend on volume



Frustrated magnetism in y-Fe III

Dkatov, Gornostvrev, Lichtenstein & MIK, PR B 84, 214422 (2011)





FIG. 5. (Color online) The exchange parameter as a function of interatomic distance to the *n*th neighbor $J_n(R_n)$ for different c/a ratios.

FIG. 3. (Color online) Exchange parameters J_n for n = 1,2,3,4,5 for different lattice parameters: dependence J_n on a volume of fcc (a) and bcc (b) Fe; dependence J_n on (c/a) at fixed volumes $\Omega = 11.44$ Å³ (c) and $\Omega = 12.0$ Å³ (d), respectively.

Exchange parameters are very sensitive not only to volume but also to tetragonal deformations – stabilization of fct phase



FIG. 4. (Color online) Dependence of the total exchange parameter J_0 on volume Ω and c/a ratio as a contour plot $J_0(\Omega, c/a)$.

Magnetism along the Bain path in Fe Okatov, Kuznetsov, Gornostyrev, Urtsev & MIK, PR B 79,

doi:10.1088/0953-8984/25/13/135401



Transition without barrier starting from FM state

A very important consequences for morphology of the transformation



Free energy to be used in phase field 1-5: T=0K; 700; 1000; 1300; ∞ Magnetic temperature

J. Phys.: Condens. Matter 25 (2013) 135401 (9pp)

Effect of magnetism on kinetics of $\gamma - \alpha$ transformation and pattern formation in iron

I K Razumov^{1,2}, Yu N Gornostyrev^{1,2} and M I Katsnelson³

Carbon impurity in y-Fe: Role of exchange interactions

PRL 99, 247205 (2007)

PHYSICAL REVIEW LETTERS

week ending 14 DECEMBER 2007

Magnetism and Local Distortions near Carbon Impurity in γ -Iron

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Solution: local tetragonal distortions and local FM ordering



FIG. 2 (color online). Exchange parameters (in K) for different Fe-Fe pairs in original fcc lattice (a); in fcc lattice with carbon interstitial impurity without (b) and with (c) relaxation taken into account. Arrows indicate direction of atomic displacements during the relaxation.

Solution enthalpy 0.55 eV (exp. 0.4 eV)

Deformations make C-C interaction much stronger (not pure dilatation centers)

Beyond the talk

- Finite-temperature effects
- Ab initio spin dynamics for real systems
- Intermediate level: TB spin dynamics
- And many, many specific applications to real materials
- Magnetic interactions out of equilibrium

Non-equilibrium magnetic interactions in strongly correlated systems Anna

Annals of Physics 333 (2013) 221-271

A. Secchi^{a,*}, S. Brener^b, A.I. Lichtenstein^b, M.I. Katsnelson^a

Collaboration

Recent: A. Lichtenstein and S. Brener (Hamburg) Yu. Gornostyrev, S. Okatov, A. Kuznetsov (Ekaterinburg) A. Secchi and A. Rudenko (Nijmegen) V. Mazurenko (Ekaterinburg) Ya. Kvashnin and O. Eriksson (Uppsala) and many other people involved in development of the formalism and calculations for specific materials in 1987-2013, esp. V. Antropov (Ames) and D. Boukhvalov (Seoul)

Thank you for your attention