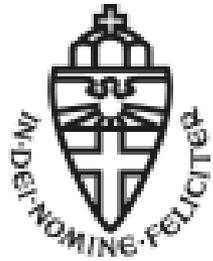


Radboud Universiteit



*Quantum theory of real materials:
From graphene to high-temperature
superconductors*

Mikhail Katsnelson

Hamburg Prize for Theoretical Physics

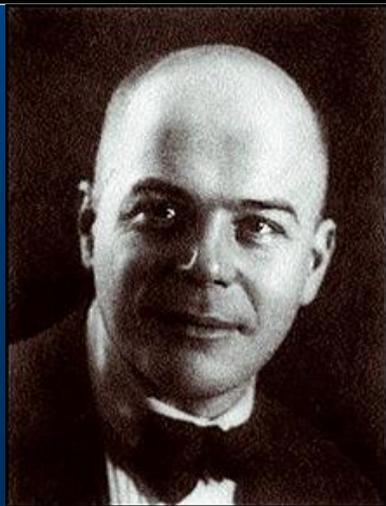
Viktor Shklovsky
The Hamburg Score

Translated by Shushan Avagyan



In Russian: a metaphor of honest, true rating

Legend invented by writer and literary theorist
Viktor Shklovsky (1893-1984)
on secret matches of circus wrestlers in one of
Hamburg inns (not confirmed historically)
to establish a true hierarchy



The Hamburg Score



Hamburg Prize for *Theoretical Physics*

There is no theoretical physics in this country East of Utrecht
(conventional wisdom)

Ship me somewhere East of Suez, where the best is like the worst
(R. Kipling)

OK... I am a materials scientist... But Nijmegen is beautiful



How materials scientist can receive a prize
in theoretical physics?

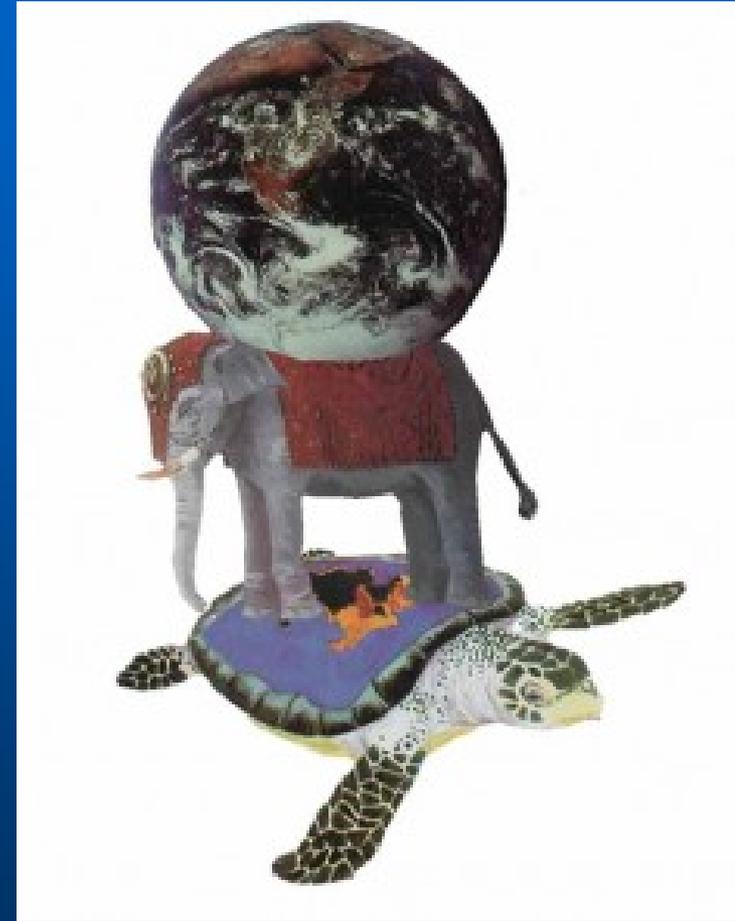
Theory of condensed matter group

<http://www.ru.nl/tcm/>



What is “true” theory? Should it be “fundamental”?

Knowledge begins, so to speak, in the middle, and leads into the unknown - both when moving upward, and when there is a downward movement. Our goal is to gradually dissipate the darkness in both directions, and the absolute foundation - this huge elephant carrying on his mighty back the tower of truth - it exists only in a fairy tales (Hermann Weyl)



What does it mean for condensed matter physics and materials science?

Everything follows from quantum mechanics plus electrodynamics; QED is enough to explain all properties of matter around us

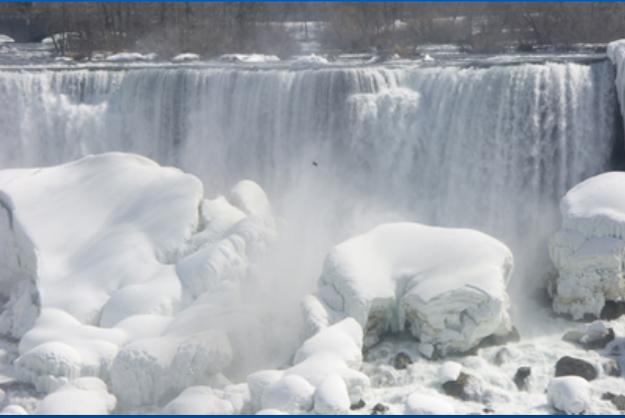
$$\begin{aligned}\gamma^\alpha (\partial_\alpha - ieA_\alpha)\psi + im\psi &= 0 \quad \text{where} \quad \alpha = 0, \dots, 3 \\ F_{\alpha\beta} &= A_{\beta,\alpha} - A_{\alpha,\beta} \\ \partial^\alpha F_{\alpha\beta} &= -4\pi e j_\beta \\ \text{where } j_\alpha &= \bar{\psi}\gamma_\alpha\psi.\end{aligned}$$

That is all. Please tell me why iridium is brittle and platinum is ductile, copper is red and silver is white, iron is ferromagnetic and vanadium is not... Not talking on biochemistry and biophysics!

Does it help?

$$\nabla \cdot u = 0$$
$$\frac{\partial u}{\partial t} + u \cdot \nabla u = f + \mu \nabla^2 u - \nabla p$$

Navier-Stokes equations:
Turbulence is here!
Can you explain this?



Scylla and Charybdis

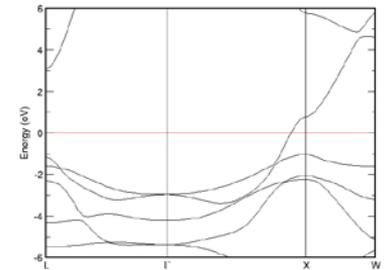
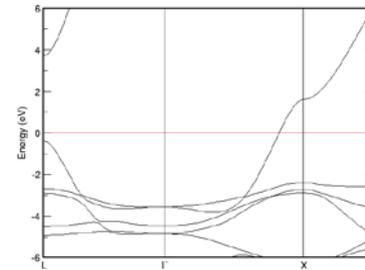
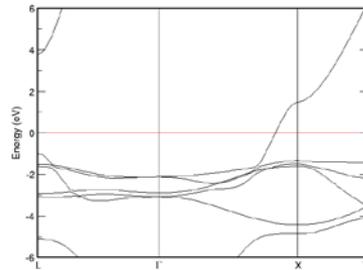


Understanding “in general”

Everything is from water/fire/earth/gauge fields/quantum space-time foam/strings... and the rest is your problem.

But why silver is white, copper is red and gold is yellow?

Density functional calculations



Cu

Ag

Au

Taken from C. Ortiz, O. Eriksson and M. Klintonberg
Comput. Mater. Sci. **44**, 1042 (2009).

Scylla and Charybdis II

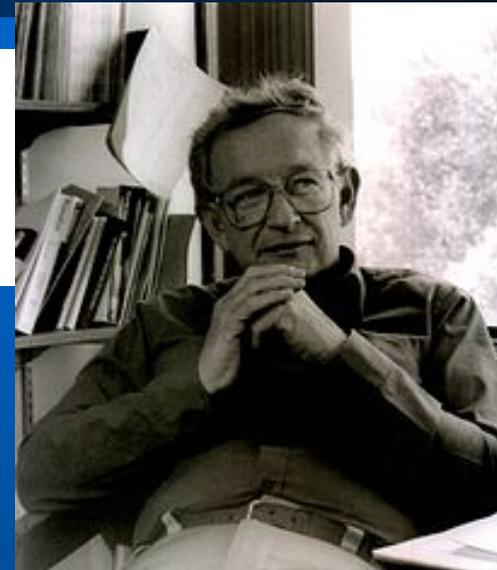


Local moments and localized states

P. W. Anderson

Reviews of Modern Physics, Vol. 50, No. 2, April 1978

(Nobel lecture)



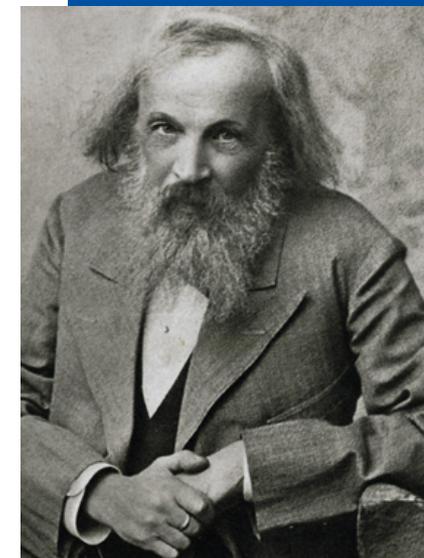
shall soon discuss. Very often such a simplified model throws more light on the real workings of nature than any number of *ab initio* calculations of individual situations, which even where correct often contain so much detail as to conceal rather than reveal reality. It can be a disadvantage rather than an advantage to be able to compute or to measure too accurately, since often what one measures or computes is irrelevant in terms of mechanism. After all, the perfect computation simply reproduces Nature, it does not explain her.

Periodic Table

Can we understand something elementary?

Periodic Table of the Elements

1 1IA 11A																	18 VIII 8A
1 H Hydrogen 1.0079	2 IIA 2A											13 IIIA 3A	14 IVA 4A	15 VA 5A	16 VIA 6A	17 VIIA 7A	2 He Helium 4.00260
3 Li Lithium 6.941	4 Be Beryllium 9.01218											5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.00574	8 O Oxygen 15.9994	9 F Fluorine 18.998403	10 Ne Neon 20.1797
11 Na Sodium 22.989768	12 Mg Magnesium 24.305	3 IIIB 3B	4 IVB 4B	5 VB 5B	6 VIB 6B	7 VIIB 7B	8 VIII 8	9 VIII 8	10 VIII 8	11 IB 1B	12 IIB 2B	13 Al Aluminum 26.981539	14 Si Silicon 28.0855	15 P Phosphorus 30.973762	16 S Sulfur 32.066	17 Cl Chlorine 35.4527	18 Ar Argon 39.948
19 K Potassium 39.0983	20 Ca Calcium 40.078	21 Sc Scandium 44.95591	22 Ti Titanium 47.88	23 V Vanadium 50.9415	24 Cr Chromium 51.9961	25 Mn Manganese 54.938	26 Fe Iron 55.847	27 Co Cobalt 58.9332	28 Ni Nickel 58.6934	29 Cu Copper 63.546	30 Zn Zinc 65.39	31 Ga Gallium 69.732	32 Ge Germanium 72.64	33 As Arsenic 74.92159	34 Se Selenium 78.96	35 Br Bromine 79.904	36 Kr Krypton 83.80
37 Rb Rubidium 85.4678	38 Sr Strontium 87.62	39 Y Yttrium 88.90585	40 Zr Zirconium 91.224	41 Nb Niobium 92.90638	42 Mo Molybdenum 95.94	43 Tc Technetium 98.9072	44 Ru Ruthenium 101.07	45 Rh Rhodium 102.9055	46 Pd Palladium 106.42	47 Ag Silver 107.8682	48 Cd Cadmium 112.411	49 In Indium 114.818	50 Sn Tin 118.71	51 Sb Antimony 121.760	52 Te Tellurium 127.6	53 I Iodine 126.90447	54 Xe Xenon 131.29
55 Cs Cesium 132.90543	56 Ba Barium 137.327	57-71 Lanthanide Series	72 Hf Hafnium 178.49	73 Ta Tantalum 180.9479	74 W Tungsten 183.85	75 Re Rhenium 186.207	76 Os Osmium 190.23	77 Ir Iridium 192.22	78 Pt Platinum 195.08	79 Au Gold 196.9665	80 Hg Mercury 200.59	81 Tl Thallium 204.3833	82 Pb Lead 207.2	83 Bi Bismuth 208.98037	84 Po Polonium [208.9824]	85 At Astatine 209.9871	86 Rn Radon 222.0176
87 Fr Francium 223.0197	88 Ra Radium 226.0254	89-103 Actinide Series	104 Rf Rutherfordium [261]	105 Db Dubnium [262]	106 Sg Seaborgium [266]	107 Bh Bohrium [264]	108 Hs Hassium [268]	109 Mt Meitnerium [268]	110 Ds Darmstadtium [289]	111 Rg Roentgenium [272]	112 Cn Copernicium [277]	113 Uut Ununtrium unknown	114 Uuq Ununquadium [289]	115 Uup Ununpentium unknown	116 Uuh Ununhexium [288]	117 Uus Ununseptium unknown	118 Uuo Ununoctium unknown
			57 La Lanthanum 138.9055	58 Ce Cerium 140.115	59 Pr Praseodymium 140.90765	60 Nd Neodymium 144.24	61 Pm Promethium 144.9127	62 Sm Samarium 150.36	63 Eu Europium 151.9655	64 Gd Gadolinium 157.25	65 Tb Terbium 158.92534	66 Dy Dysprosium 162.50	67 Ho Holmium 164.93032	68 Er Erbium 167.26	69 Tm Thulium 168.93421	70 Yb Ytterbium 173.04	71 Lu Lutetium 174.967
			89 Ac Actinium 227.0278	90 Th Thorium 232.0381	91 Pa Protactinium 231.03688	92 U Uranium 238.02891	93 Np Neptunium 237.04817	94 Pu Plutonium 244.0642	95 Am Americium 243.0614	96 Cm Curium 247.0709	97 Bk Berkelium 247.0709	98 Cf Californium 251.0795	99 Es Einsteinium [254]	100 Fm Fermium 267.1035	101 Md Mendelevium 268.1	102 No Nobelium 269.1008	103 Lr Lawrencium [262]
			Alkali Metal	Alkaline Earth	Transition Metal	Basic Metal	Semimetals	Nonmetals	Halogens	Noble Gas	Lanthanides	Actinides					



D. I. Mendeleev

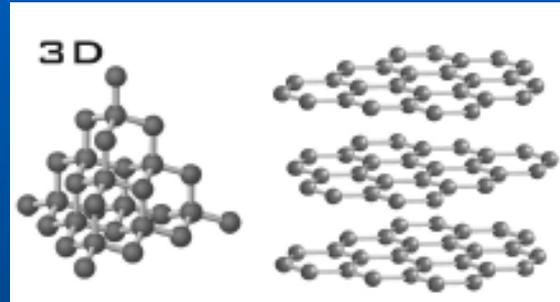
Carbon, an elemental solid



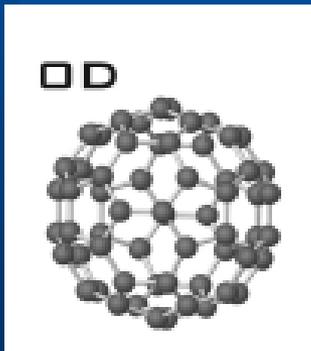
Diamond



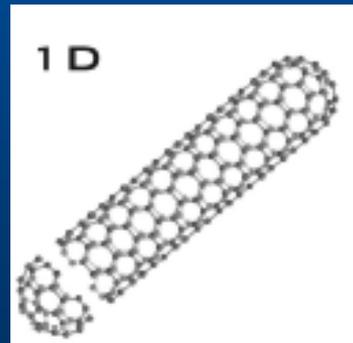
Graphite



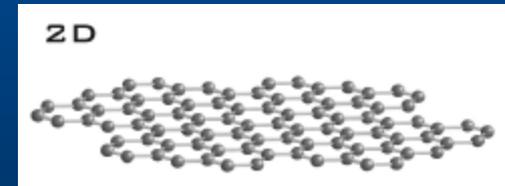
Crystal lattices



Fullerenes



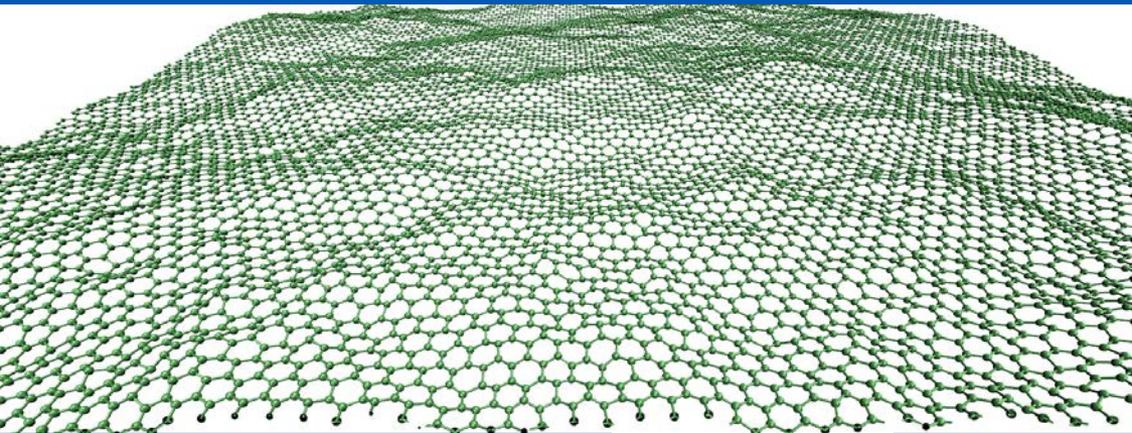
Nanotubes



Graphene

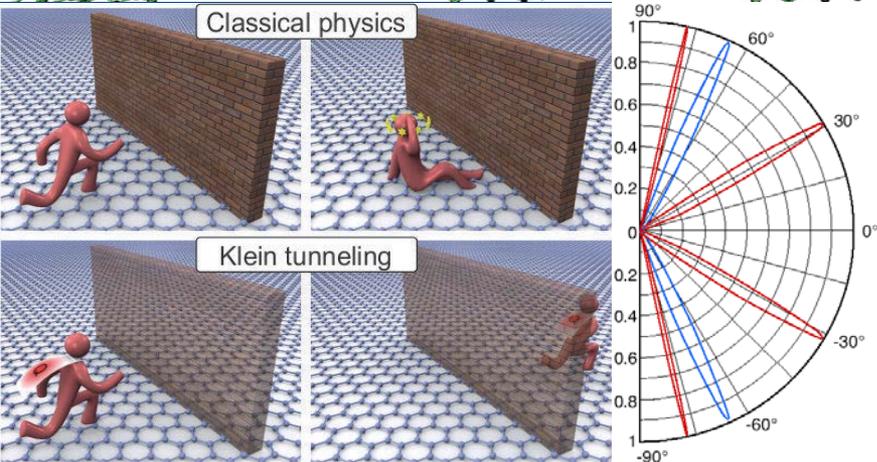
Why graphene is interesting?

1. Applications (modern electronics is 2D, bulk is ballast)
2. Prototype membrane (new drosophila for 2D statistical mechanics)
3. CERN on the desk (mimic high energy physics)



Ripples

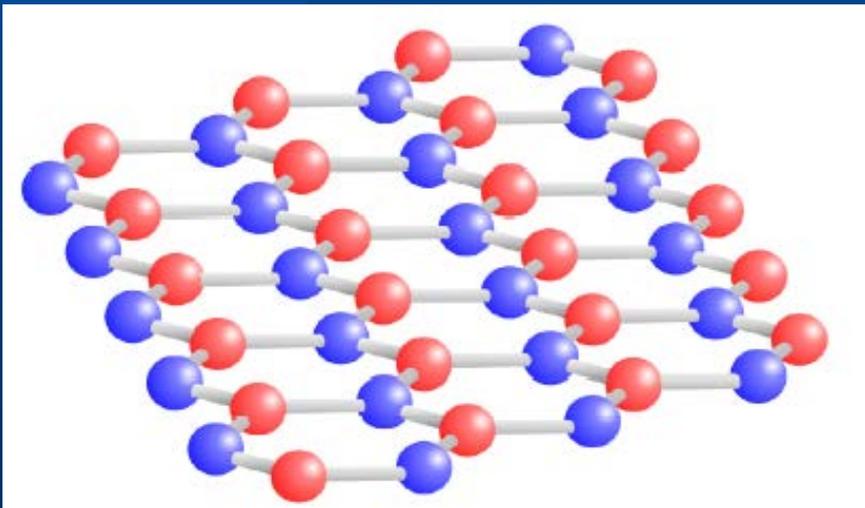
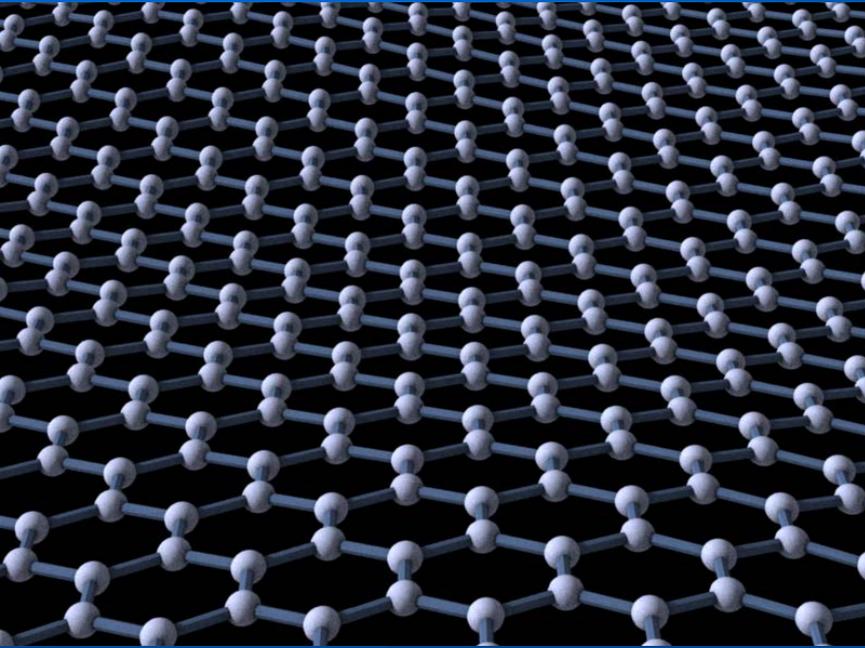
Fasolino, Los & MIK,
Nature Mater. 6, 858 (2007)



Klein tunneling

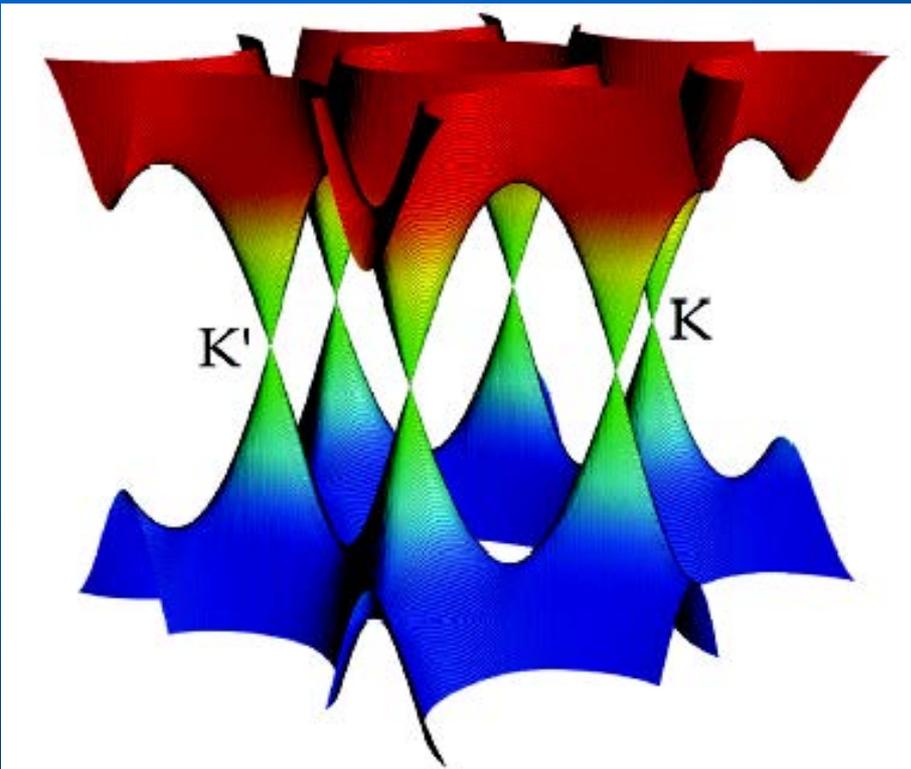
MIK, Novoselov, Geim, Nature Phys.
2, 620 (2006)

Honeycomb lattice



Two equivalent sublattices,
A and B (pseudospin)

Massless Dirac fermions



sp^2 hybridization, π bands crossing the neutrality point

Massless relativistic particles (light cones)

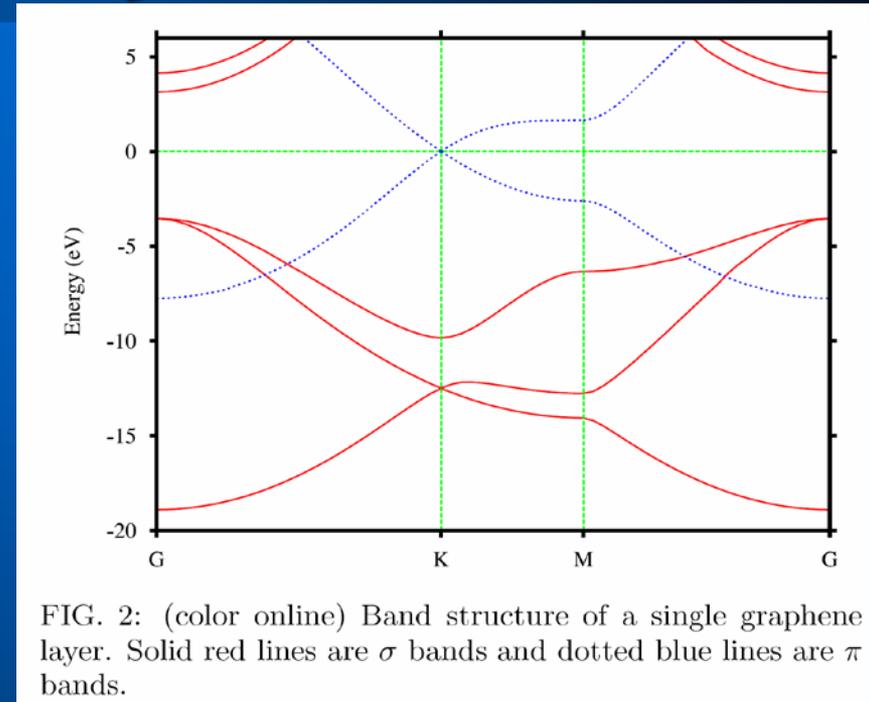
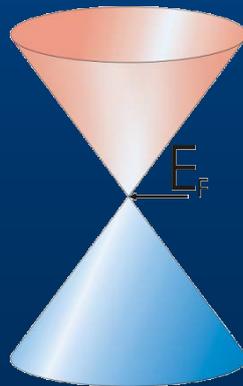


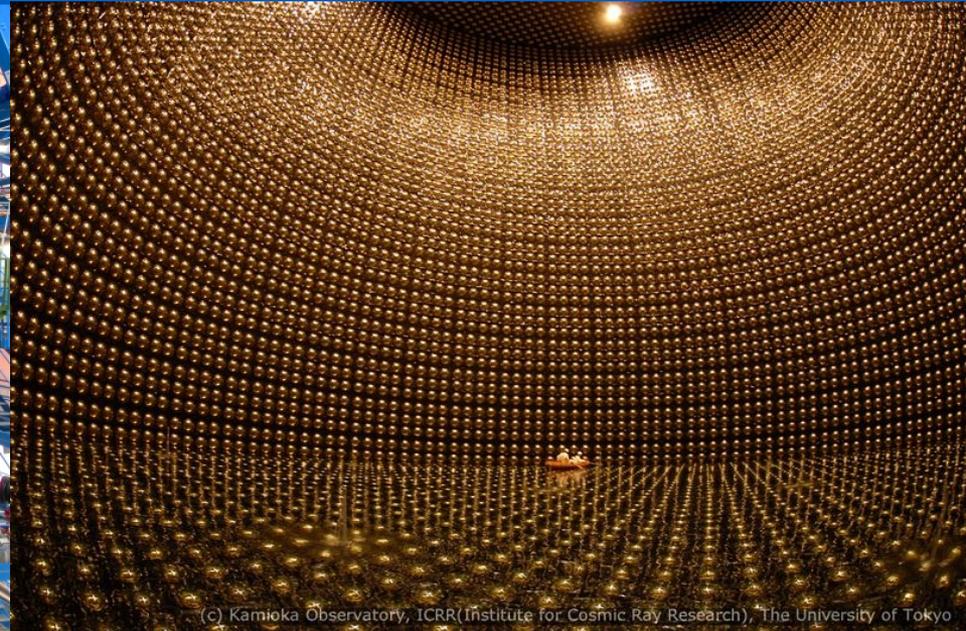
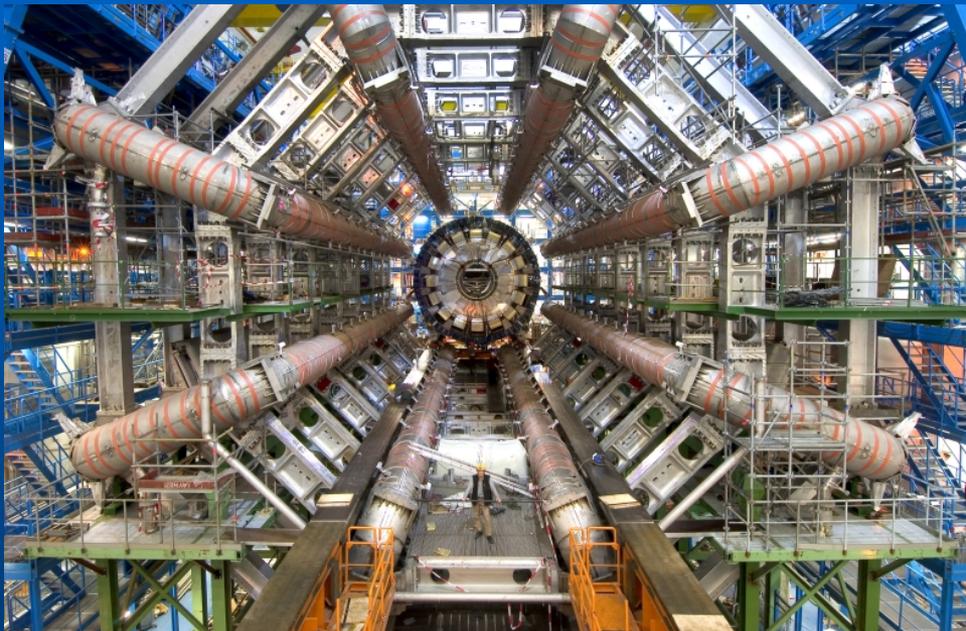
FIG. 2: (color online) Band structure of a single graphene layer. Solid red lines are σ bands and dotted blue lines are π bands.

Neglecting intervalley scattering:
massless Dirac fermions

Symmetry protected (T and I)

Massless Dirac fermions in “real life”

Any particle can be considered as practically massless if it is ultrarelativistic, that is accelerated almost to velocity of light



(C) Kamioka Observatory, ICRR(Institute for Cosmic Ray Research), The University of Tokyo

Building accelerators and colliders, detectors of cosmic rays...
Neutrinos are always massless

Can solid-state realization be useful and instructive? **Yes!!!**

Theoretical physics as a variety of mistique experience

Beloved, believe not every spirit, but try the spirits whether they are of God (1 John 4:1)

Ye shall know them by their fruits. Do men gather grapes of thorns, or figs of thistles? (Matthew 7:16)

Fruits: to predict something correctly (like Maxwell electromagnetic waves, and then – applications)

Top pleasure and top dream for a theoretician

Graphene

1. Klein tunneling
2. Pseudomagnetic fields due to deformations
3. Relativistic collapse at a supercritical charge

Predicted and confirmed

Chiral tunnelling and the Klein paradox in graphene

M. I. KATSNELSON^{1*}, K. S. NOVOSELOV² AND A. K. GEIM^{2*}

nature physics | VOL 2 | SEPTEMBER 2006

nature
physics

LETTERS

PUBLISHED ONLINE: 1 FEBRUARY 2009 | DOI: 10.1038/NPHYS1198

Quantum interference and Klein tunnelling in graphene heterojunctions

Andrea F. Young and Philip Kim*

LETTERS

PUBLISHED ONLINE: 27 SEPTEMBER 2009 | DOI: 10.1038/NPHYS1420

nature
physics

Energy gaps and a zero-field quantum Hall effect in graphene by strain engineering

F. Guinea^{1*}, M. I. Katsnelson² and A. K. Geim^{3*}

Strain-Induced Pseudo-Magnetic Fields Greater Than 300 Tesla in Graphene Nanobubbles

N. Levy,^{1,2*†} S. A. Burke,^{1*‡} K. L. Meaker,¹ M. Panlasigui,¹ A. Zettl,^{1,2} F. Guinea,³ A. H. Castro Neto,⁴ M. F. Crommie^{1,2§}

30 JULY 2010 VOL 329 SCIENCE

PRL 99, 236801 (2007)

PHYSICAL REVIEW LETTERS

week ending
7 DECEMBER 2007

Vacuum Polarization and Screening of Supercritical Impurities in Graphene

A. V. Shytov,¹ M. I. Katsnelson,² and L. S. Levitov³

Observing Atomic Collapse Resonances in Artificial Nuclei on Graphene

Yang Wang,^{1,2*} Dillon Wong,^{1,2*} Andrey V. Shytov,³ Victor W. Brar,^{1,2} Sangkook Choi,¹ Qiong Wu,^{1,2} Hsin-Zon Tsai,¹ William Regan,^{1,2} Alex Zettl,^{1,2} Roland K. Kawakami,⁵ Steven G. Louie,^{1,2} Leonid S. Levitov,⁴ Michael F. Crommie^{1,2†}

PRL 99, 246802 (2007)

PHYSICAL REVIEW LETTERS

week ending
14 DECEMBER 2007

Atomic Collapse and Quasi-Rydberg States in Graphene

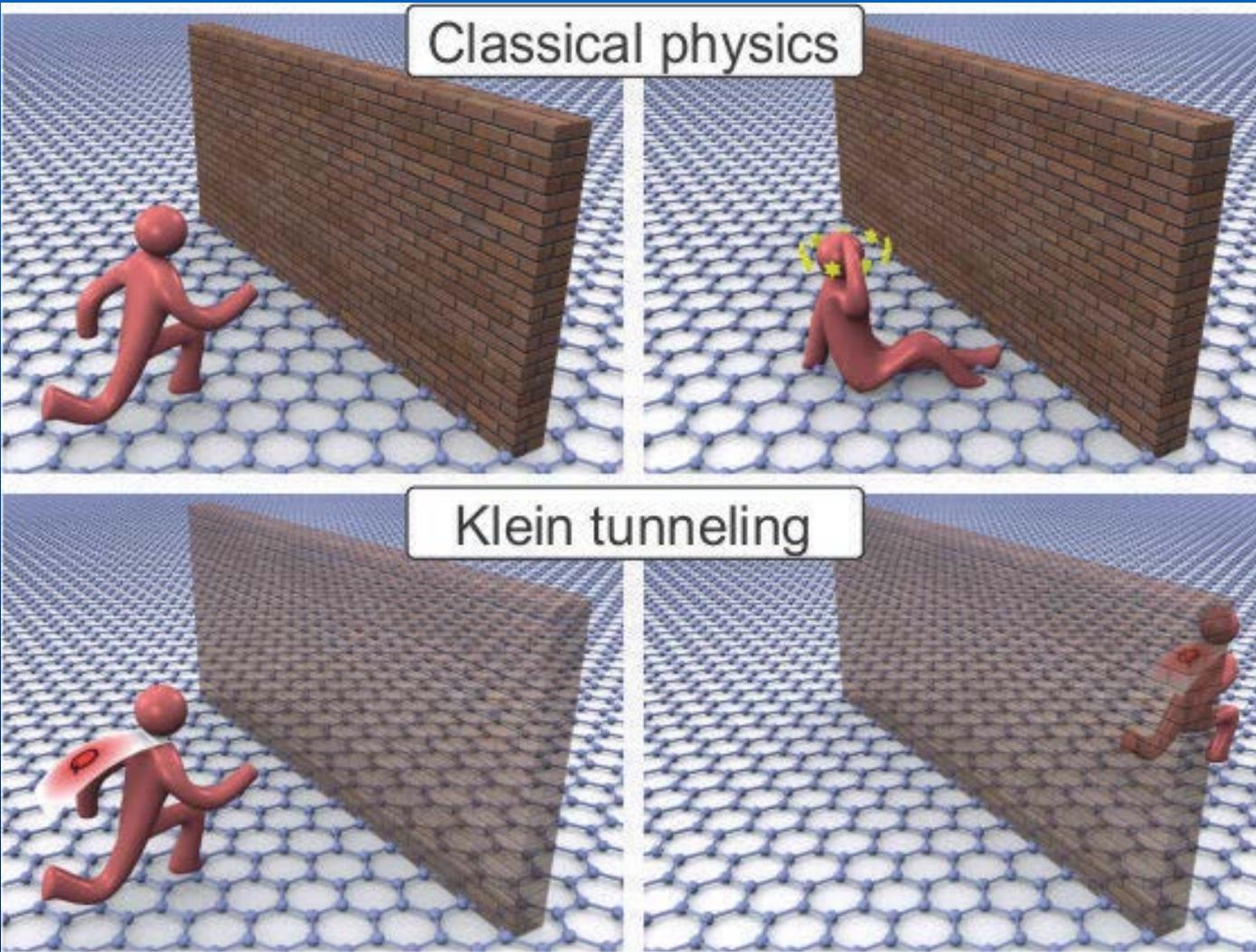
A. V. Shytov,¹ M. I. Katsnelson,² and L. S. Levitov³

10 MAY 2013 VOL 340 SCIENCE

Chiral tunneling and Klein paradox

MIK, Novoselov, Geim, Nat. Phys. 2, 620 (2006)

Electronics: heterostructures (p - n - p junctions etc.)

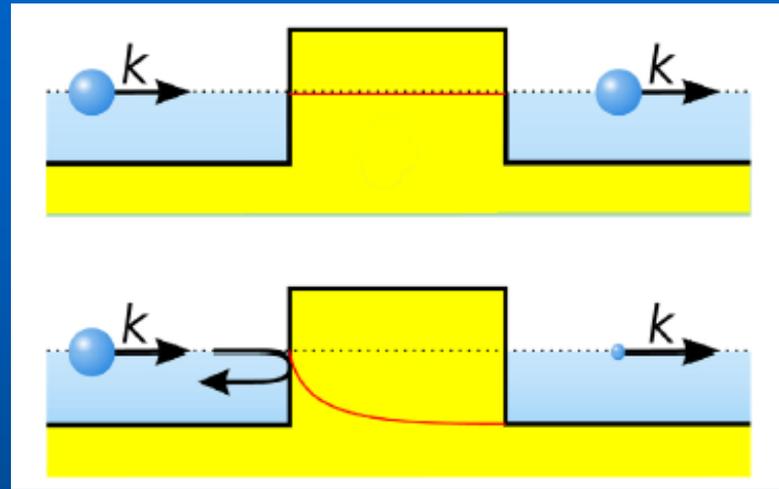


(C) Florian Sterl

Klein paradox II

Ultrarelativistic

Nonrelativistic



Tunnel effect: momentum and coordinate are complementary variables, kinetic and potential energy are not measurable simultaneously

Relativistic case: even the *coordinate itself* is not measurable, particle-antiparticle pair creation

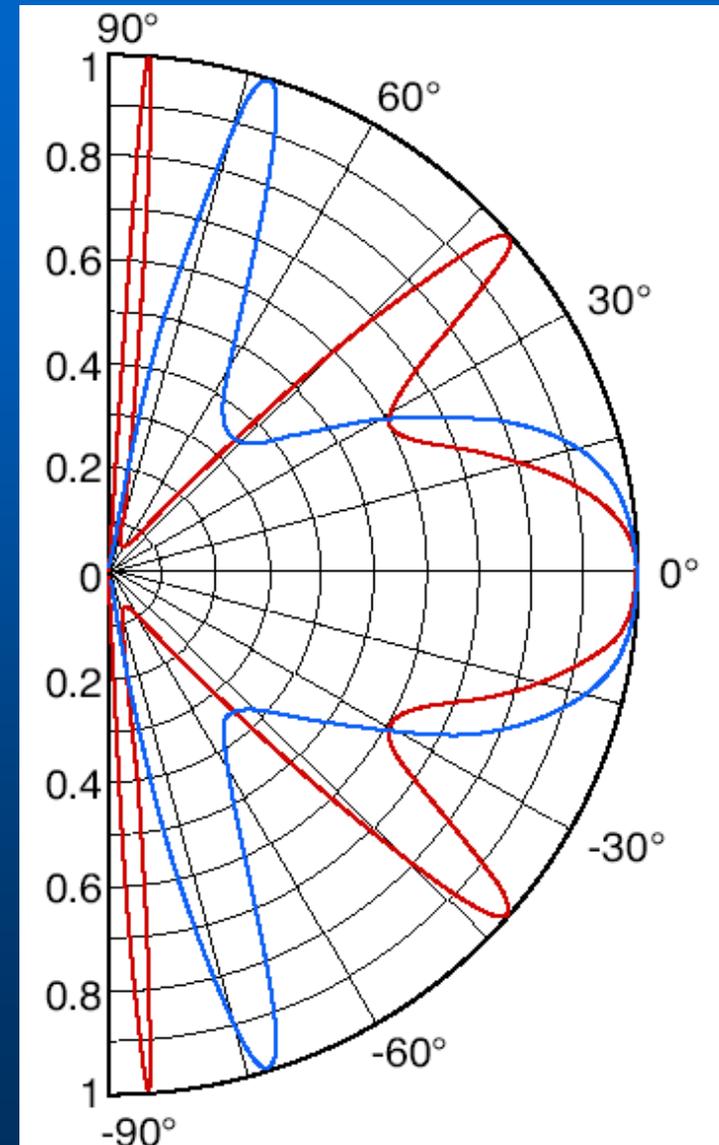
Klein paradox III

Transmission probability

Barrier width 100 nm

Electron concentration
outside barrier $0.5 \times 10^{12} \text{ cm}^{-2}$

Hole concentration
inside barrier $1 \times 10^{12} \text{ cm}^{-2}$ (red)
and $3 \times 10^{12} \text{ cm}^{-2}$ (blue)



Klein tunneling: Experimental confirmation

PRL 102, 026807 (2009)

PHYSICAL REVIEW LETTERS

week ending
16 JANUARY 2009

Evidence for Klein Tunneling in Graphene p - n Junctions

N. Stander, B. Huard, and D. Goldhaber-Gordon*

Department of Physics, Stanford University, Stanford, California 94305, USA

(Received 13 June 2008; published 16 January 2009)

Transport through potential barriers in graphene is investigated using a set of metallic gates capacitively coupled to graphene to modulate the potential landscape. When a gate-induced potential step is steep enough, disorder becomes less important and the resistance across the step is in quantitative agreement with predictions of Klein tunneling of Dirac fermions up to a small correction. We also perform magnetoresistance measurements at low magnetic fields and compare them to recent predictions.

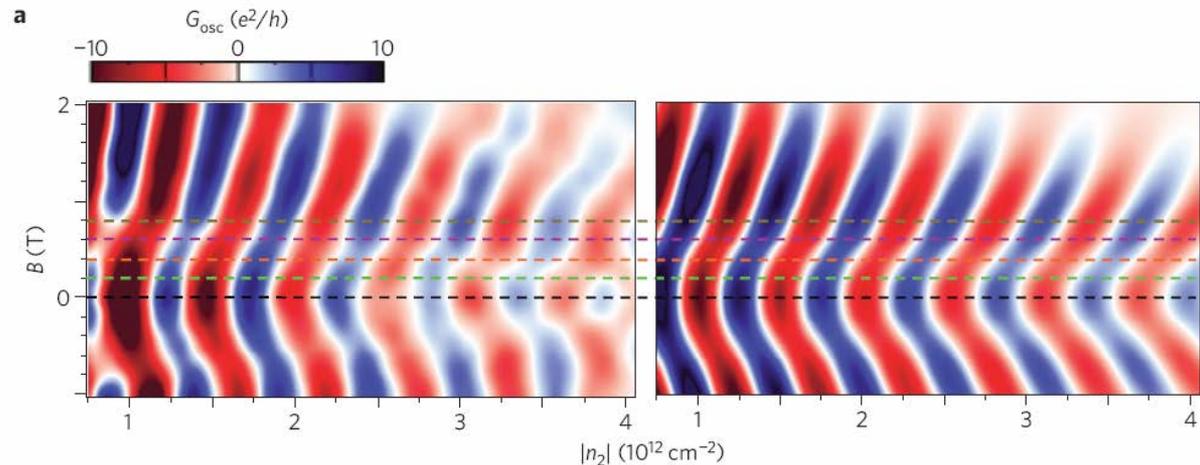
nature
physics

LETTERS

PUBLISHED ONLINE: 1 FEBRUARY 2009 | DOI: 10.1038/NPHYS1198

Quantum interference and Klein tunnelling in graphene heterojunctions

Andrea F. Young and Philip Kim*



Relativistic collapse for supercritical charges

Coulomb potential

$$V_0(\mathbf{r}) = \frac{Ze^2}{\epsilon r}$$

following Shytov, MIK & Levitov, PRL 99, 236801;
246803 (2007)

Naive arguments: Radius of atom R ,
momentum \hbar/R . Nonrelativistic case:

$$E(R) \sim \hbar^2 / mR^2 - Ze^2/R$$

Minimum gives a size of atom.

Relativistic case: $E(R) \sim \hbar c^*/R - Ze^2/R$

Either no bound state or fall on the center.

Vacuum reconstruction at $Z > 170$

Supercritical charges II

Superheavy
nuclei

I. Pomeranchuk and Y. Smorodinsky, J. Phys. USSR **9**, 97 (1945)

Graphene:
 $v \approx c/300$,
 $\alpha_{\text{eff}} \approx 1$

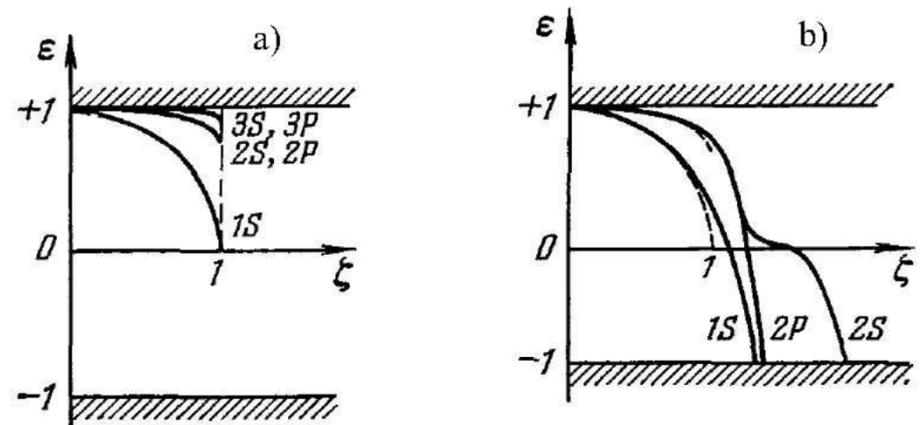
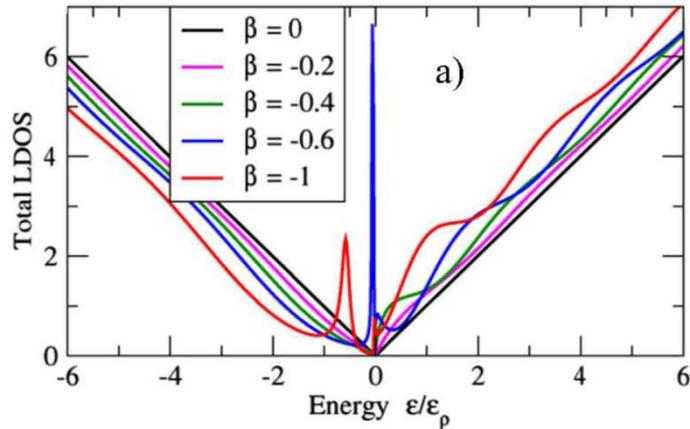


FIG. 1: a) Energy levels of superheavy atoms obtained from Dirac equation for Coulomb potential $-Ze^2/r$, plotted as a function of $\zeta = Z\alpha$, where Z is nuclear charge, and $\alpha = e^2/\hbar c$ is the fine structure constant. Energy is in the units of mc^2 . (b) Energy levels for Coulomb potential regularized on the nuclear radius. As Z increases, the discrete levels approach the continuum of negative-energy states and dive into it one by one at supercritical $Z > 170$ (from Ref.[23]).

Supercritical charge III



A. V. Shytov, M. I. Katsnelson, and L. S. Levitov, Phys. Rev. Lett. **99**, 236801 (2007), arXiv:0705.4663

A. V. Shytov, M. I. Katsnelson, and L. S. Levitov, Phys. Rev. Lett. **99**, 246802 (2007), arxiv.org:0708.0837

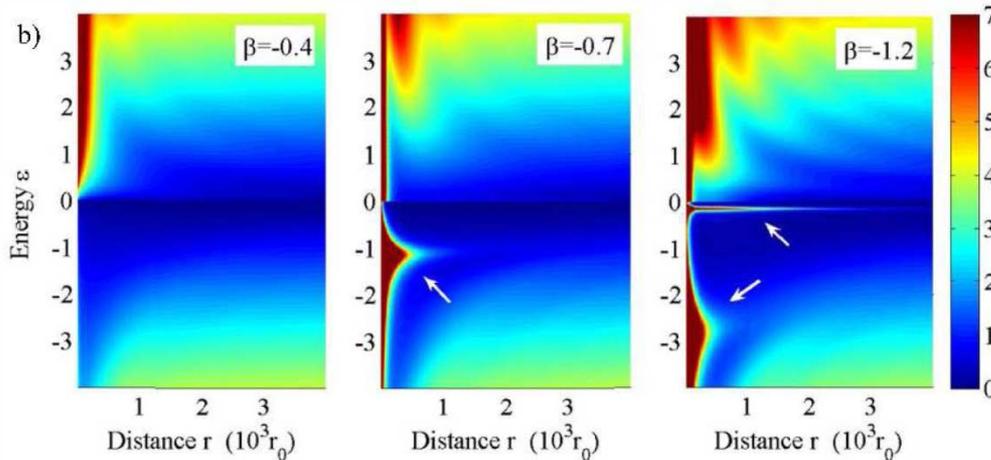
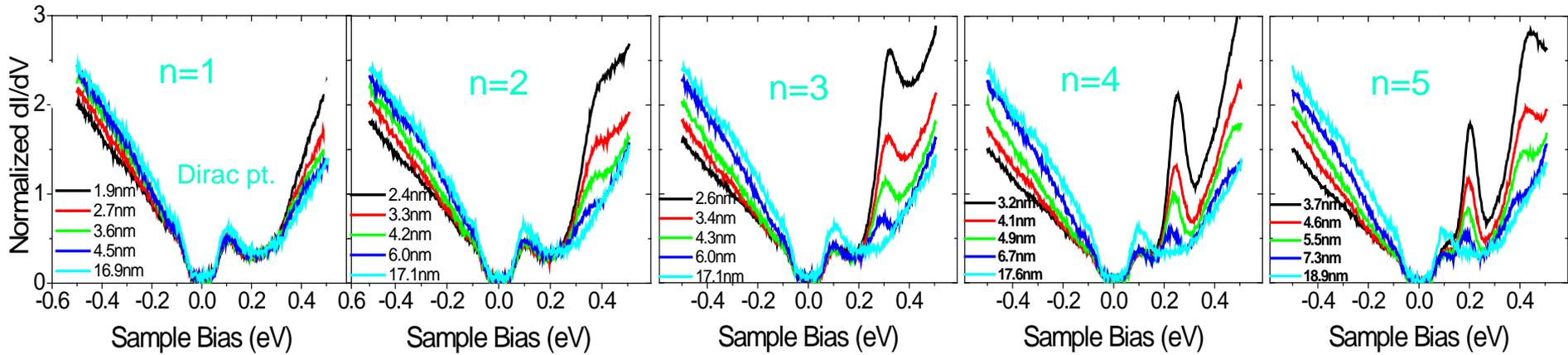
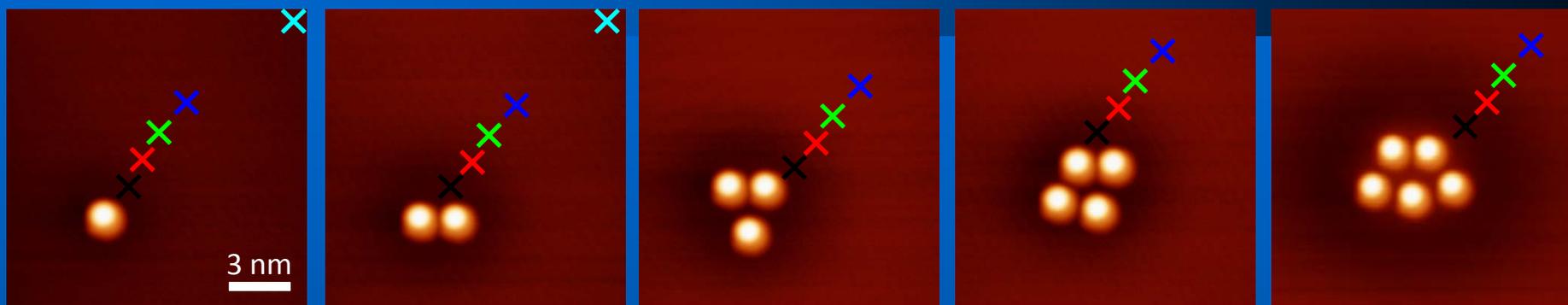


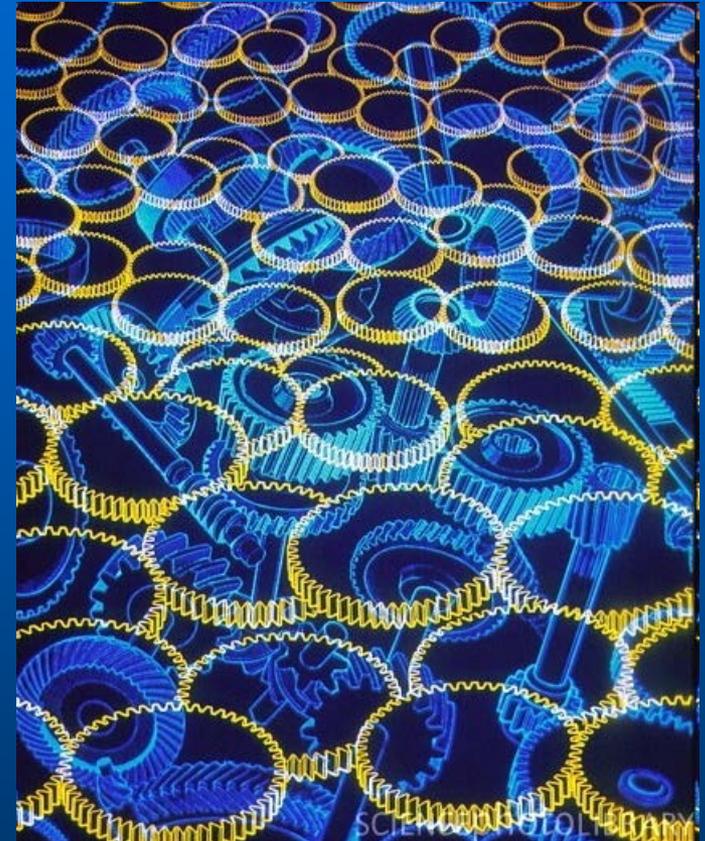
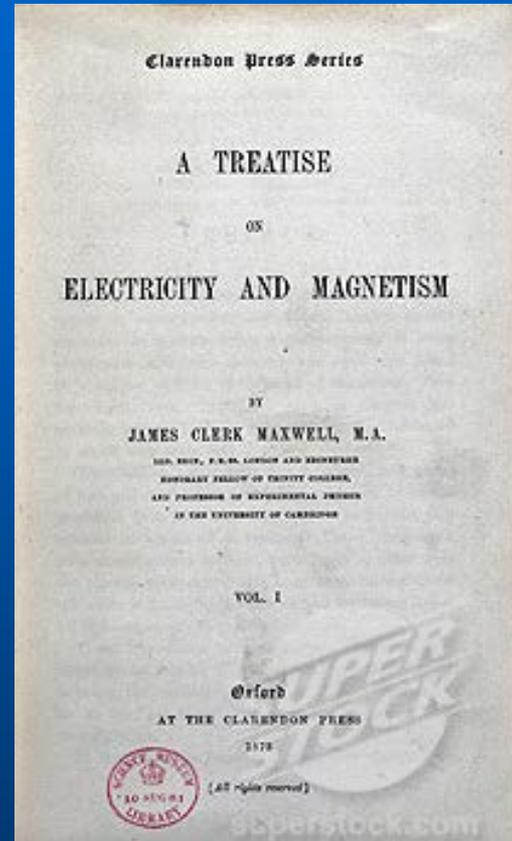
FIG. 3: (a) Local density of states (12) calculated at a fixed distance $\rho = 10^3 r_0$ from the charged impurity, where r_0 is a short-distance parameter of the order of carbon lattice spacing (from Ref.[10]). Peaks in the LDOS, which appear at supercritical β and move to more negative energies at increasing $|\beta|$, correspond to the resonant states. (b) Spatial map of the density of states, shown for several values of β , with resonances marked by white arrows (from Ref.[11]). Note that the spatial width of the resonances decreases as they move to lower energies, $\Delta\rho \propto 1/|\varepsilon|$, while the linewidth increases, $\gamma \propto |\varepsilon|$. The oscillatory structure at positive energies represents standing waves with maxima at $k\rho \approx (n + \frac{1}{2})\pi$, similar to those studied in carbon nanotubes [26]. Energy is given in the units of $\varepsilon_0 = 10^{-3}\hbar v/r_0 \approx 30$ mV for $r_0 = 0.2$ nm.

Exper.: Tuning Z by Building Artificial Nuclei from Ca Dimers



Y. Wang et al, Science 340, 734 (2013)

Gauge fields from mechanics: back to Maxwell



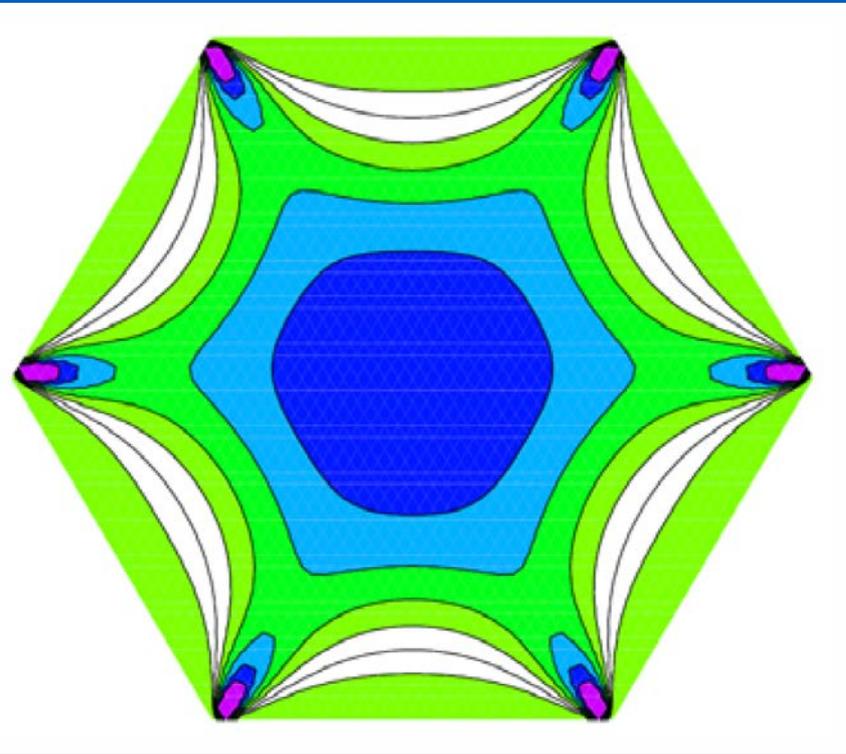
Electromagnetic fields as deformations
in ether; gears and wheels

Review: [Vozmediano, MIK & Guinea, Phys. Rep. 496, 109 \(2010\)](#)

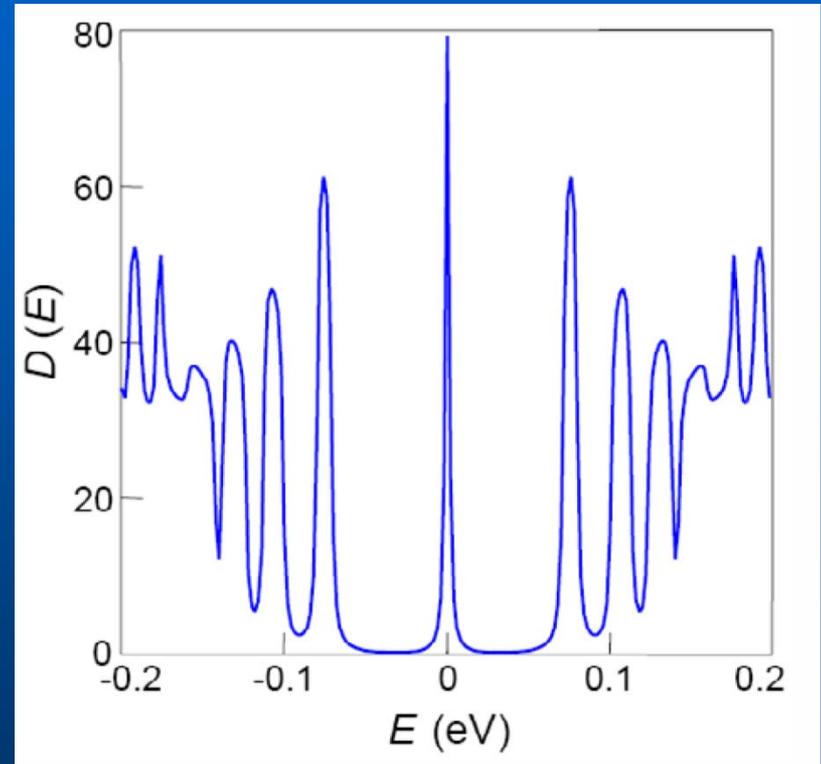
Zero-field QHE by strain engineering

F. Guinea, MIK & A. Geim, Nature Phys. 6, 30 (2010)

Can we create uniform (or almost uniform) pseudomagnetic field?



If you keep trigonal symmetry,
quasi-uniform pseudomagnetic field
can be easily created



Normal stress applied to three edges
size $1.4 \mu\text{m}$, DOS in the center ($0.5 \mu\text{m}$)

Experimental confirmation

Strain-Induced Pseudo-Magnetic Fields Greater Than 300 Tesla in Graphene Nanobubbles

N. Levy,^{1,2*}† S. A. Burke,^{1,*}‡ K. L. Meaker,¹ M. Panlasigui,¹ A. Zettl,^{1,2} F. Guinea,³ A. H. Castro Neto,⁴ M. F. Crommie^{1,2,§}

30 JULY 2010 VOL 329 SCIENCE

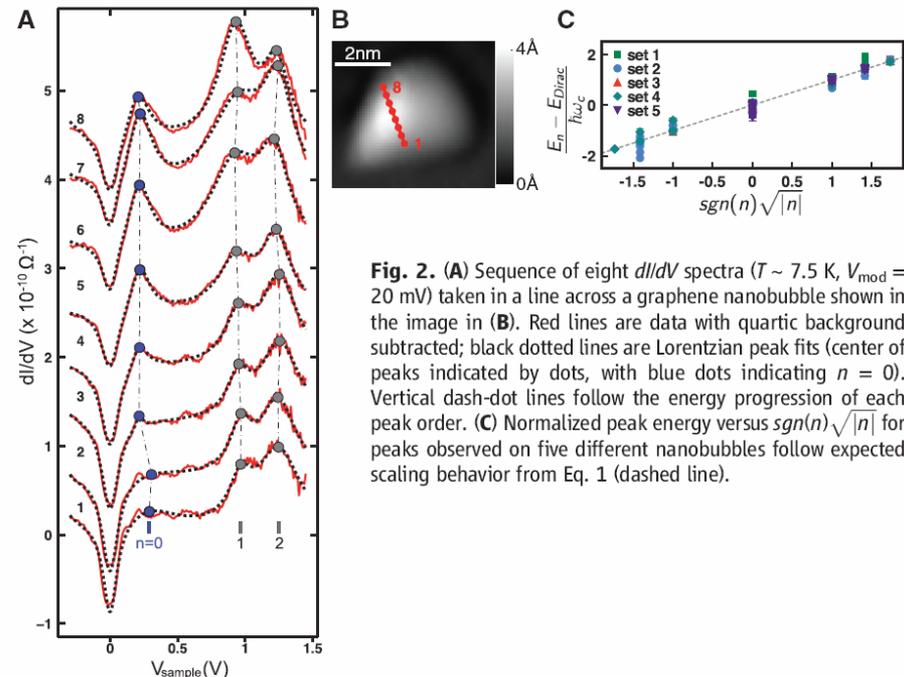
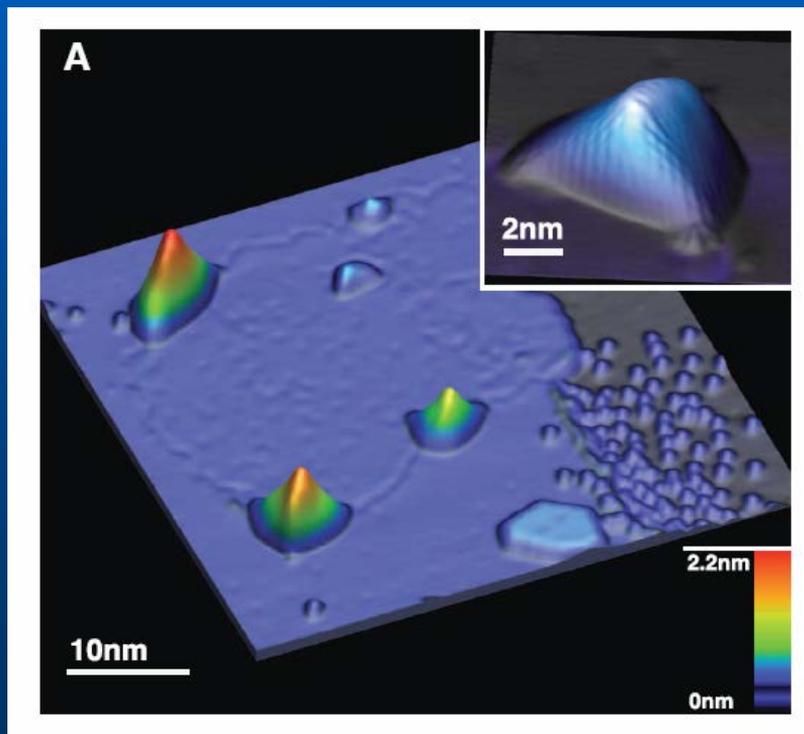


Fig. 2. (A) Sequence of eight dI/dV spectra ($T \sim 7.5$ K, $V_{\text{mod}} = 20$ mV) taken in a line across a graphene nanobubble shown in the image in (B). Red lines are data with quartic background subtracted; black dotted lines are Lorentzian peak fits (center of peaks indicated by dots, with blue dots indicating $n = 0$). Vertical dash-dot lines follow the energy progression of each peak order. (C) Normalized peak energy versus $\text{sgn}(n)\sqrt{|n|}$ for peaks observed on five different nanobubbles follow expected scaling behavior from Eq. 1 (dashed line).

Graphene on Pt(111)

STM observation of pseudo-Landau levels

From atoms to solids

Atoms:

Electron-electron interaction plays crucial role

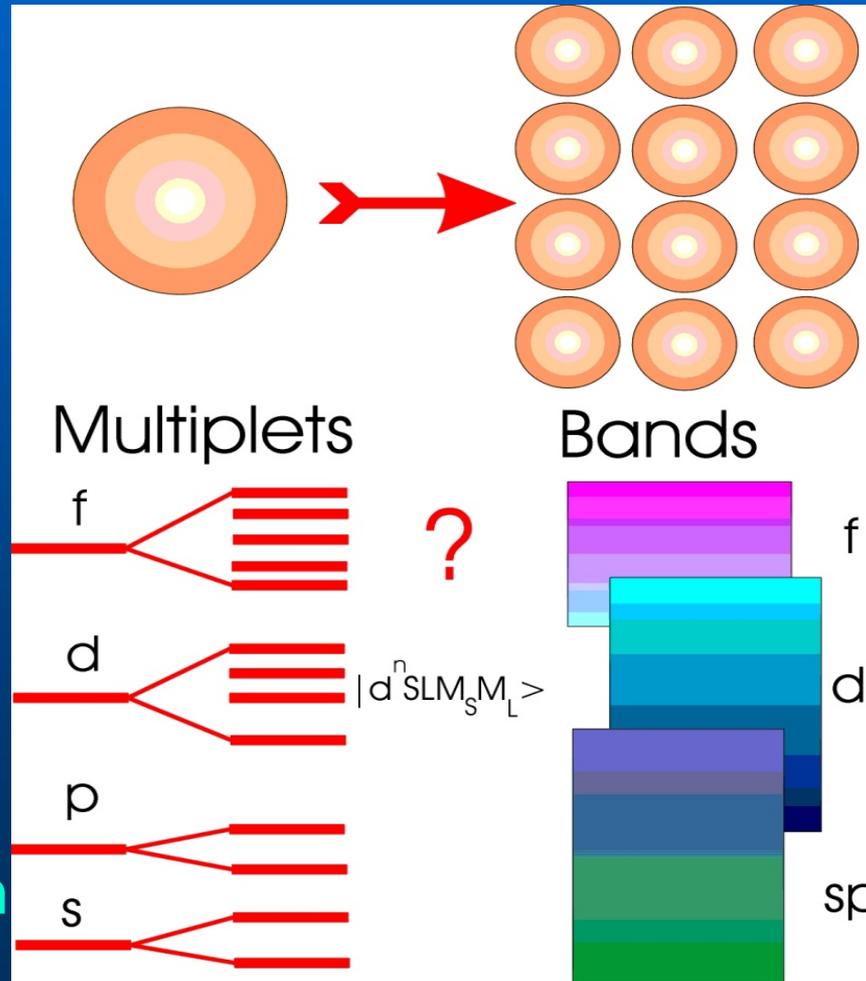
Optimal filling

Terms, multiplets

Hund's rules

Making solids from atoms:
electrons at given site

How to combine?!



Crystals:

Bloch waves propagating through the crystal

Dispersion law

Fermi surface

Electron-electron interaction just renormalizes parameters (Fermi liquid)...

The beginning: "Polar model"

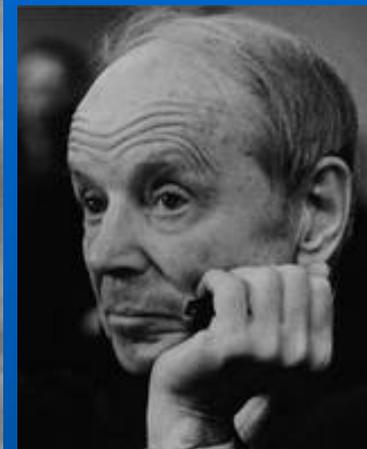
On the Electron Theory of Metals.

By S. SCHUBIN and S. WONSOWSKY.

Sverdlovsk Physical Technical Institute.

(Communicated by R. H. Fowler, F.R.S.—Received December 29, 1933.)

Proc. R. Soc. Lond. A 1934 **145**,
published 2 June 1934



S. P. Shubin (1908-1938)

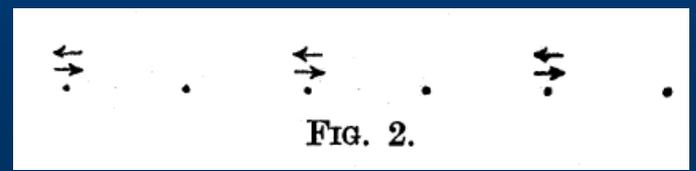
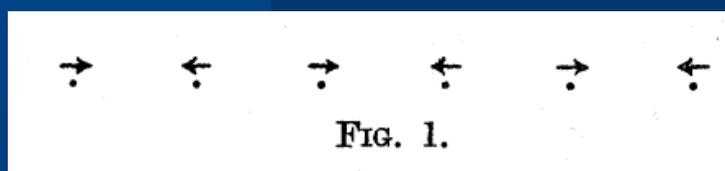
S. V. Vonsovsky (1910-1998)

$$\int \frac{e^2}{|x-x'|} \phi_a^2(x) \phi_a^2(x') dx dx' = A$$

$$\int \sum_{\gamma \neq \beta} \left[G_\gamma(x) \phi_a^2(x') + \frac{e^2}{|x-x'|} \phi_\gamma^2(x') \right] \phi_a(x) \phi_\beta(x) dx dx' = L_{a\beta}$$

$$\int \frac{e^2}{|x-x'|} \phi_a^2(x) \phi_\beta^2(x') dx dx' = B_{a\beta}$$

$$\int \frac{e^2}{|x-x'|} \phi_a(x) \phi_\beta(x) \phi_a(x') \phi_\beta(x') dx dx' = J_{a\beta}$$



The beginning: “Polar model” II

Schrödinger equation in “atomic representation” (double f , hole g , spin right k , spin left h)

$$\begin{aligned} \{ \varepsilon - s(A + D) - [\sum_{f < f'} (B_{ff'} - J_{ff'}) + \sum_{g < g'} (B_{gg'} - J_{gg'}) - \sum_{f, g} (B_{fg} + J_{fg})] \} C(fgh) \\ + \sum_{h, k} J_{hk} [C(T_{hk} | fgh) - C(fgh)] + \sum_{f, g} J_{fg} [C(T_{fg} | fgh) - C(fgh)] \\ + \sum_{f, p} L_{fp} C(T_{fp} | fgh) - \sum_{g, p} L_{gp} C(T_{gp} | fgh) = 0, \end{aligned} \quad (9)$$

Metal-insulator transition and Mott insulators

(II). The minimum energy corresponds to a certain $s = s_0$, where $0 < s_0 < n$.

This case we have, for instance, when

$$A + 6(J - B) > 0, \quad A + 6J - 12L < 0.$$

Then, so long as s remains small, the lowest energy level *diminishes* as s increases ; for a certain $s = s_0$ it attains a minimum and then again begins to increase. For such metals—at not very high temperatures—the number of “free” electrons approximates to twice this s_0 (electrons + holes !) and is therefore *smaller* than the number of atoms. In order to calculate s_0 in terms of our integrals, the energy must be evaluated up to the second approximation in powers of s/n ; we shall not, however, make these rather cumbersome calculations here.

Metal

(III). The minimum energy corresponds to $s = 0$. This is the case when

$$A + 6(J - B) > 0, \quad A + 6J - 12L > 0.$$

Insulator

When do we have a problem?

sp metals (empty or completely filled *df* shells):
purely itinerant behavior



http://www.phys.ufl.edu/fermisurface/periodic_table.html

4f (rare earth) metals: *f* electrons are atomic like, *spd* electrons are itinerant

PHYSICAL REVIEW B 74, 045114 (2006)

Multiplet effects in the electronic structure of light rare-earth metals

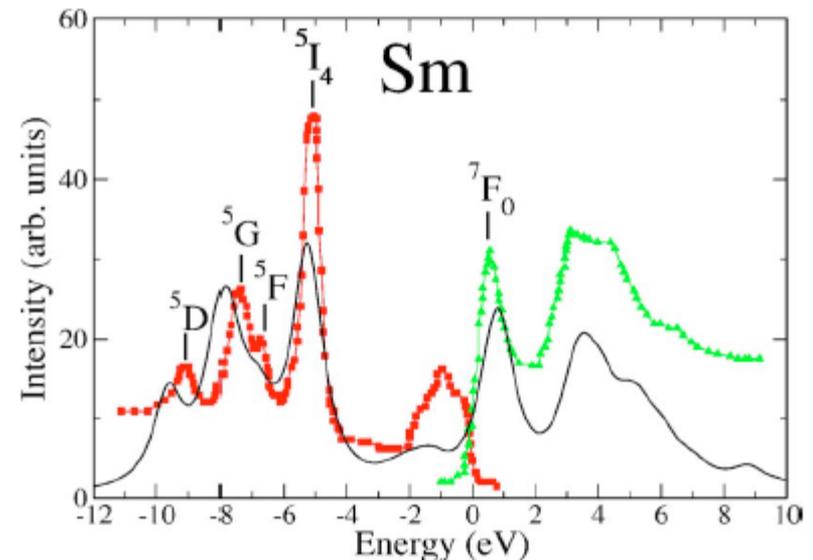
S. Lebègue,^{1,2} A. Svane,³ M. I. Katsnelson,⁴ A. I. Lichtenstein,⁵ and O. Eriksson¹

Photoemission (red) and
inverse photoemission (green)

PES



5I_4 , 5F , 5G , and 5D final states



Itinerant-electron magnets: coexistence

Fe



Local magnetic moments do exist above T_C (Curie-Weiss law, spectroscopy, neutrons...)

Co

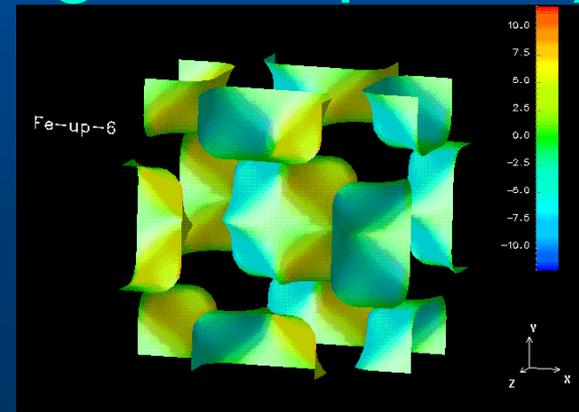
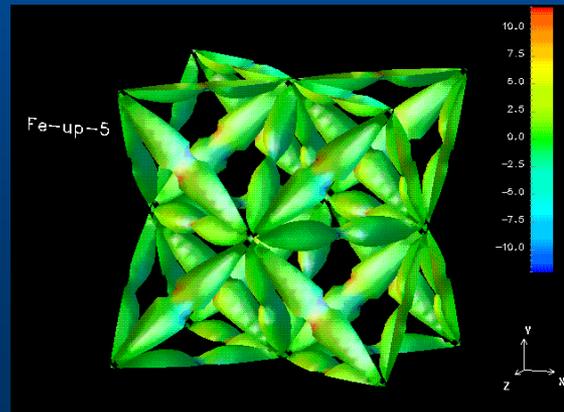


d electrons are itinerant (FS, chemical bonding, transport...)

Ni



Iron, majority spin FS



4f electrons are normally pure localized but not 3d

Dynamical Mean Field Theory

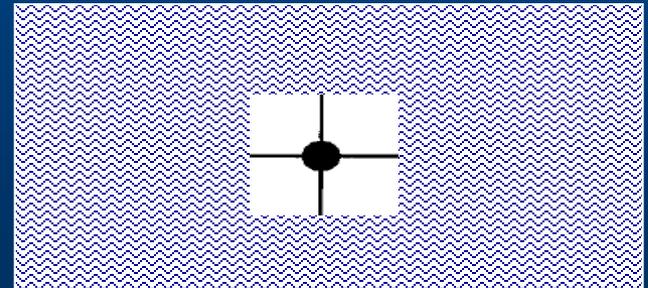
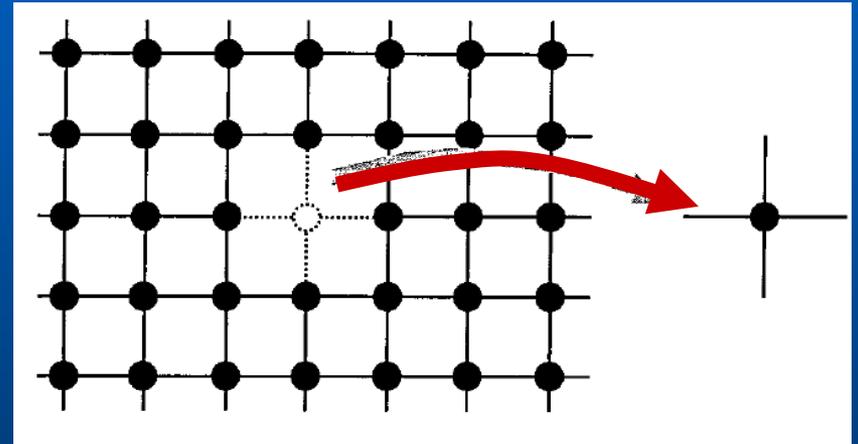
A.Georges, G.Kotliar, W.Krauth and M.Rozenberg, Rev. Mod. Phys. '96

A natural generalization of the familiar MFT to the problem of electrons in a lattice

Key idea: take one site out of a lattice and embed it in a self-consistent bath = mapping to an effective impurity problem

Effective impurity: atomic-like features, many-body problem

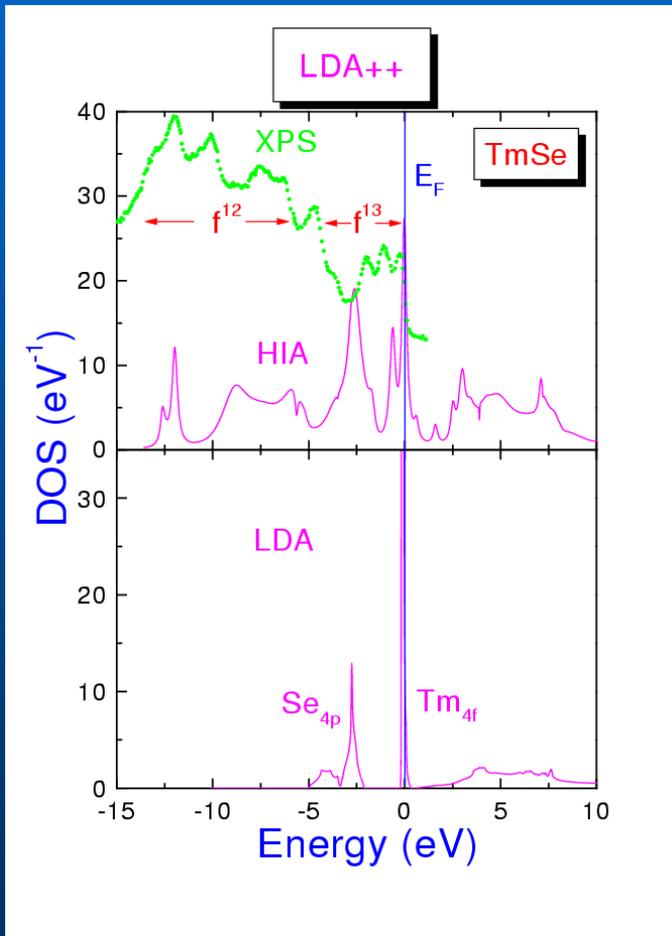
Putting into crystal: itinerant features, single-body problem



Combination with realistic calculations

A.Lichtenstein and MIK, PRB 57, 6884 (1998); JPCM 11, 1037 (1999)

V.Anisimov et al, JPCM 9, 7339 (1997)



1046

MI Katsnelson and AI Lichtenstein

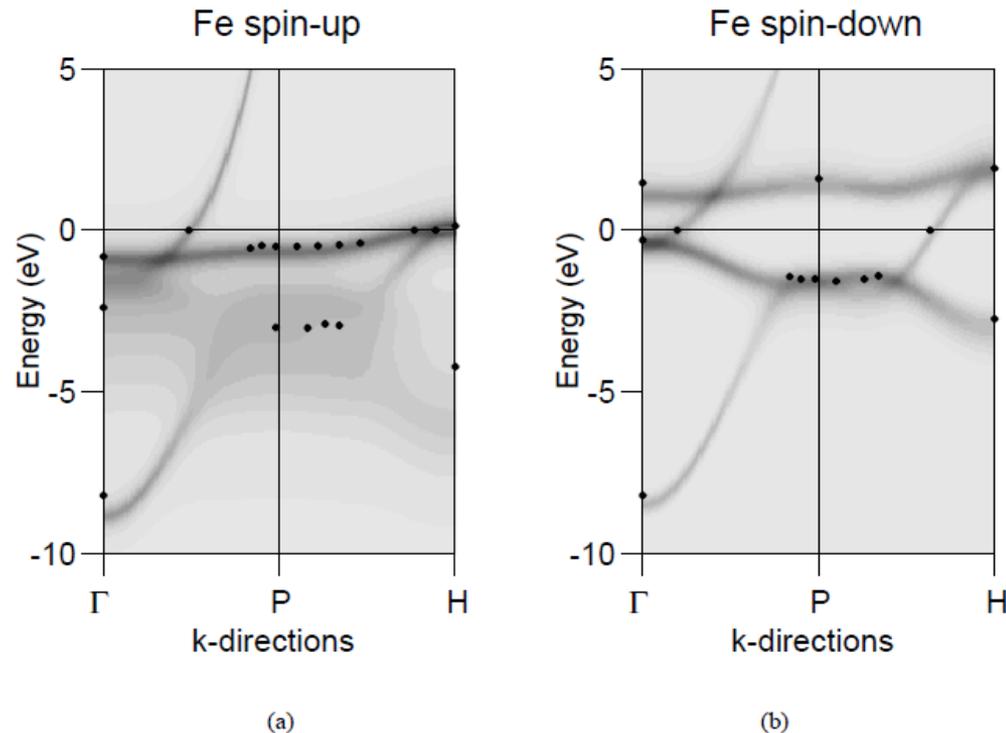


Figure 3. The spectral function of ferromagnetic iron for spin up (a) and spin down (b), and the two k -directions in the Brillouin zone, compared with the experimental angle-resolved photoemission and de Haas-van Alphen (at $E_F = 0$) points (from reference [3]).

PRB 57, 6884 (1998)

JPCM 11, 1037 (1999)

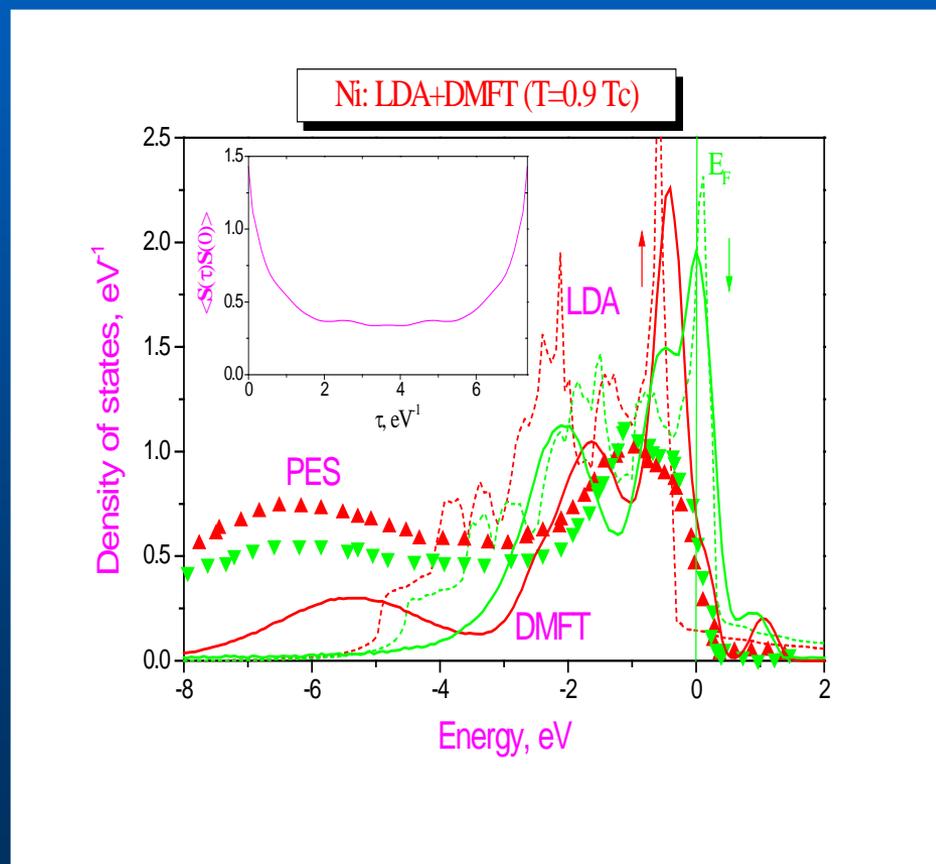
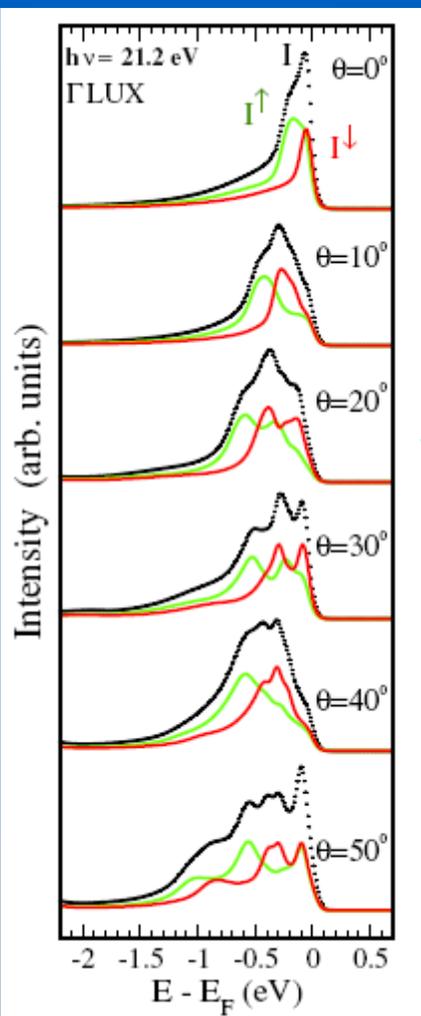
Ferromagnetism of transition metals: LDA+DMFT

Ferromagnetic Ni DMFT vs. LSDA:

- 30% band narrowing
- 50% spin-splitting reduction
- -6 eV satellite

LDA+DMFT with ME
J. Braun *et al*
PRL (2006)

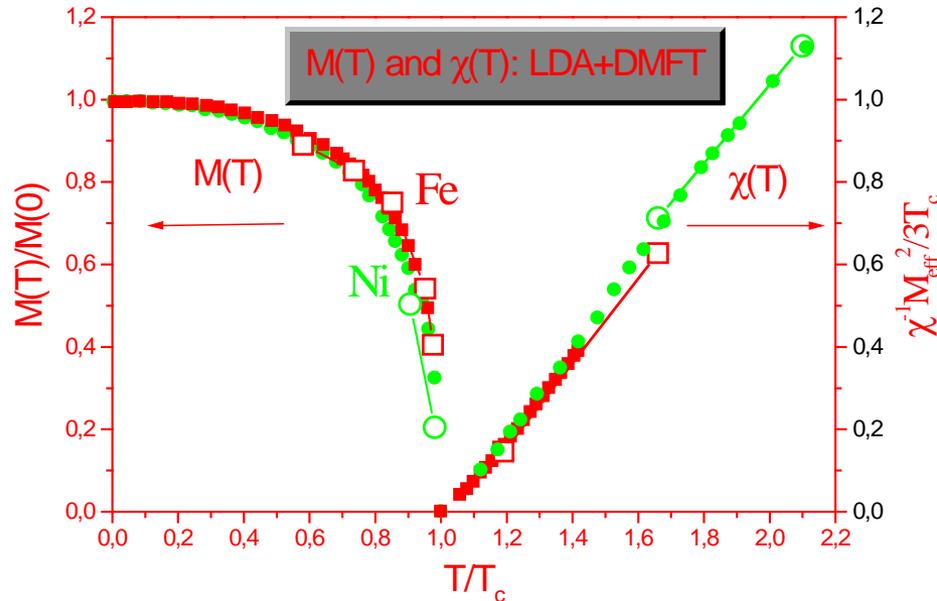
Very good for Ni



Lichtenstein, MIK, Kotliar, PRL (2001)

DMFT Effective Magnetic Moments: $T > T_c$

VV	exp	eff	loc	DLM	Tc	exp
Fe	3.13	3.09	2.8	1.96	1900	1043
Ni	1.62	1.5	1.3	1.21	700	631

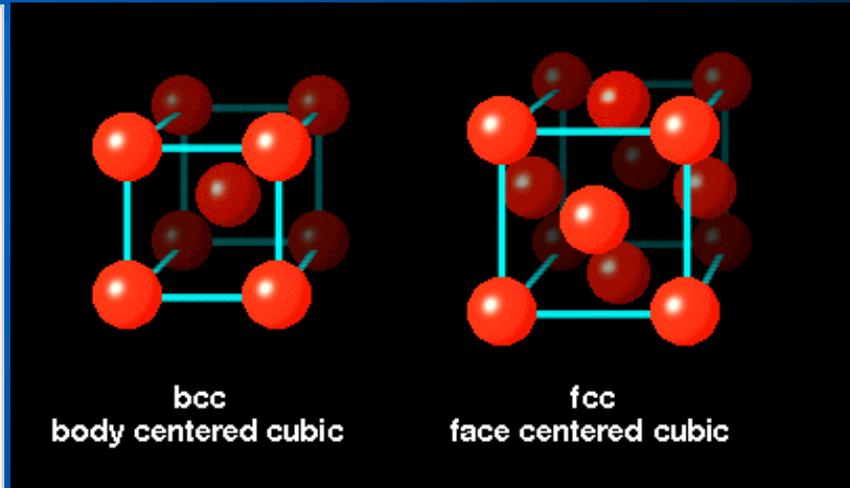
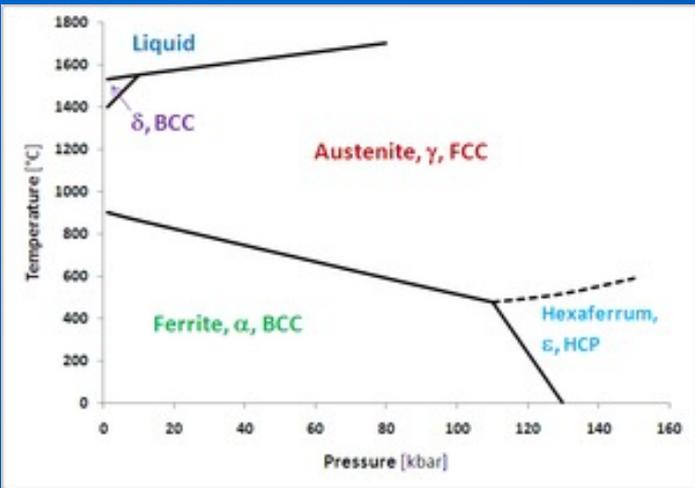


We are still in iron age

Steel (basically, Fe and a bit C) is one of the main materials of our civilization



Iron is polymorphous metal

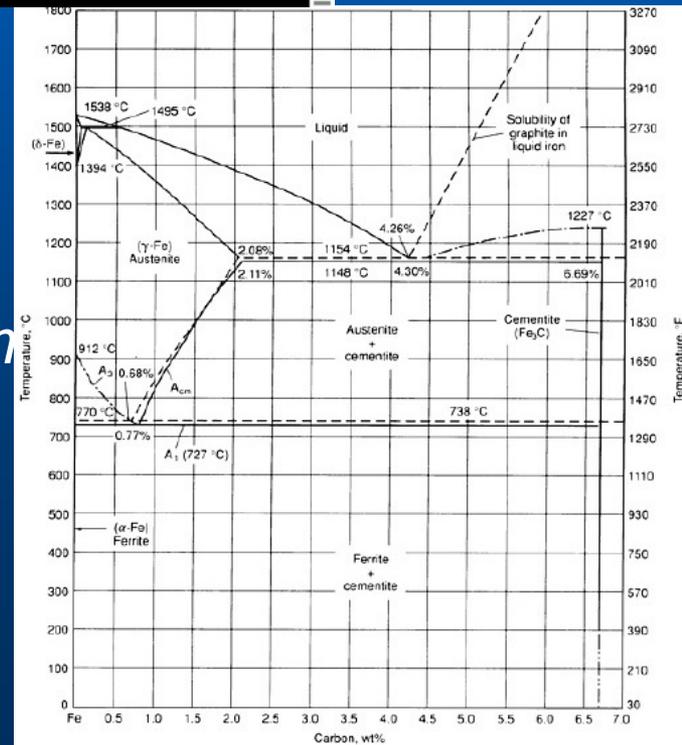


Institut für Allgemeine Physik, TU Wien

The only polymorphous metal where bcc phase is stable at lower temperatures than fcc or hcp: **Role of magnetism (Zener)**

Crucially important for Fe-C phase diagram and therefore for metallurgy

Should follow from electronic structure (quantum mechanical energy spectrum)



Morphology of two-phase state

J. Phys.: Condens. Matter 25 (2013) 135401 (9pp)

doi:10.1088/0953-8984/25/13/135401

Effect of magnetism on kinetics of γ - α transformation and pattern formation in iron

I K Razumov^{1,2}, Yu N Gornostyrev^{1,2} and M I Katsnelson³

Magnetic free energy plays crucial role in kinetics of transformation and morphology of the final structure in pure iron

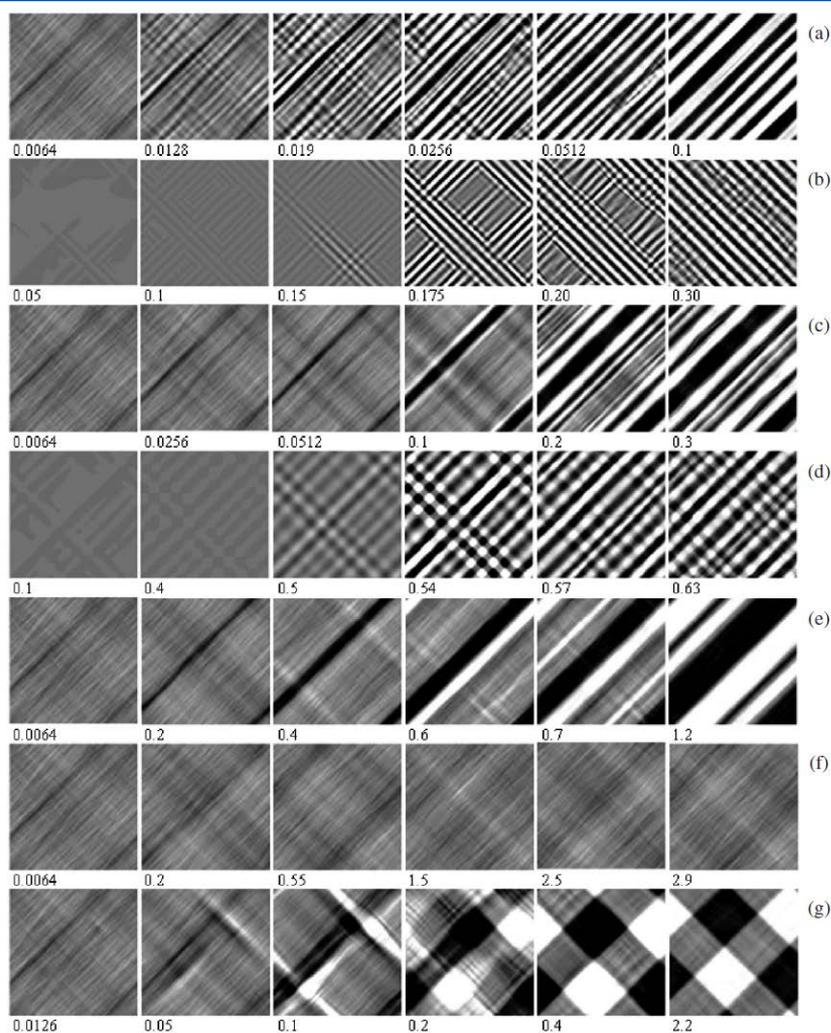


Figure 2. Time evolution of the structure at exposure at $T = 400$ K ((a), (b)), 700 K ((c), (d)), 950 K (e), 1000 K ((f), (g)) after quenching of the high-temperature state ((a), (c), (e), (f)) or development of instability of the uniform fcc state ((b), (d)), under homogeneous ((a)–(f)) and heterogeneous nucleation (g). Gradations of gray color correspond to the values for the order parameter ϕ ; black and white colors show the regions for the α -phase with two possible orientations ($\phi = \pm 1$).

What about steel?

Steel: composite material (hard carbide phase in ductile bcc Fe)
Morphology determines mechanical properties and therefore applications

Razumov, Gornostyrev, MIK
Autocatalytic mechanism of
perlite growth

arXiv:1605.07508



Fig.3. Kinetics of lamellar structure growth from a single ferrite nucleus placed on the grain boundary; $T=675\text{K}$, $c_0=0.06$; $T=675\text{K}$, $c_0=0.06$. The numbers under each fragments correspond to the dimensionless simulation time.

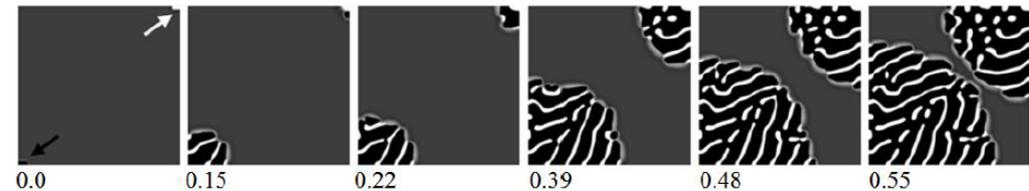


Fig.4. Kinetics of lamellar structure growth from a nucleus placed on the grain boundaries junctions (ferrite nucleus in the bottom left and cementite nucleus in the upper right corner are indicated by arrows); $T=675\text{K}$, $c_0=0.06$.

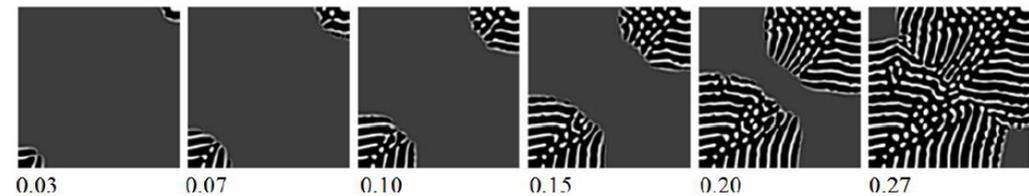


Fig.5. Kinetics of lamellar structure growth at shifting the line T_1 to the left by $\delta c = 0.03$. The other parameters are the same as in Fig.4.

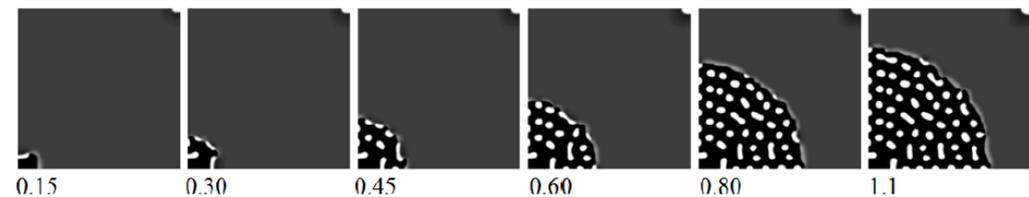


Fig.6. Kinetics of globular structure growth; $T=800\text{K}$, the other parameters are the same as in Fig.4.

30 years of high-temperature superconductivity

Bednorz and Müller
Zeitschrift für Physik
B 64, 189 (1986)

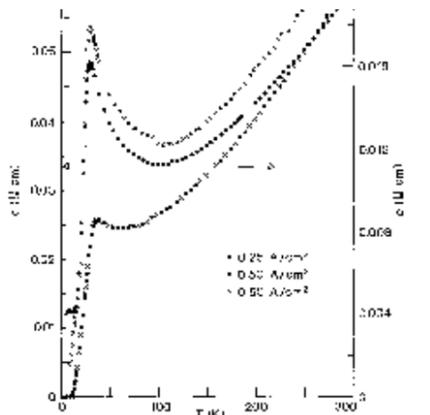
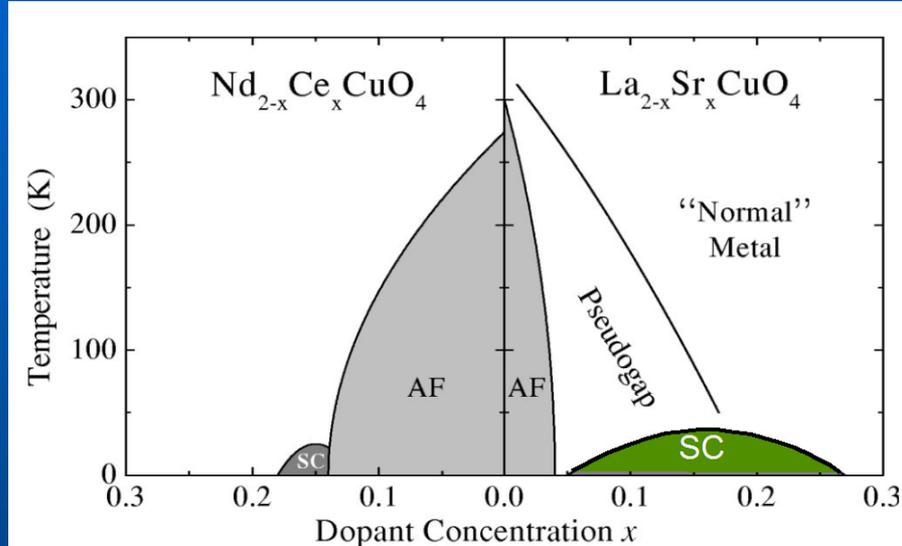
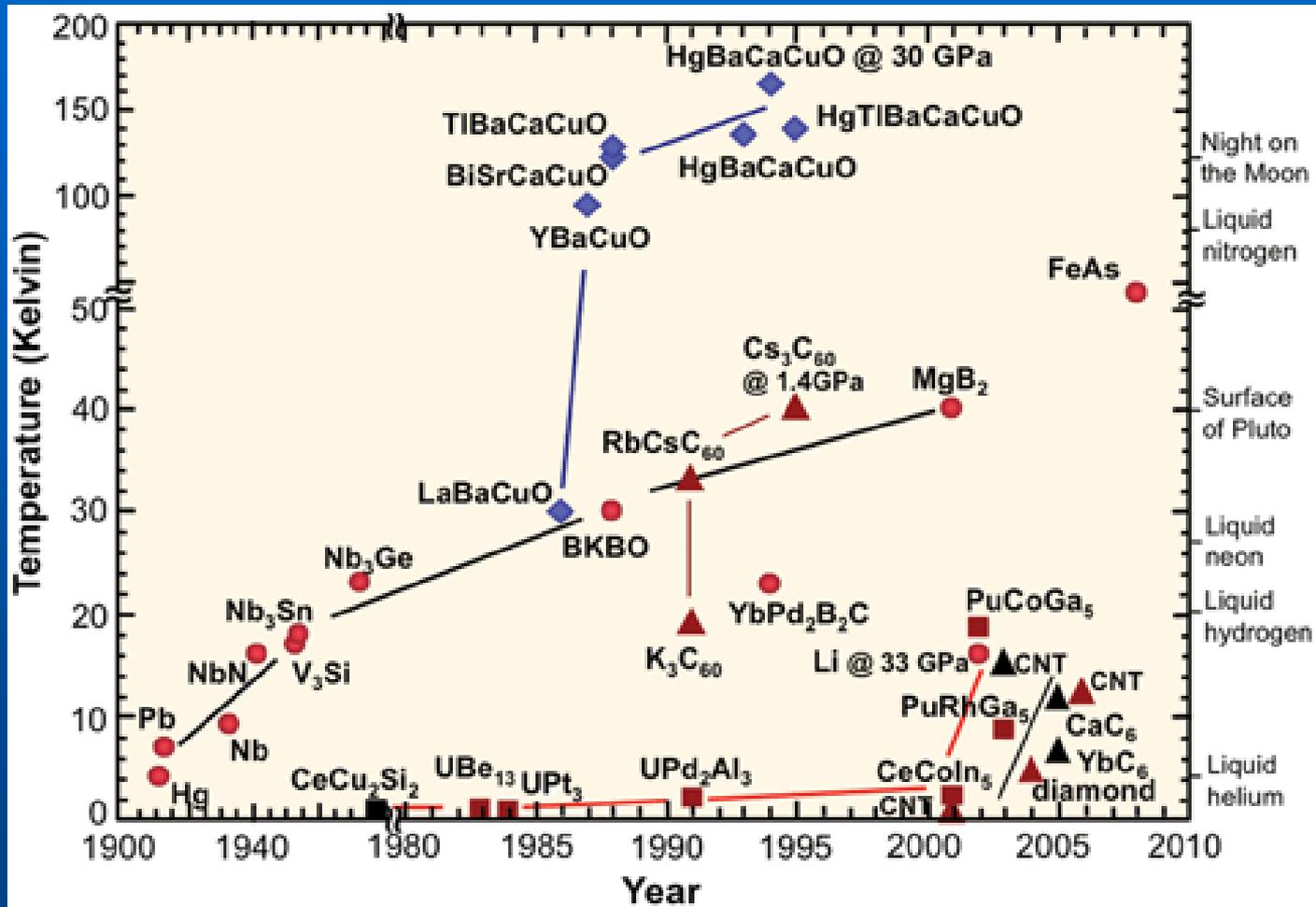


Fig. 1. Temperature dependence of resistivity in $\text{Bi}_2\text{Te}_{2-x}\text{Sr}_x\text{CuO}_{4-x}$ for samples with $x(\text{Bi}) = 1$ (upper curves, left scale) and $x(\text{Bi}) = 0.75$ (lower curves, right scale). The last two curves also show the influence of current density.



Magnetic levitation (Meissner effect) at liquid nitrogen temperature

High- T_c superconductivity II

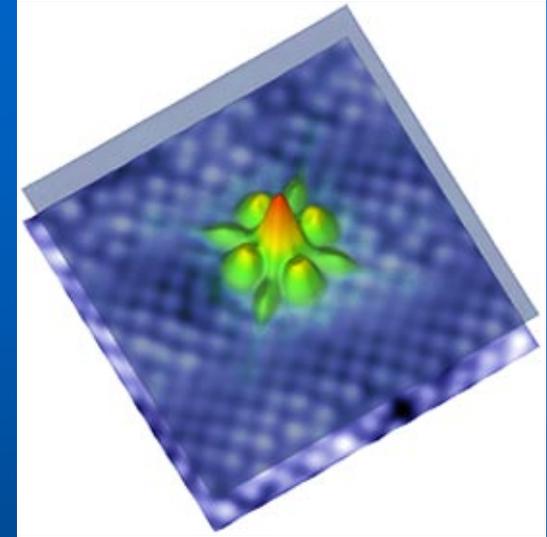
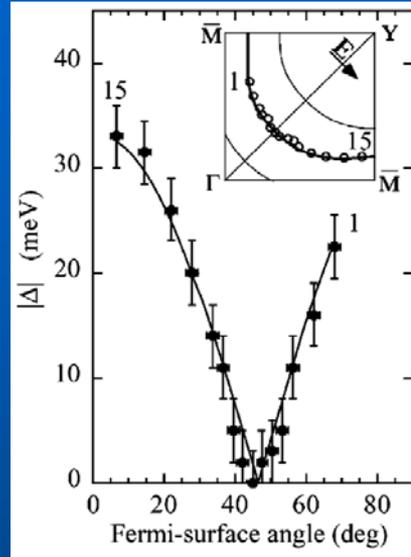
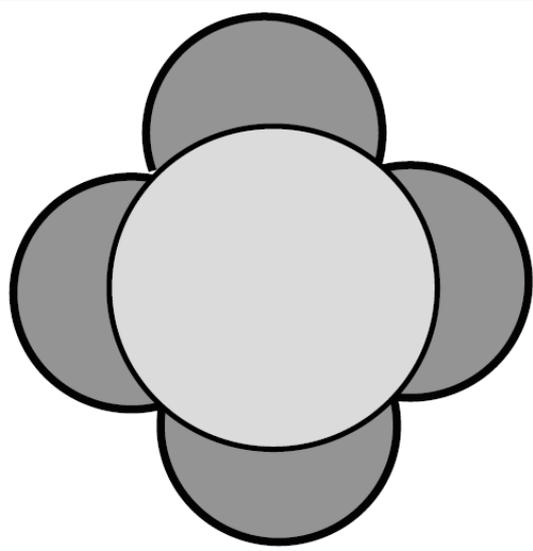


Cu-O compounds seem to be special!

(Very recently – H₃S under pressure, different physics)

High- T_c superconductivity III

d -wave pairing



Visualization of the order parameter around Zn impurity by STM

S. Davis group, Nature 2000

Electronic structure in superconducting state (ARPES)

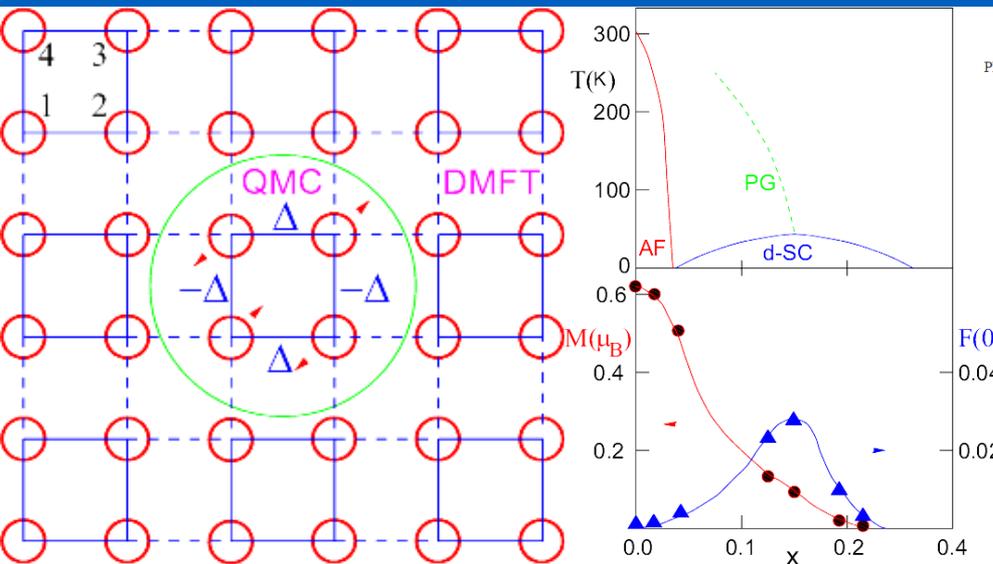
Damascelli *et al.*, Rev. Mod. Phys 75, 2 (2003)

Btw, another example of massless Dirac fermions in cond. matter

Also, Josephson junctions etc

High- T_c superconductivity IV

d-wave pairing itself is nonlocal effect (cannot be introduced on site)



PHYSICAL REVIEW B

VOLUME 62, NUMBER 14

RAPID COMMUNICATIONS

1 OCTOBER 2000-II

Antiferromagnetism and d -wave superconductivity in cuprates: A cluster dynamical mean-field theory

A. I. Lichtenstein¹ and M. I. Katsnelson²
¹University of Nijmegen, 6525 ED Nijmegen, The Netherlands
²Institute of Metal Physics, 620219 Ekaterinburg, Russia
(Received 22 November 1999)

Cluster DMFT as the first step
Order parameter on the bond
rather than on site

Important role of (antiferro)magnetic fluctuations,
interplay of magnetism and superconductivity

Pseudogap formation, node and antinode points at the
Fermi surface, shadow Fermi surface etc. etc.

High- T_c superconductivity V

PHYSICAL REVIEW B 94, 125133 (2016)

Plaquette valence bond theory of high-temperature superconductivity

Malte Harland

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Mikhail I. Katsnelson

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and Institut für Theoretische Physik, Universität Hamburg, Jungiusstraße 9, 20355 Hamburg, Germany*

(Received 10 April 2016; revised manuscript received 26 July 2016; published 19 September 2016)

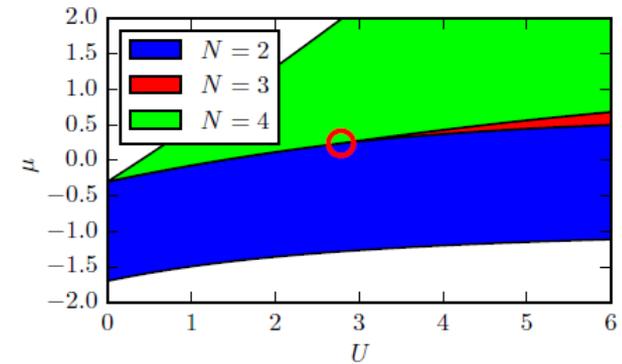


FIG. 1. Zero-temperature phase diagram of the isolated plaquette as a function of the Hubbard U and chemical potential μ in the proximity of the quantum critical point (circle) for $t'/t = -0.3$.

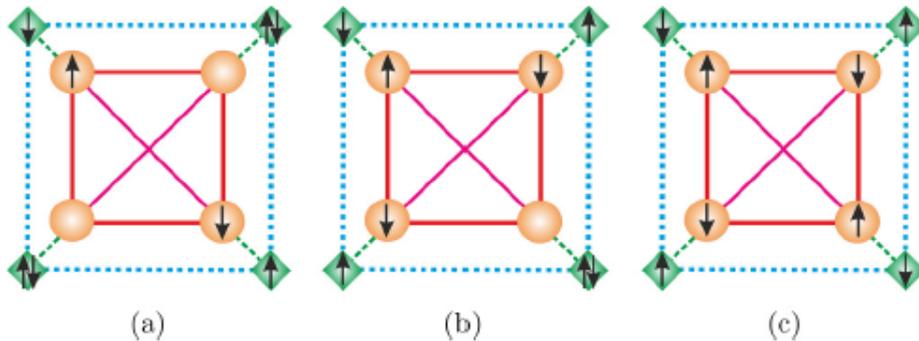


FIG. 3. The main contributions to the plaquette's singlet ground state in a four-site bath with the $d_{x^2-y^2}$ -wave order parameter is the hybridization $V = 0.2\Delta_d = 0.05$ for $U = 3$ and $\mu = 0.27$. (a) Sector $N = 2$ with coefficient = 0.05 and four antisymmetric contributions. (b) Sector $N = 3$ with coefficient = 0.06 and eight antisymmetric contributions. (c) Sector $N = 4$ with coefficient = 0.08 and two antisymmetric contributions.

Quantum critical point in
plaquette?

“Understanding?!” –
The simplest model which
catches the essence

High- T_c superconductivity VI

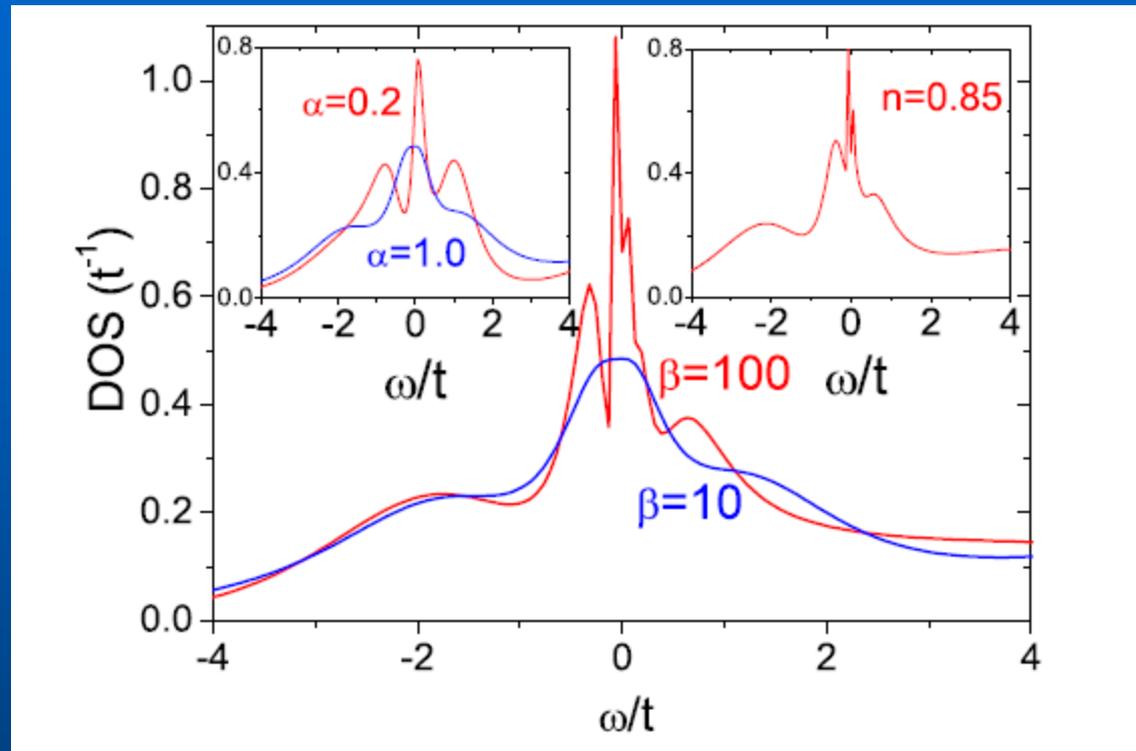


FIG. 5. Density of states for both spins of the plaquette CDMFT for $U = 6$ and $\mu = 0.54$ for different temperatures, (the left inset) with plaquette-lattice hoppings scaled by a factor of α at $\beta = 10$ and (the right inset) for optimal doping $n = 0.85$ at $\beta = 100$.

Pseudogap as “Fano anti-resonance”

Relation to ultracold gases

PRL 112, 070403 (2014)

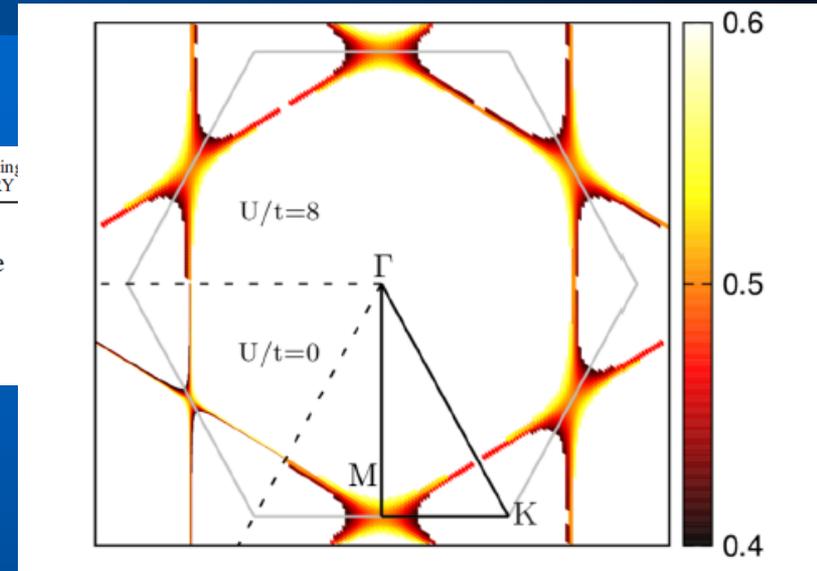
PHYSICAL REVIEW LETTERS

week ending
21 FEBRUARY



Fermi Condensation Near van Hove Singularities Within the Hubbard Model on the Triangular Lattice

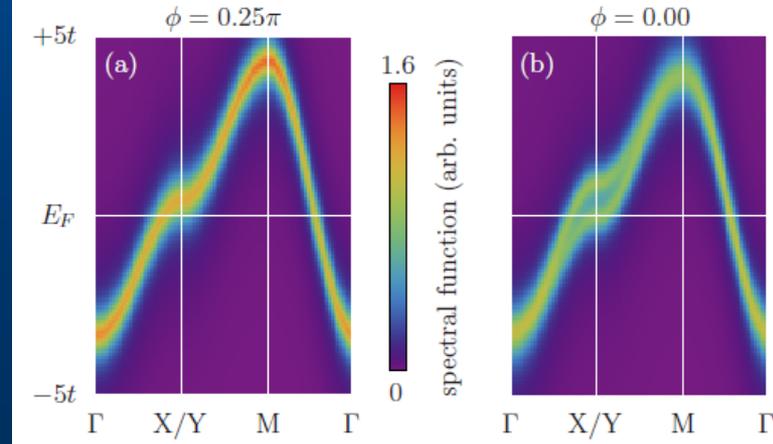
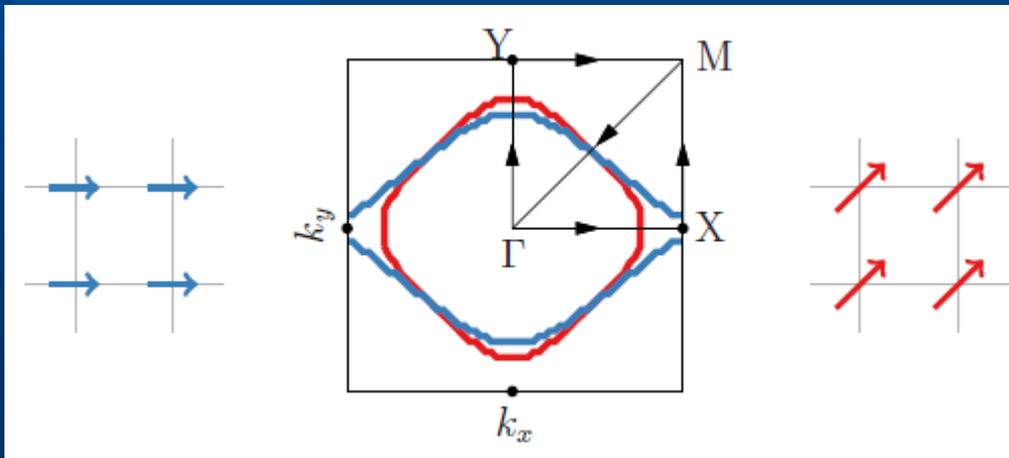
Dmitry Yudin,¹ Daniel Hirschmeier,² Hartmut Hafermann,³ Olle Eriksson,¹
Alexander I. Lichtenstein,² and Mikhail I. Katsnelson^{4,5}



PHYSICAL REVIEW B 93, 195145 (2016)

Interaction-driven Lifshitz transition with dipolar fermions in optical lattices

E. G. C. P. van Loon,¹ M. I. Katsnelson,¹ L. Chomaz,^{2,3} and M. Lemeshko^{4,*}



What to do next?

Out-of-equilibrium phenomena...

Topological matter...

Quantum theoretical design of new materials...

**Materials science not only uses
quantum physics, it stimulates
its further development**