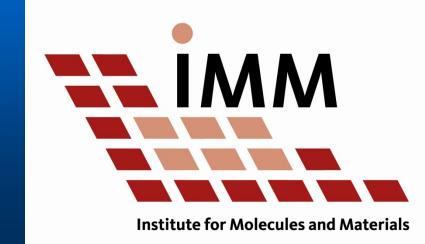
# Radboud Universiteit Nijmegen



# Magnetic interactions from first-principles

#### Mikhail Katsnelson





### Outline

- 1. Introduction
- 2. Time-dependent DFT and magnetic susceptibility
- 3. Exchange interactions from first principles
- 4. Beyond DFT: correlated systems and LDA+DMFT
- 5. Applications: Fe, Ni, Gd, NiO, CrO<sub>2</sub>...
- 6. Dzyaloshinskii-Moriya interactions
- 7. Application: Molecular magnets
- 8. Orbital and spin contributions
- 9. Towards consequent theory of ultrafast spin dynamics

# **Epigraphs**

To the theoretical physicists, ferromagnetism presents a number of very interesting, unsolved and beautiful challenges. Our challenge is to understand why it exists at all.

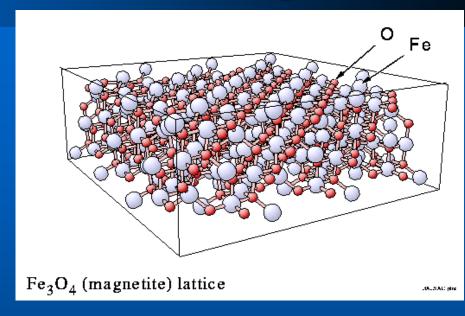
(Feynman Lectures on Physics)

Make things as simple as possible but not simpler

(A. Einstein)

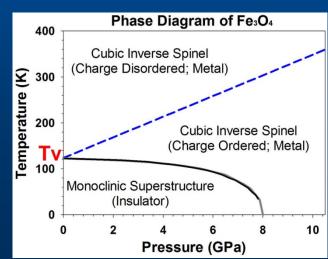
# Magnetite – first known magnet





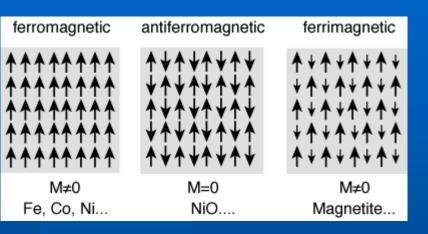
Very complicated structure, still a lot of open questions

Two types of Fe sites (tetra and octa); Metal-insulator transition; Charge ordering; Role of orbital degrees of freedom; Half-metallicity...



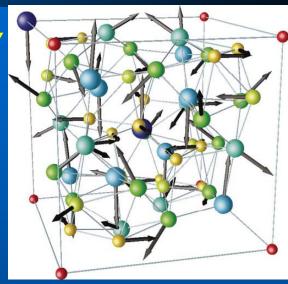
# Types of magnetic ordering

#### Textbook wisdom

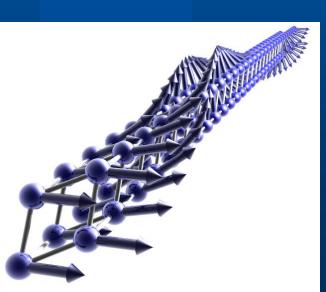


Sometimes very complicated

a-Mn

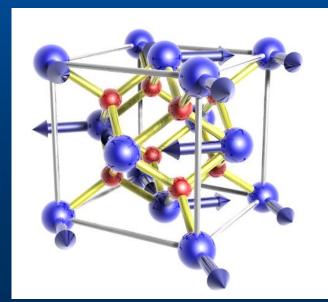


#### Spin spirals

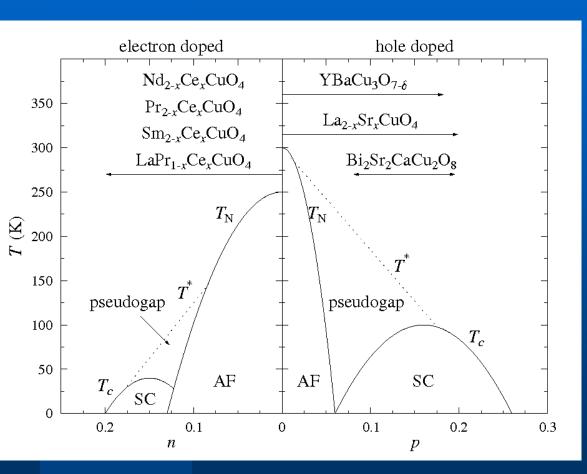


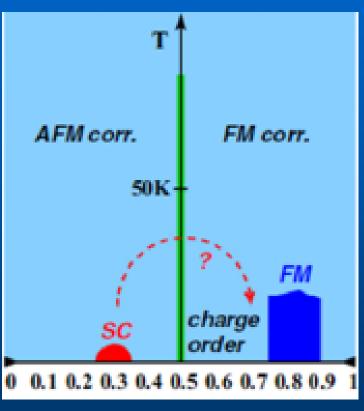
y-Fe

 $UO_2$ 



# Relation to superconductivity and other phenomena





Simplified phase diagram of Cu-O high-Tc superconductors

Layered cobaltates
Na<sub>x</sub>CoO<sub>2</sub>

# Types of magnetic interactions

$$\hat{H} = \sum_{ij} J_{ij} \hat{\vec{S}}_{i} \hat{\vec{S}}_{j} + \sum_{i\mu\nu} \hat{S}_{i}^{\mu} A_{i}^{\mu\nu} \hat{S}_{i}^{\nu} + \sum_{ij} \vec{D}_{ij} [\hat{\vec{S}}_{i} \times \hat{\vec{S}}_{j}]$$

The first term: exchange interactions (Heisenberg model)
Quantum, nonrelativistic (Coulomb interaction plus Pauli principle).
Determine the type of magnetic ordering (mostly)

The second term: magnetic anisotropy Quantum, relativistic (due to spin-orbit interaction). At least, second-order in SOC. Determine "practical" magnetism (hard and soft magnetic materials, hysteresis loop, etc.)

The third term: Dzyaloshinskii-Moriya interactions Quantum, relativistic (due to spin-orbit interaction). First-order in SOC but require broken inversion symmetry. Responsible for weak FM, skyrmiones etc.

# General formulation

System of interacting electrons (many-body problem) + crystal potential

External strong time-dependent laser field (nonequilibrium problem)

Temperature effects (thermal bath, open system, basic statistical mechanics)

Collect all difficulties of modern theoretical physics

# Levels of description

- Macroscopic (LLG equations + temperature balance, etc.)
- Microscopic, classical Heisenberg model
- Microscopic, quantum itinerant-electron model
- -Ab initio, time-dependent density functional

Multiscale problem

# Time-dependent DFT

SE for many-body wave function in configurational space is replaced by single-particle nonlinear self-consistent equation

Spinor 
$$\Psi = \begin{pmatrix} \Psi_+ \\ \Psi_- \end{pmatrix}$$

$$i \frac{\partial \Psi}{\partial t} = [H_L - \hat{\boldsymbol{\sigma}} \cdot \mathbf{B}(\mathbf{r}, t)] \Psi$$

**B** is self-consistent magnetic field

$$H_L = -\nabla_{\mathbf{r}}^2 + \sum_{\mathbf{R}} V_{\mathbf{r}\mathbf{R}} + 2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + V_{xc}$$

# Simplifications

Adiabatic approx.:  $V_{xc}$  and  $B_{xc}$  are the same as in the equilibrium + local (spin) density approx.

$$i\frac{\partial \psi}{\partial t} = H\psi$$

$$H = -\nabla^2 + V(\mathbf{r}) - \frac{1}{2}(\mathbf{B}_{xc}(\mathbf{r}) + \mathbf{B}_{ext}(\mathbf{r}))\sigma$$

$$V(\mathbf{r}) = V_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\partial}{\partial n} [n\varepsilon_{\text{xc}}]$$
$$\mathbf{B}_{\text{xc}} = -2\frac{\mathbf{m}}{m} \frac{\partial}{\partial m} [n\varepsilon_{\text{xc}}]$$

n,m are charge and spin densities

## Linear response: magnetic susceptibility

MIK & Lichtenstein, JPCM 16, 7439 (2004)

$$\mathbf{B}_{\mathrm{ext}}(\mathbf{r}) \rightarrow 0$$

$$\delta B_{\rm tot}^{\alpha} = \delta B_{\rm ext}^{\alpha} + \frac{\delta B_{\rm xc}^{\alpha}}{\delta m^{\beta}} \delta m^{\beta}$$

$$\delta m^{\alpha} = \hat{\chi}^{\alpha\beta} \delta B_{\rm ext}^{\beta}$$

$$(\hat{\chi}\varphi)(\mathbf{r}) = \int d\mathbf{r}' \,\chi(\mathbf{r},\mathbf{r}')\varphi(\mathbf{r}')$$

At the same time (Runge-Gross theorem, 1984) in TD-DFT

$$\delta m^{\alpha} = \hat{\chi}_0^{\alpha\beta} \delta B_{\rm tot}^{\beta}$$

A response of effective system of noninteracting Kohn-Sham particles (Liu & Vosko 1989 for magnetic case)

## Linear response: magnetic susceptibility II

### Rigorous exprression

$$\hat{\chi}^{\alpha\beta} = \hat{\chi}_0^{\alpha\beta} + \hat{\chi}_0^{\alpha\gamma} \frac{\delta B_{xc}^{\gamma}}{\delta m^{\delta}} \hat{\chi}^{\delta\beta}$$

#### Adiabatic approximation plus LSDA:

$$\frac{\delta B_{\rm xc}^{\gamma}}{\delta m^{\delta}} = \frac{B_{\rm xc}}{m} \left( \delta_{\gamma\delta} - \frac{m^{\gamma} m^{\delta}}{m^2} \right) + \frac{m^{\gamma} m^{\delta}}{m^2} \frac{\partial B_{\rm xc}}{\partial m}$$

Transverse susceptibility is separated from (longitudinal spin + charge) susceptibilities

# Transverse susceptibility

$$\chi^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) + \int d\mathbf{r}'' \, \chi_0^{+-}(\mathbf{r}, \mathbf{r}'', \omega) I_{xc}(\mathbf{r}'') \chi^{+-}(\mathbf{r}'', \mathbf{r}', \omega)$$

$$I_{\mathrm{xc}} = \frac{B_{\mathrm{xc}}}{m}$$
 Local Stoner parameter

$$m = \sum_{\mu\sigma} \sigma f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2$$
$$n = \sum_{\mu\sigma} f_{\mu\sigma} |\psi_{\mu\sigma}(\mathbf{r})|^2.$$

$$\chi_0^{+-}(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

Kohn-Sham states

$$(H_0 - \frac{1}{2}\sigma B_{xc})\psi_{\mu\sigma} = \varepsilon_{\mu\sigma}\psi_{\mu\sigma}$$

$$H_0 = -\nabla^2 + V(\mathbf{r})$$

# Longitudinal susceptibility

$$\chi^{zz} = \frac{1}{4} \left( K^{\uparrow\uparrow} + K^{\downarrow\downarrow} - K^{\uparrow\downarrow} - K^{\downarrow\uparrow} \right)$$

$$K^{\uparrow\uparrow} = X_{\uparrow} + X_{\uparrow}U_{\uparrow\uparrow}K^{\uparrow\uparrow} + X_{\uparrow}U_{\uparrow\downarrow}K^{\downarrow\uparrow}$$

$$K^{\downarrow\downarrow} = X_{\downarrow} + X_{\downarrow}U_{\downarrow\downarrow}K^{\downarrow\downarrow} + X_{\downarrow}U_{\downarrow\uparrow}K^{\uparrow\downarrow}$$

$$K^{\uparrow\downarrow} = X_{\uparrow}U_{\uparrow\downarrow}K^{\downarrow\downarrow} + X_{\uparrow}U_{\uparrow\uparrow}K^{\uparrow\downarrow}$$

$$K^{\downarrow\uparrow} = X_{\downarrow}U_{\downarrow\uparrow}K^{\uparrow\uparrow} + X_{\downarrow}U_{\downarrow\downarrow}K^{\downarrow\uparrow}.$$

$$X_{\sigma}(\mathbf{r}, \mathbf{r}') = \sum_{\mu\nu} \frac{f_{\mu\sigma} - f_{\nu\sigma}}{\omega - \varepsilon_{\mu\sigma} + \varepsilon_{\nu\sigma}} \psi_{\mu\sigma}^{*}(\mathbf{r}) \psi_{\nu\sigma}(\mathbf{r}) \psi_{\mu\sigma}(\mathbf{r}') \psi_{\nu\sigma}^{*}(\mathbf{r}')$$

$$U_{\sigma\sigma'} = \frac{\partial^2 \left( n \varepsilon_{\text{xc}} \right)}{\partial n_{\sigma} \partial n_{\sigma'}}$$

$$n_{\sigma} = \frac{1}{2} \left( n + \sigma m \right)$$

# Separation of magnon poles

#### After rigorous manipulations

$$\hat{\chi}^{+-} = (m + \hat{\Lambda})(\omega - I_{xc}\hat{\Lambda})^{-1}$$

$$\Lambda(\mathbf{r}, \mathbf{r}', \omega) = \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \nabla [\psi_{\mu\uparrow}(\mathbf{r}') \nabla \psi_{\nu\downarrow}^*(\mathbf{r}') - \psi_{\nu\downarrow}^*(\mathbf{r}') \nabla \psi_{\mu\uparrow}(\mathbf{r}')]$$

#### Magnon pole

$$\omega(\mathbf{q}) = \frac{4}{M} \left[ J(0) - J(\mathbf{q}) \right]$$

$$J(\mathbf{r}, \mathbf{r}', \omega) = \frac{1}{4} \sum_{\mu\nu} \frac{f_{\mu\uparrow} - f_{\nu\downarrow}}{\omega - \varepsilon_{\mu\uparrow} + \varepsilon_{\nu\downarrow}} \psi_{\mu\uparrow}^*(\mathbf{r}) B_{xc}(\mathbf{r}) \psi_{\nu\downarrow}(\mathbf{r}) \psi_{\nu\downarrow}^*(\mathbf{r}') B_{xc}(\mathbf{r}') \psi_{\mu\uparrow}(\mathbf{r}')$$

#### Im part corresponds to Stoner damping

## Alternative definition of exchanges

#### Static susceptibility

$$\hat{\chi}^{+-}(0) = m(\hat{\Omega}^{-1} - B_{xc}^{-1})$$

$$\hat{\tilde{\Omega}} = \hat{\Omega}(1 - B_{\mathrm{xc}}^{-1}\hat{\Omega})^{-1}$$

The first way (poles of susceptibility) corresponds Liechtenstein, MIK & Gubanov, J. Phys. F 1984, the second way (static suscept.) Bruno, PRL 2003. The expressions for stiffness constant coincide and are rigorous within the adiabatic approximation + LSDA

## Nonlocal corrections to magnon stiffness

MIK & Antropov, PRB 67, 140406 (2003)

Exchange and correlation in spiral state of homogeneous electron gas

Angular gradient corrections

$$E_{xc} = \int d\mathbf{r} \{ n \varepsilon_{xc} (n_{\uparrow}, n_{\downarrow}) + \lambda (n_{\uparrow}, n_{\downarrow}) D \}$$

$$D = (\nabla_{\alpha} e_{\beta})(\nabla_{\alpha} e_{\beta}) = (\nabla \theta)^{2} + \sin^{2} \theta (\nabla \varphi)^{2}$$

$$\lambda(n_{\uparrow},n_{\downarrow}) = -\frac{e^{2}}{16\pi^{2}} \left(\frac{1}{F} - \frac{4}{3}\right) (V_{xc}^{\uparrow} p_{F\uparrow} + V_{xc}^{\downarrow} p_{F\downarrow})$$

$$-\frac{e^{2}}{96\pi^{2}F^{2}} (V_{xc}^{\uparrow} + V_{xc}^{\downarrow}) (p_{F\uparrow} + p_{F\downarrow}).$$
Corrections to stiffness constant

constant

$$F = (p_{F\uparrow} + p_{F\downarrow})I(n_{\uparrow}, n_{\downarrow})/2\pi^{2}$$

# Stiffness constants for Fe and Ni

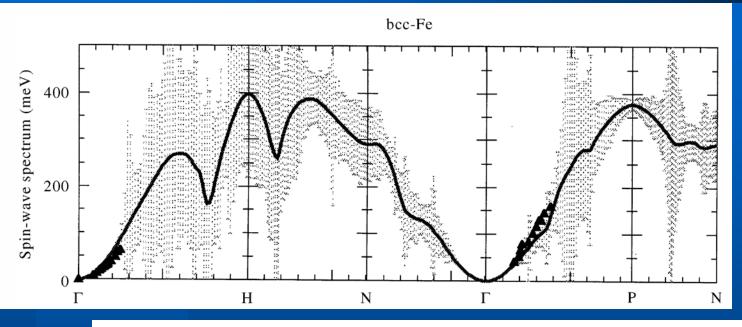
(in meV/Å<sup>2</sup>)

Fe: LSDA
with gradient corrections
experiment
239
251
280 - 310

Ni: LSDA 692 with gradient corrections 735 experiment 550-630

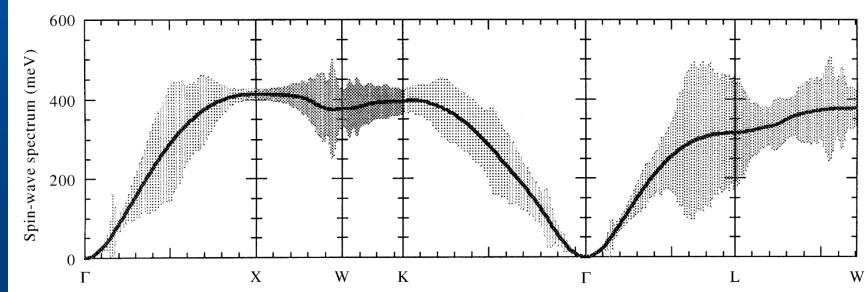
Corrections are quite small

# Stoner damping in Fe and Ni



Antropov, Harmon, Smirnov, JMMM 200, 148 (1999)

fcc-Ni



# Magnetic force theorem

(Lichtenstein & MIK 1984)

#### Total energy in DF

$$E = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_{\nu}^{occ} \varepsilon_{\nu}$$

$$E_{dc} = E_{Hartree} + \int dr Tr \left[ \rho \frac{\delta E_{xc}}{\delta \rho} \right] - E_{xc}$$

**Variation** 

$$\delta E = \delta^* E_{sp} + \delta_1 E_{sp} - \delta E_{dc} = \delta^* E_{sp} = \delta^* \int_{-\infty}^{\varepsilon_F} d\varepsilon \left[ \frac{1}{\pi} Tr \operatorname{Im} \hat{G}(\varepsilon) \right]$$



at fixed potential

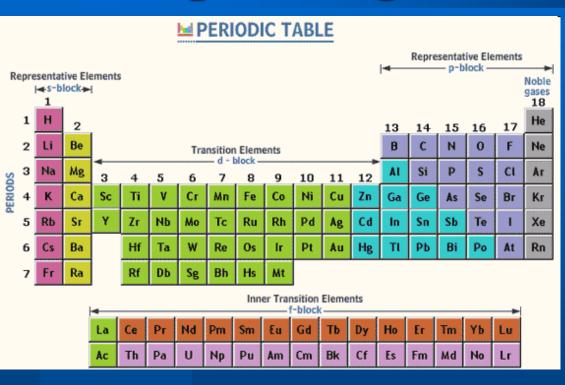


due to change of potential

# Magnetic force theorem II

- Torque can be written in terms of variation of the density of states
- Decomposition of the torque in pair terms gives exchange integrals (LK)
- These exchange parameters are local (near given magnetic configuration)
- Adding constrain to stabilize rotated configuration gives exchange parameters (Bruno)
- Exchange parameters for *d* metals are strongly non-Heisenbergian (depend on magnetic configuration) (Turzhevskii, Lichtenstein & MIK, Fiz. Tverd. Tela 1990)

# Example: magnetism of Fe, Co, Ni





Ferromagnetism of iron is known from ancient times







Iron Cobalt Nickel

# Itinerant-electron ferromagnetism at finite temperatures

Stoner

Heisenberg

Spin-fluctuation

### Stoner criterion

$$I_{\rm eff}N\left(E_{\rm F}\right)>1$$

 $N(E_{\rm F})$  is the density of one-electron states

 $I_{\rm eff}$  is an on-site interaction parameter

Stoner parameter ≈ 0.9 eV for all 3d metals; DOS is crucially important

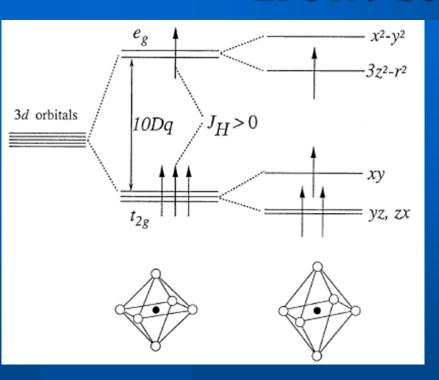
Equation for the Curie temperature:  $I_{\rm eff} \int {\rm d}E \left(-\frac{\partial f}{\partial E}\right) N(E) = 1$ 

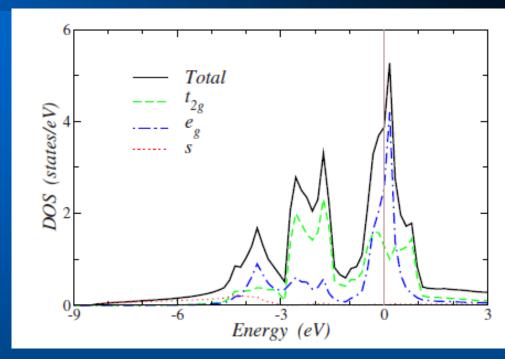
$$I_{\text{eff}} \int dE \left(-\frac{\partial f}{\partial E}\right) N(E) = 1$$

If Fe would be Stoner magnet it would have T<sub>C</sub> ≈ 4000 K (in reality 1043 K)

> In reality,  $T_c$  is determined by spin fluctuations, That is, exchange parameters

### Iron: some details





Crystal field splitting

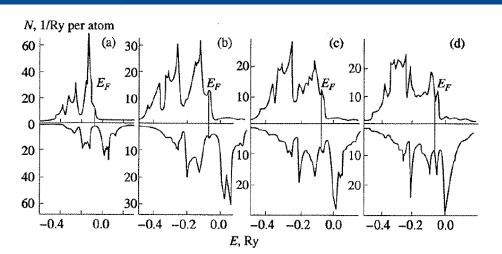
DOS for nonmagnetic bcc Fe

Stoner criterion is fulfilled due to e<sub>g</sub> states only; they should play a special role in magnetism of Fe (Irkhin, Katsnelson, Trefilov, JPCM 5, 8763 (1993))

# Non-Heisenberg character of exchange interactions in Fe and Ni

S.A. Turzhevskii, A.I. Lichtenstein, and M.I. Katsnelson, Fiz. Tverd. Tela 32, 1952 (1990) [Sov. Phys. Solid State 32, 1138 (1990)].

Rotation of a central spin: magnetic moment is not constant, energy change is not cosine



 $M, \mu_B$   $\delta E/\delta \theta \times 100$   $M, \mu_B$   $\delta E/\delta \theta \times 1000$  0.6 0.4 0.2 0.2 0.2 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.4 0.3 0.3 0.4 0.3 0.3 0.4

Fig. 4.4. Magnetic moment in Bohr magnetons (the full curve) and the first derivative of energy with respect to angle of rotation in Ry (the dashed curve) according to calculations in [168]: (a) Fe, (b) Ni.

Electronic structure is angle-dependent

Fig. 4.5. Electronic density of states for an Fe atom in a metal with the magnetic moment turned through  $\theta = 0$  (a),  $\theta = 0.2\pi$  (b),  $\theta = 0.35\pi$  (c), and  $\theta = 0.5\pi$  (d).

# Iron: detailed analysis

PRL 116, 217202 (2016)

PHYSICAL REVIEW LETTERS

week ending 27 MAY 2016

#### Microscopic Origin of Heisenberg and Non-Heisenberg Exchange Interactions in Ferromagnetic bcc Fe

Y. O. Kvashnin, R. Cardias, A. Szilva, I. Di Marco, M. I. Katsnelson, A. I. Lichtenstein, L. Nordström, A. B. Klautau, and O. Eriksson

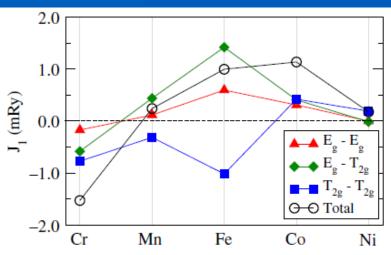
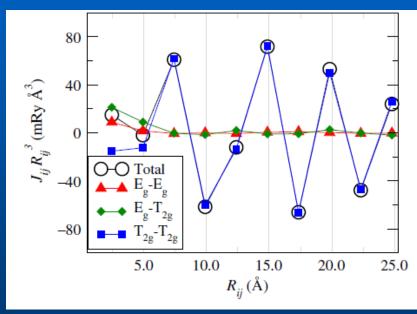
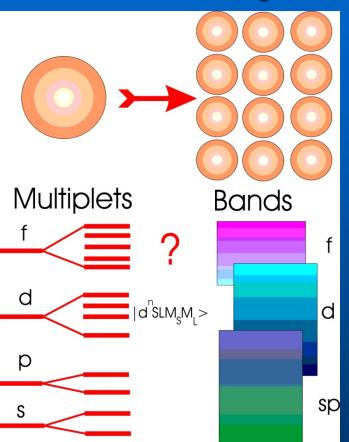


FIG. 1. Orbitally decomposed NN exchange interaction in elemental 3d metals in the bcc structure.



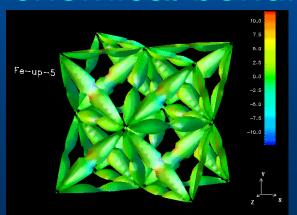
 $t_{2g}$  are itinerant electrons providing (Heisenberg-like) RKKY exchange with Friedel oscillations;  $e_g$  are more correlated providing (non-Heisenberg) "double exchange" typical for narrom-band systems

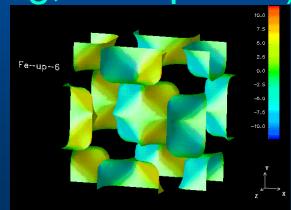
# Problem with DFT: coexistence of localized and itinerant behavior



Local magnetic moments do exist above  $T_C$  (Curie-Weiss law, spectroscopy, neutrons...)

d electrons are itinerant (FS, chemical bonding, transport...)





Iron, majority spin FS

4f electrons are normally pure localized but not 3d

#### From atomic state to itinerant

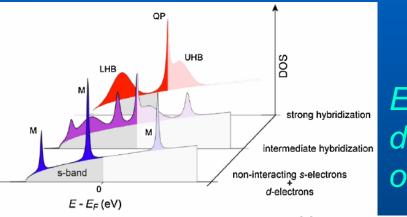
PRL 104, 117601 (2010)

PHYSICAL REVIEW LETTERS

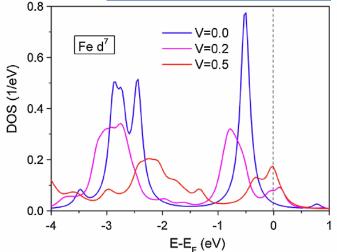
week ending 19 MARCH 2010

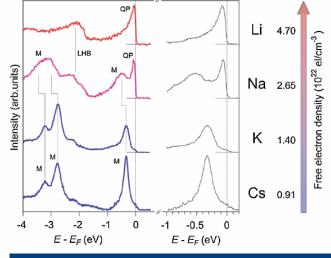
#### Correlated Electrons Step by Step: Itinerant-to-Localized Transition of Fe Impurities in Free-Electron Metal Hosts

C. Carbone, M. Veronese, P. Moras, S. Gardonio, C. Grazioli, P. H. Zhou, O. Rader, A. Varykhalov, C. Krull, T. Balashov, A. Mugarza, P. Gambardella, S. Lebèque, O. Eriksson, M. I. Katsnelson, and A. I. Lichtenstein



Experiment: disappearance of multiplets





Calculations: increase of hybridization

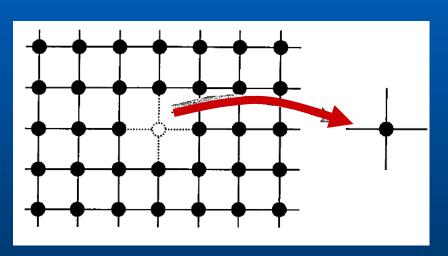
Blue line: exact diagonalization for free atom

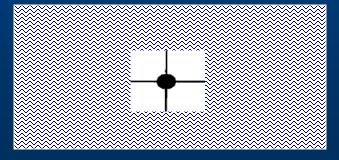
#### Dynamical Mean Field Theory I

A.Georges, G.Kotliar, W.Krauth and M.Rozenberg, Rev. Mod. Phys. '96

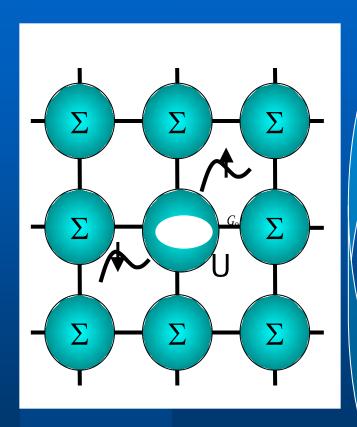
A natural generalization of the familiar MFT to the problem of electrons in a lattice

Key idea: take one site out of a lattice and embed it in a self-consistent bath = mapping to an effective impurity problem



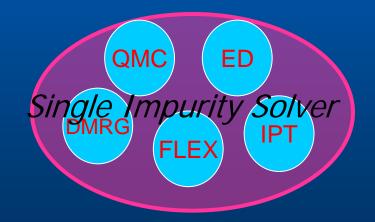


#### Dynamical Mean Field Theory II



$$\hat{G}(i\omega_n) = \frac{1}{\Omega} \sum_{\vec{k}}^{BZ} \hat{G}(\vec{k}, i\omega_n)$$

$$\hat{G}_0^{-1}(i\omega_n) = \hat{G}^{-1}(i\omega_n) + \hat{\Sigma}(i\omega_n)$$



W. Metzner and D. Vollhardt (1987) 
$$\hat{\Sigma}_{new}(i\omega_n) = \hat{G}_0^{-1}(i\omega_n) - \hat{G}^{-1}(i\omega_n)$$
A. Georges and G. Kotliar (1992)

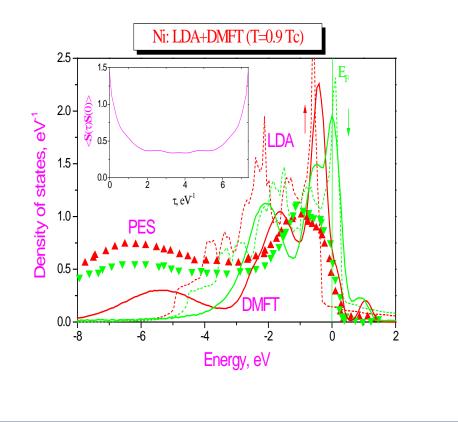
#### Ferromagnetism of transition metals: LDA+DMFT

#### Ferromagnetic Ni DMFT vs. LSDA:

hv = 21.2 eV $\Gamma LUX$ θ=10° (arb. units)  $\theta = 20$ Intensity  $E - E_{r}(eV)$ 

- 30% band narrowing
- 50% spin-splitting reduction
- -6 eV sattellite





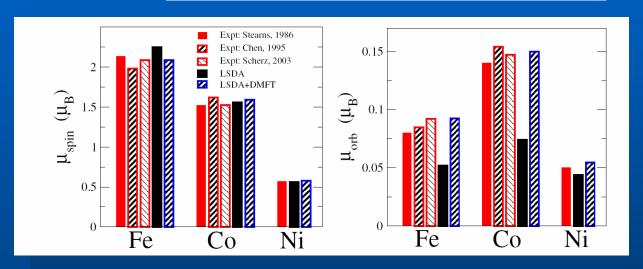
Lichtenstein, MIK, Kotliar, PRL (2001)

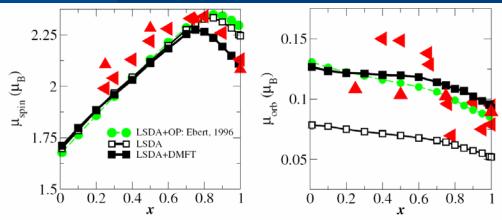
# Orbital magnetic moments

#### Orbital magnetism in transition metal systems: The role of local correlation effects

S. Chadov, J. Minár, M. I. Katsnelson, H. Ebert, D. Ködderitzsch and A. I. Lichtenstein

EPL, 82 (2008) 37001



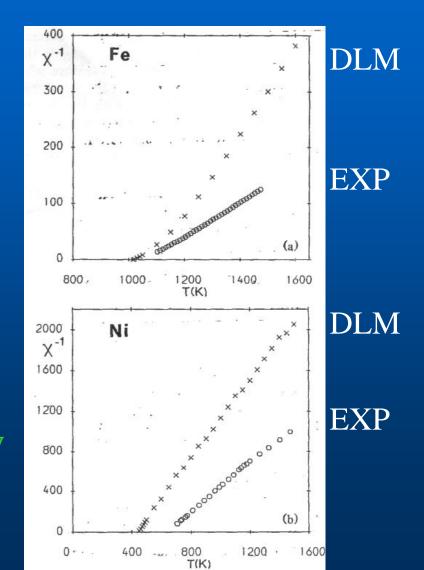


For Fe<sub>x</sub>Co<sub>1-x</sub> alloys

### LDA+Disordered Local Moments

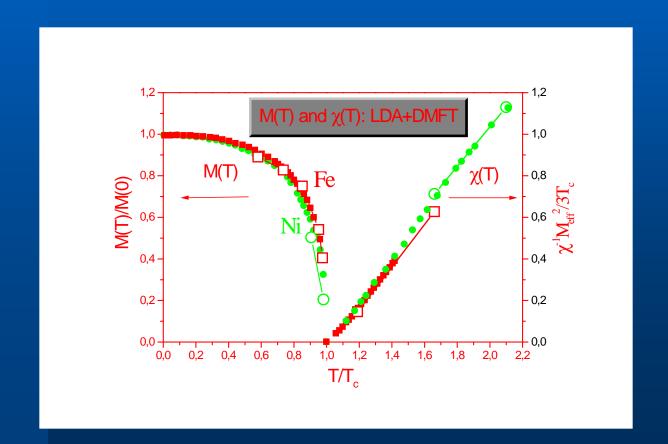
The best first-principle Spin-fluctuation model with classical moments

J. Staunton and B. Gyorffy PRL 69, 371 (1992)



# DMFT Effective Magnetic Moments: T>T<sub>c</sub>

VV	ехр	eff	loc	DLM	Тс	ехр
Fe	3.13	3.09	2.8	1.96	1900	1043
Ni	1.62	1.5	1.3	1.21	700	631



## ARPES for iron

PRL **103**, 267203 (2009)

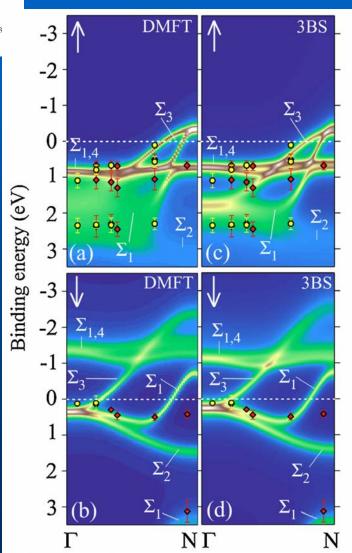
PHYSICAL REVIEW LETTERS

week ending 31 DECEMBER 2009

#### Strength of Correlation Effects in the Electronic Structure of Iron

J. Sánchez-Barriga, <sup>1</sup> J. Fink, <sup>1,2</sup> V. Boni, <sup>3</sup> I. Di Marco, <sup>4,5</sup> J. Braun, <sup>6</sup> J. Minár, <sup>6</sup> A. Varykhalov, <sup>1</sup> O. Rader, <sup>1</sup> V. Bellini, <sup>3</sup> F. Manghi, <sup>3</sup> H. Ebert, <sup>6</sup> M. I. Katsnelson, <sup>5</sup> A. I. Lichtenstein, <sup>7</sup> O. Eriksson, <sup>4</sup> W. Eberhardt, <sup>1</sup> and H. A. Dürr<sup>1</sup>

Agreement is not bad (much better than LDA/GGA) but essentially worse than in nickel. Correlations in iron are not quite local

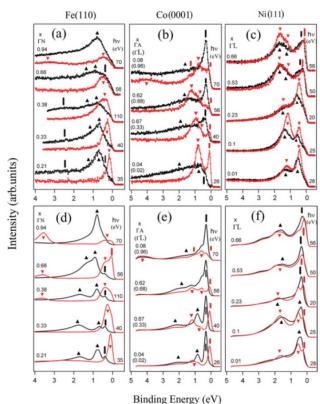


# ARPES for 3d metals

PHYSICAL REVIEW B 85, 205109 (2012)

#### Effects of spin-dependent quasiparticle renormalization in Fe, Co, and Ni photoemission spectra: An experimental and theoretical study

J. Sánchez-Barriga, J. Braun, J. Braun, J. Minár, I. Di Marco, A. Varykhalov, O. Rader, V. Boni, V. Bellini, F. Manghi, H. Ebert, M. I. Katsnelson, A. I. Lichtenstein, O. Eriksson, W. Eberhardt, H. A. Dürr, A. Dürr, A. Band, J. Fink, O. Eriksson, A. Varykhalov, D. Eriksson, W. Eberhardt, D. Dürr, D. Braun, D. Fink, O. Eriksson, D. Eriksso



Variation of U
does not help
too much for Fe

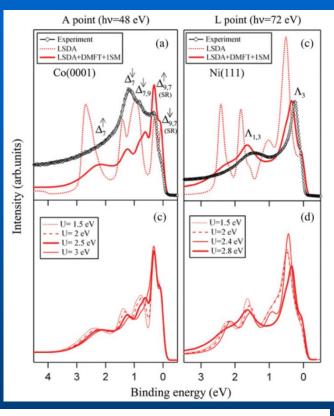
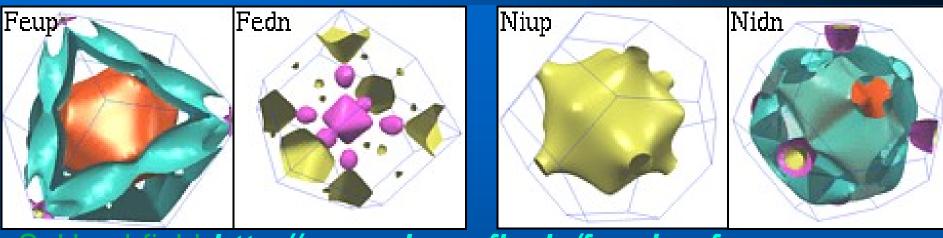


TABLE I. Values of the experimental and theoretical mass enhancement factors  $m^*/m_0$  for majority spin states at high symmetry points of the BBZ of Fe, Co, and Ni, respectively. The theoretical values are derived for U(Fe) = 1.5 eV, U(Co) = 2.5 eV, U(Ni) = 2.8 eV.

	Fe		Co		Ni
Γ N	Expt. Theory 1.7 1.2 1.1 1.2	Γ A	Expt. Theory 1.26 1.31 1.29 1.31	Γ	Expt. Theory 2.0 1.8 1.9 1.8

Black – spin up, red – spin down Upper panel – exper,lower - DMFT

## Why Ni is more local than Fe?



S. Hershfield http://www.phys.ufl.edu/fermisurface

Nickel is almost half-metallic: majority-spin FS almost coincides with the boundaries of the Brillouin band

But the difference for minority spin is even more dramatic

Occupations for majority (minority) electrons 5 means full occupation

Fe: 4.6 (2.34) Ni: 4.82 (4.15)

## Why Ni is more local than Fe II

#### Friedel oscillations originating from FS are much weaker in nickel

PHYSICAL REVIEW B, VOLUME 64, 174402

Ab initio calculations of exchange interactions, spin-wave stiffness constants, and Curie temperatures of Fe, Co, and Ni

M. Pajda, J. Kudrnovský, J. I. Turek, J. V. Drchal, and P. Bruno TABLE I. Effective Heisenberg exchange parameters  $J_{0j}$  for ferromagnetic Fe, Co, and Ni for the first 10 shells. Quantities  $\mathbf{R}_{0j}$  and  $N_s$  denote, respectively, shell coordinates in units of corresponding lattice constants and the number of equivalent sites in the shell.

	Fe (bcc)			Co (fcc)			Ni (fcc)	
$\mathbf{R}_{0j}$	$N_s$	$J_{0j}$ (mRy)	$\mathbf{R}_{0j}$	$N_s$	$J_{0j}$ (mRy)	$\mathbf{R}_{0j}$	$N_s$	$J_{0j}$ (mRy)
$(\frac{1}{2},\frac{1}{2},\frac{1}{2})$	8	1.432	$(\frac{1}{2}\frac{1}{2}0)$	12	1.085	$(\frac{1}{2}\frac{1}{2}0)$	12	0.206
(100)	6	0.815	(100)	6	0.110	(100)	6	0.006
(110)	12	-0.016	$(1\frac{1}{2}\frac{1}{2})$	24	0.116	$(1\frac{1}{2}\frac{1}{2})$	24	0.026
$(\frac{3}{2},\frac{1}{2},\frac{1}{2})$	24	-0.126	(110)	12	-0.090	(110)	12	0.012
(111)	8	-0.146	$(\frac{3}{2}\frac{1}{2}0)$	24	0.026	$(\frac{3}{2}\frac{1}{2}0)$	24	0.003
(200)	6	0.062	(111)	8	0.043	(111)	8	-0.003
$(\frac{3}{2}, \frac{3}{2}, \frac{1}{2})$	24	0.001	$(\frac{3}{2}1\frac{1}{2})$	48	-0.024	$(\frac{3}{2}1\frac{1}{2})$	48	0.007
(210)	24	0.015	(200)	6	0.012	(200)	6	-0.001
(211)	24	-0.032	$(\frac{3}{2}\frac{3}{2}0)$	12	0.026	$(\frac{3}{2}\frac{3}{2}0)$	12	-0.011
$\left(\frac{3}{2}\frac{3}{2}\frac{3}{2}\right)$	8	0.187	$(2\frac{1}{2}\frac{1}{2})$	24	0.006	$(2\frac{1}{2}\frac{1}{2})$	24	0.001

As a result:

Magnons are much softer in Fe than in Ni (Curie temp. Higher but magnon frequencies lower)

$$D_{ex} ext{ (meV Å}^2) ext{ } T_C^{ex} ext{ (K)}$$
Fe 280, a 330 b 1044-1045
Co 580, c, a 510 b 1388-1398 c 555, d 422 a 624-631

The softer magnons the stronger nonlocal e-m intercation

## Exchange and Functionals

# Magnetic force theorem

$$\Omega^{d} = \Omega^{d}_{sp} - \Omega^{d}_{dc} 
\Omega^{d}_{sp} = -Tr \left\{ \ln \left[ \Sigma - G_{0}^{-1} \right] \right\} 
\Omega^{d}_{dc} = Tr \Sigma G - \Phi$$

$$G^{-1} = G_0^{-1} - \Sigma$$

$$\Sigma = \frac{\delta \Phi}{\delta G}$$
.

$$\delta\Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta\Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta \Omega_{dc} = TrG\delta \Sigma$$

$$\delta\Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln \left[ \Sigma - G_0^{-1} \right]$$

## LDA+DMFT

(Lichtenstein & MIK 1997, 1998, 1999; Anisimov et al 1997)

Density functional

Density  $\rho(\mathbf{r})$ 

Potential  $V_{xc}(\mathbf{r})$ 

$$E_{tot} = E_{sp} - E_{dc}$$

$$E_{sp} = \sum_{\lambda < \lambda_E} \varepsilon_{\lambda}$$

$$E_{dc} = E_H + \int \rho V_{xc} d\mathbf{r} - E_{xc}$$

Temperature:

in the Fermi function

$$LDA++$$

Baym-Kadanoff functional

Green-Function  $G(\mathbf{r}, \mathbf{r}', E)$ 

Self-energy  $\Sigma_i(E)$ 

$$\Omega = \Omega_{sp} - \Omega_{dc}$$

$$\Omega_{sp} = -Tr \ln[-G^{-1}]$$

$$\Omega_{dc} = Tr\Sigma G - \Phi_{LW}$$

Matsubara frequencies: real-T

for collective excitations

## Exchange interactions from DMFT

#### Heisenberg exchange:

# $H = -\sum_{ij} J_{ij} S_i S_j$ $\delta \Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta \varphi_i$

#### Magnetic torque:

$$\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$$

**Exchange interactions:** 

$$\mathbf{V}_i = 2Tr_{\omega L}\left[\mathbf{\Sigma}_i^s imes \mathbf{G}_{ii}^s
ight]$$

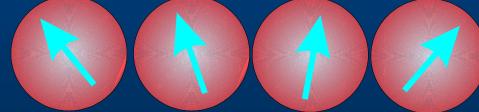
$$J_{ij} = -Tr_{\omega L} \left( \Sigma_i^s G_{ij}^{\uparrow} \Sigma_j^s G_{ji}^{\downarrow} \right)$$

$$\Sigma_i^s = \frac{1}{2} \left( \Sigma_i^{\uparrow} - \Sigma_i^{\downarrow} \right)$$

#### Spin wave spectrum:

$$\omega_{\mathbf{q}} = \frac{4}{M} \sum_{j} J_{0j} \left( 1 - \cos \mathbf{q} \mathbf{R}_{j} \right) \equiv \frac{4}{M} [J(0) - J(\mathbf{q})]$$

Non-collinear magnetism



MIK & Lichtenstein Phys. Rev. B 61, 8906 (2000)

## Alternative view

First- and secondorder smallness in theta angle!

$$\delta H = \sum_{ij} \operatorname{Tr}_{L\sigma} \left[ t_{ij} c_i^+ \left( U_i^+ U_j - 1 \right) c_j \right] = \delta_1 H + \delta_2 H$$

$$\delta_{1}H = \sin^{2}\frac{\theta}{2}\sum_{k} \operatorname{Tr}_{L\sigma}\left[\left(t\left(\mathbf{k}+\mathbf{q}\right)-t\left(\mathbf{k}\right)\right)c_{\mathbf{k}}^{+}c_{\mathbf{k}}\right]$$

$$\delta_{2}H = \frac{1}{2}\sin\theta\sum_{ij}\operatorname{Tr}_{L}\left[t_{ij}c_{i\downarrow}^{+}c_{j\uparrow}\right]$$

$$\times\left(\exp\left(i\mathbf{q}\mathbf{R}_{i}\right)-\exp\left(i\mathbf{q}\mathbf{R}_{j}\right)\right).$$

Total energy corrections by diagram technique neglecting vertex corrections → our exchanges

$$\omega_{\mathbf{q}} = D_{\alpha\beta}q_{\alpha}q_{\beta}, \quad \mathbf{q} \to 0 \quad D_{\alpha\beta} = -\frac{2}{M} \mathrm{Tr}_{\omega L} \sum_{\mathbf{k}} \left( \Sigma^{s} \frac{\partial G^{\uparrow}(\mathbf{k})}{\partial k_{\alpha}} \Sigma^{s} \frac{\partial G^{\downarrow}(\mathbf{k})}{\partial k_{\beta}} \right)$$

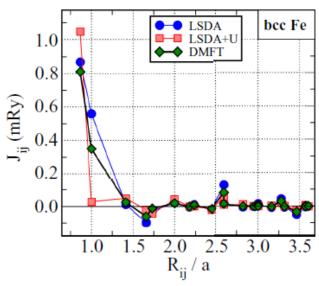
Exact within DMFT (local self-energy!)

# Applications

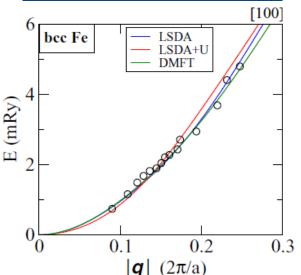
PHYSICAL REVIEW B 91, 125133 (2015)

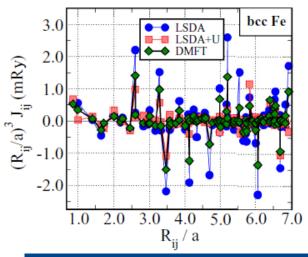
Exchange parameters of strongly correlated materials: Extraction from spin-polarized density functional theory plus dynamical mean-field theory

Y. O. Kvashnin. O. Grånäs. 1,2 I. Di Marco, M. I. Katsnelson, 3,4 A. I. Lichtenstein. 4,5 and O. Eriksson O. Eriksson



For Fe (and Ni) quite small difference between DFT and DMFT

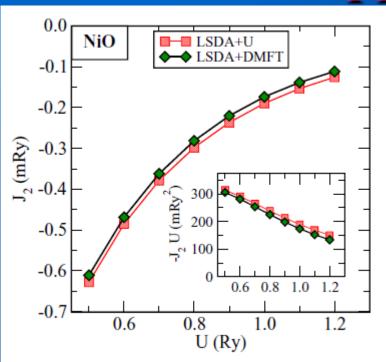




Nontrivial: electronic structure is very different!

Error cancellation?!

## Applications II

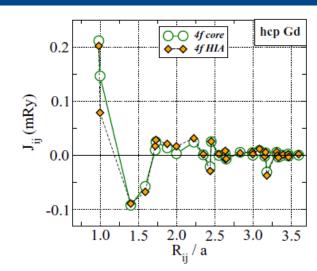


Computational setup	$J_1$	$J_2$
LSDA	0.04	-1.58
LSDA + DMFT	-0.003	-0.48
LSDA + U	-0.002	-0.50
LSDA + $U(U = 8 \text{ eV})$ (Ref. [42])	0.004/0.0	-0.53
Exp. 1 (Ref. [41])	-0.051	-0.637
Exp. 2 (Ref. [49])	0.051	-0.67

Does not follow a naive formula  $t^2/U$ Difference between Mott and charge transfer insulator

NiO: not too big difference between DMFT and LDA + U

Gd: also, DFT works quite good



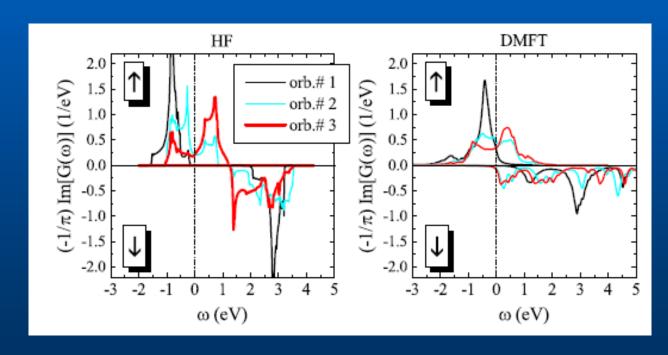
## Applications III

PHYSICAL REVIEW B 92, 144407 (2015)

Mechanisms and origins of half-metallic ferromagnetism in CrO<sub>2</sub>

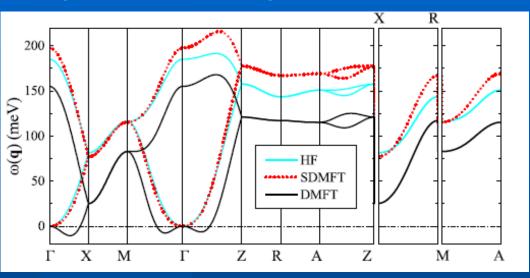
I. V. Solovyev, 1,2,\* I. V. Kashin, 2 and V. V. Mazurenko<sup>2</sup>

Half-metallic FM
DMFT shows
non-quasiparticle
states in the gap
MIK et al, RMP 80,
315 (2008)



### Applications IV

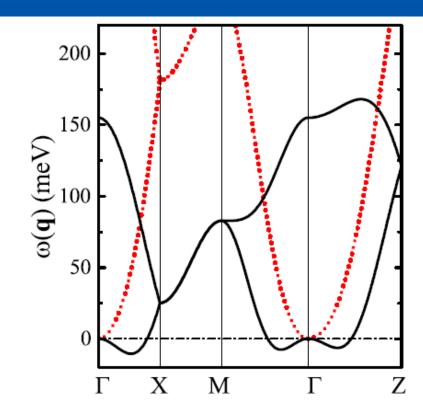
#### Important consequences from DMFT contributions to exchange



Without magnetic polarization of oxygen FM state is unstable within DMFT (but not in simpler approaches)

FIG. 9. (Color online) Results of calculations of the spin-wave dispersion with the DMFT parameters obtained for the isolated  $t_{2g}$  band (solid line) and after taking into account the additional FM contribution  $\Delta J_2 = 17.81$  meV, arising from magnetic polarization of the oxygen band and direct exchange interactions in the  $t_{2g}$  band (dotted line). Notations of the high-symmetry points of the BZ are taken from [55].

Direct exchange also plays an important role



## Dzialoshinskii-Moriya interactions

MIK, Kvashnin, Mazurenko & Lichtenstein, PRB 82, 100403 (2010)

LDA+U

$$\hat{H} = \hat{H}_t + \hat{H}_u$$

$$= \sum_{12} c_1^+ t_{12} c_2 + \frac{1}{2} \sum_{1234} c_1^+ c_2^+ U_{1234} c_3 c_4$$

DM interactions (weak FM, etc.)

$$H_{DM} = \sum_{ij} \vec{D}_{ij} [\vec{e}_i \times \vec{e}_j]$$

#### Small rotations

$$\hat{R}_i = e^{i\delta\vec{\varphi}_i\vec{J}}$$

$$\hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

## Dzialoshinskii-Moriya interactions II

# Starting from collinear configuration

$$\delta \hat{H}_t = \sum_{ij} c_i^+ (\delta \hat{R}_i^+ \hat{t}_{ij} + \hat{t}_{ij} \delta \hat{R}_j) c_j$$

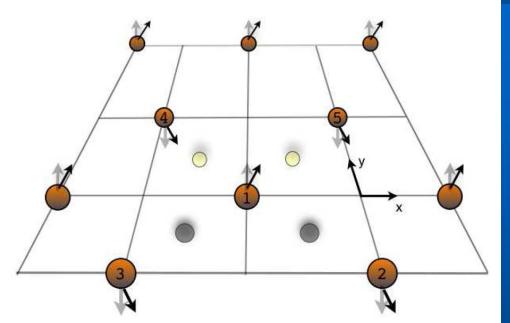
$$= -i \sum_{ij} c_i^+ (\delta \vec{\varphi}_i \hat{\vec{J}} \hat{t}_{ij} - \hat{t}_{ij} \hat{\vec{J}} \delta \vec{\varphi}_j) c_j$$

$$= -\frac{i}{2} \sum_{ij} c_i^+ (\delta \vec{\varphi}_i - \delta \vec{\varphi}_j) (\hat{\vec{J}} \hat{t}_{ij} + \hat{t}_{ij} \hat{\vec{J}}) c_j$$

$$\vec{D}_{ij} = -\frac{i}{2} Tr_{m,\sigma} \langle c_i^+ [\hat{\vec{J}}, \hat{t}_{ij}]_+ c_j \rangle = -\frac{i}{2} Tr_{m,\sigma} N_{ji} [\hat{\vec{J}}, \hat{t}_{ij}]_+$$

$$N_{ji} = \langle c_i^+ c_j \rangle = -\frac{1}{\pi} \int_{-\infty}^{E_f} Im G_{ji}(E) dE$$

# Applications to La<sub>2</sub>CuO<sub>4</sub>



Canting angle 0.005 Exper. 0.003

TABLE II: Different contributions to Dzyaloshinskii-Moriya vector (in meV).

$\vec{R}_{1j}$	$ec{ec{D}_{1j}^{spin}}$	$ec{D}_{1j}^{orb}$
(1,2)	(-0.005; -0.006; 0.0)	(-0.07; -0.03; 0.0)
(1,3)	(-0.005; 0.006; 0.0)	(-0.07;0.03; 0.0)
(1,4)	(-0.005; -0.006; 0.0)	(-0.07; -0.03; 0.0)
(1,5)	(-0.005; 0.006; 0.0)	(-0.07;0.03;0.0)

# FeBO<sub>3</sub>

#### LETTERS

PUBLISHED ONLINE: 9 FEBRUARY 2014 | DOI: 10.1038/NPHYS2859

physics

# Measuring the Dzyaloshinskii-Moriya interaction in a weak ferromagnet

V. E. Dmitrienko<sup>1</sup>, E. N. Ovchinnikova<sup>2</sup>, S. P. Collins<sup>3\*</sup>, G. Nisbet<sup>3</sup>, G. Beutier<sup>4</sup>, Y. O. Kvashnin<sup>5</sup>, V. V. Mazurenko<sup>6</sup>, A. I. Lichtenstein<sup>7</sup> and M. I. Katsnelson<sup>6,8</sup>

A novel exper.
technique to
measure DM vector
and not only canting
angle (resonant
X-ray scattering)

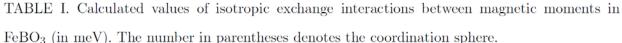
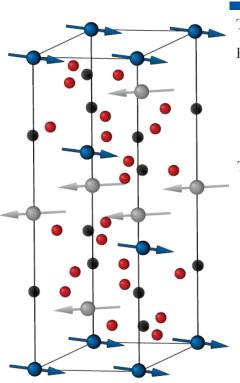


TABLE III. Parameters of Dzyaloshinskii-Moriya interaction (in meV) calculated by using Eq. (6).

Bond $m-n$	$\mathbf{R}_{mn}$	$\mathbf{D}_{mn} \; (\mathrm{meV})$
0-1	(1.0;0.0;-0.904)	(-0.25; 0.0; -0.24)
0-2	$(-0.5 ; -\sqrt{3}/2 ; -0.904)$	$(0.12 \; ; \; 0.22 \; ; \; -0.24)$
0-3	$(-0.5 ; \sqrt{3}/2 ; -0.904)$	(0.12; -0.22; -0.24)
0-4	(-1.0; 0.0; 0.904)	(-0.25; 0.0 ; -0.24)
0-5	$(0.5; -\sqrt{3}/2; 0.904)$	(0.12; -0.22; -0.24)
0-6	$(0.5; \sqrt{3}/2; 0.904)$	(0.12; 0.22; -0.24)

Agrees well with exper.

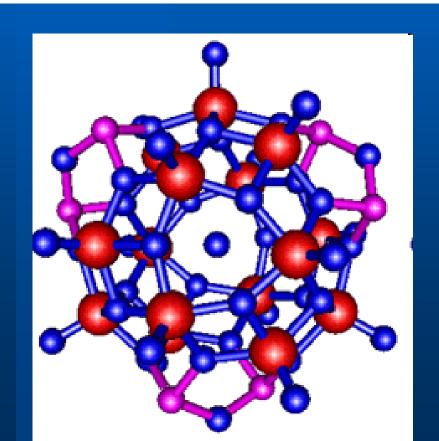


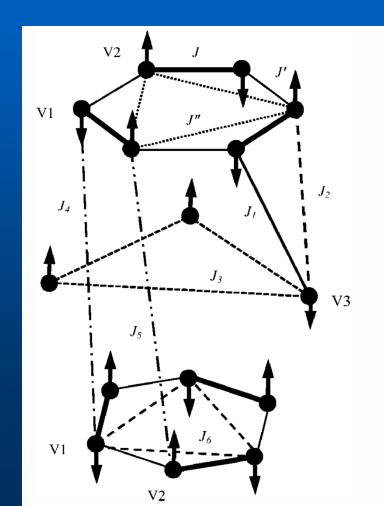
# Molecular magnets

Example: V<sub>15</sub>

AFM ground state S = 1/2

 $V_{15}(K_6[V_{15}As_6O_{42}(H_2O)] \cdot 8H_2O)$ 





## LDA+U calculations

PHYSICAL REVIEW B 70, 054417 (2004)

#### Electronic structure and exchange interactions in $V_{15}$ magnetic molecules: LDA+U results

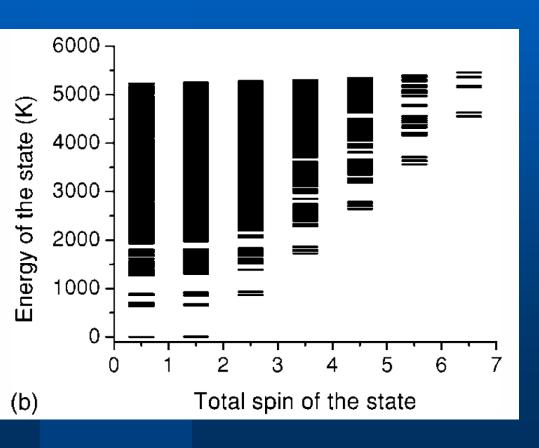
D. W. Boukhvalov, 1,2 V. V. Dobrovitski, M. I. Katsnelson, 2,4 A. I. Lichtenstein, B. N. Harmon, and P. Kögerler

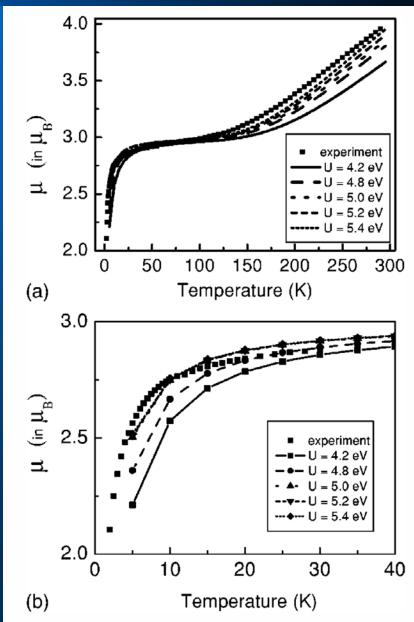
TABLE II. The exchange parameters (in Kelvin), electronic gap, and the magnetic moments of V ions for different magnetic structures of  $V_{15}$ . The calculations have been made for U=4 eV, J=0.8 eV.

parameter	AFM1	AFM2	FM
J	-910	-905	-942
J'	-45	-46	-53
J''	-136	-139	-156
$J_1$	-219	-247	-255
$J_2$	-134	-128	-132
$J_3$	-5	-5	-6
$J_4$	-13	-12	-15
$J_5$	-3	-3	-3
$J_6$	-3	-3	-3
gap	1.08	1.02	1.16
$\mu_{V1}$	-0.94	-0.93	-0.99
$\mu_{V2}$	+0.91	+0.92	-0.97
$\mu_{V3}$	-1.00	+0.97	-1.00

## LDA+U calculations II

# Exact diagonalization for Heisenberg model





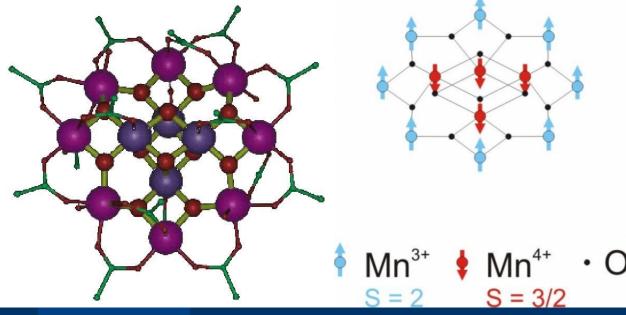
# Mn<sub>12</sub>: full calculations

PHYSICAL REVIEW B 00, 004400 (2014)

#### First-principles modeling of magnetic excitations in Mn<sub>12</sub>

V. V. Mazurenko, Y. O. Kvashnin, Fengping Jin, H. A. De Raedt, A. I. Lichtenstein, and M. I. Katsnelson,

#### Motivation



The prototype molecular magnet

Dimension of Hilbert space: (2×2+1)8(2×3/2+1)4=108

A real challenge!

 $[Mn_{12}O_{12}(CH_3COO)_{16}(H_2O)_4] \cdot 2CH_3COOH \cdot 4H_2O$ 

# Mn<sub>12</sub>: full calculations II

Inelastic netron scattering data: cannot be explained without strong DM interactions (MIK, Dobrovistki & Harmon, PRB 1999)

Eight-spin model:  $S = \frac{1}{2}$  dimers from S=2 and S=3/2 Dimensionality of Hilbert space decreases to  $10^4$  Cannot be justified quantitatively!

### Full LDA+U calculations plus Lanczos ED

$$\hat{H} = \sum_{ij} J_{ij} \hat{\vec{S}}_i \hat{\vec{S}}_j + \sum_{i\mu\nu} \hat{S}_i^{\mu} A_i^{\mu\nu} \hat{S}_i^{\nu} + \sum_{ij} \vec{D}_{ij} [\hat{\vec{S}}_i \times \hat{\vec{S}}_j]$$

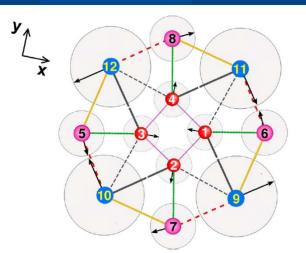


TABLE I. Intramolecular isotropic exchange interaction parameters (in meV) calculated by using the LDA + U approach. Positive sign corresponds to the antiferromagnetic coupling.

$\overline{\text{Bond }(i,j)}$	1–6	1–11	1–9	6–9	7–9	1–4	1–3
$J_{ij}$ (this work) $J_{ij}$ (Ref. [4]) $J_{ij}$ (Ref. [26])	4.8	1.37	1.37		-0.5		

# Mn<sub>12</sub>: full calculations III

TABLE II. Intramolecular anisotropic exchange interaction parameters calculated by using the LDA + U approach.  $\vec{R}_{ij}$  is a radius vector connecting ith and jth atoms (in units of a = 17.31 Å).

Bond $(i, j)$	$ec{R}_{ij}$	$\vec{D}_{ij}$ (meV)
2–7	(0.03; -0.16; 0.0)	(-0.008; -0.013; -0.002)
4–8	(-0.03; 0.16; 0.0)	(0.008; 0.013; -0.002)
1–6	(0.16; 0.03; 0.0)	(-0.013; 0.008; -0.002)
3–5	(-0.16; -0.03; 0.0)	(0.013; -0.008; -0.002)
1-11	(0.06; 0.18; 0.07)	(-0.020; 0.03; -0.055)
3-10	(-0.06; -0.18; 0.07)	(0.020; -0.03; -0.055)
2–9	(0.18; -0.06; -0.07)	(-0.03; -0.020; -0.055)
4-12	(-0.18; 0.06; -0.07)	(0.03; 0.020; -0.055)
1–9	(0.11; -0.16; 0.04)	(0.020; 0.014; 0.03)
3-12	(-0.11; 0.16; 0.04)	(-0.020; -0.014; 0.03)
2-10	(-0.16; -0.11; -0.04)	(-0.014; 0.020; 0.03)
4–11	(0.16; 0.11; -0.04)	(0.014; -0.020; 0.03)
6–9	(-0.04; -0.18; 0.04)	(-0.006; -0.004; -0.012)
5-12	(0.04; 0.18; 0.04)	(0.006; 0.004; -0.012)
7–10	(-0.18; 0.04; -0.04)	(0.004; -0.006; -0.012)
8-11	(0.18; -0.04; -0.04)	(-0.004; 0.006; -0.012)
7–9	(0.15; 0.1; -0.07)	(0.020; -0.004; 0.012)
8-12	(-0.15; -0.1; -0.07)	(-0.020; 0.004; 0.012)
6–11	(-0.1; 0.15; 0.07)	(-0.004; -0.020; 0.012)
5–10	(0.1; -0.15; 0.07)	(0.004; 0.020; 0.012)
4–1	(-0.10; 0.06; 0.11)	(-0.014; 0.005; -0.013)
1–2	(-0.06; -0.10; 0.11)	(-0.005; -0.014; -0.013)
3-4	(0.07; 0.1; 0.11)	(0.005; 0.014; -0.013)
2–3	(-0.10; 0.07; -0.11)	(0.014; -0.005; -0.013)
1–3	(-0.16; -0.03; 0.0)	(-0.006; 0.030; 0)
2–4	(-0.04; 0.17; 0.0)	(-0.030; -0.006; 0)

#### Plus anisotropy tensors...

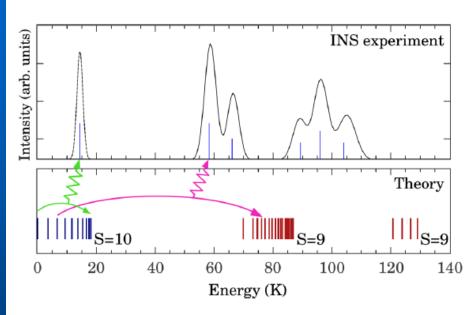


FIG. 2. (Color online) Schematic comparison of the theoretical spectrum obtained by diagonalizing Eq. (1) and INS spectrum taken from Ref. [12] (Figs. 6 and 8 therein). The arrows denote the intraand interband transitions that correspond to the excitations observed in the INS experiment.

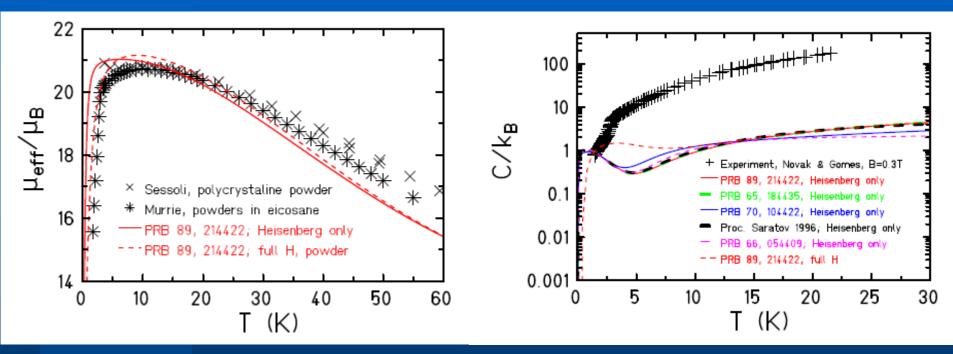
# No fitting parameters at all – not so bad!

### Mn<sub>12</sub>: full calculations IV

PHYSICAL REVIEW B 92, 064424 (2015)

Thermodynamic observables of Mn<sub>12</sub>-acetate calculated for the full spin Hamiltonian

Oliver Hanebaum and Jürgen Schnack\*



Also, thermodynamic quantities can be calculated

## Spin and orbital contributions

Magnetic interactions in strongly correlated systems: Spin and orbital contributions

Spin and orbital exchange interactions from Dynamical Mean Field Theory

A. Secchi <sup>a,\*</sup>, A.I. Lichtenstein <sup>b</sup>, M.I. Katsnelson <sup>a</sup>

A. Secchi a,\*, A.I. Lichtenstein b, M.I. Katsnelson a

Annals of Physics 360 (2015) 61–97

Journal of Magnetism and Magnetic Materials 400 (2016) 112-116

$$\hat{H}_{T}^{\phi} = \sum_{i_{1}} \sum_{i_{2}} \hat{\psi}_{i_{1}}^{\dagger} \cdot e^{-i\delta\varphi_{i_{1}} \cdot S_{i_{1}}} \cdot T_{i_{2}}^{i_{1}} \cdot e^{i\delta\varphi_{i_{2}} \cdot S_{i_{2}}} \cdot \hat{\psi}^{i_{2}}$$
Rotation operator involves
both spin and orbital rotation

both spin and orbital rotations

#### No smallness of SOC is assumed

Calculate the change of energy at small rotations, map to the classical spin orbital Hamiltonian

$$H\left[\boldsymbol{e}_{i}\right] \equiv \sum_{i}\boldsymbol{e}_{i}\cdot\boldsymbol{\mathcal{B}}_{i} + \frac{1}{2}\sum_{i,i'}\sum_{\alpha,\alpha'}e_{i,\alpha}e_{i',\alpha'}\mathcal{H}_{ii'}^{\alpha\alpha'}$$
 
$$\mathcal{H}_{ij}^{\alpha\beta} \equiv \delta^{\alpha\beta}\mathcal{J}_{ij}^{\alpha} + \sum_{\gamma}\left(\varepsilon^{\alpha\beta\gamma}\mathcal{D}_{ij}^{\gamma} + \left|\varepsilon^{\alpha\beta\gamma}\right|\mathcal{C}_{ij}^{\gamma}\right)$$

## Spin and orbital contributions II

$$\mathcal{J}_{oo'} \equiv \mathcal{J}_{oo'}^{\text{spin-spin}} + \mathcal{J}_{oo'}^{\text{orb-orb}} + \mathcal{J}_{oo'}^{\text{spin-orb}}$$

Decomposition of exchange parameters and similar for other interactions

#### Hopping can be excluded using the Dyson equations

$$\begin{split} (\omega - \mathrm{i} \mu) G(\mathrm{i} \omega) + \mathrm{i} T \cdot G(\mathrm{i} \omega) &= 1 - \Sigma(\mathrm{i} \omega) \cdot G(\mathrm{i} \omega), \\ (\omega - \mathrm{i} \mu) G(\mathrm{i} \omega) + \mathrm{i} G(\mathrm{i} \omega) \cdot T &= 1 - G(\mathrm{i} \omega) \cdot \Sigma(\mathrm{i} \omega). \end{split}$$

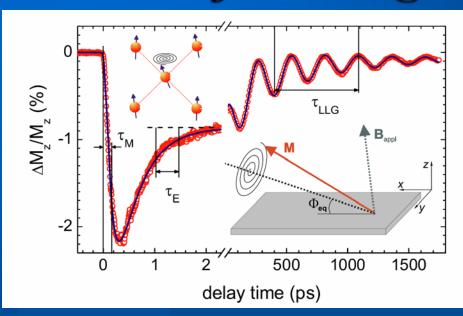
#### E.g., for DM interactions

$$\begin{split} \left(\mathcal{D}_{oo'}^{\chi}\right)^{\mathrm{spin}} &= \frac{\mathrm{i}}{2} \ \mathrm{Tr}_{m,\sigma} \ \mathrm{Tr}_{\omega} \bigg[ s_{o\chi} \cdot \left(G_{o'}^{o} \cdot \Sigma_{o'}^{o'} - \Sigma_{o'}^{o} \cdot G_{o'}^{o'}\right) \\ &- s_{o\chi} \cdot \left(G_{o'}^{o'} \cdot \Sigma_{o'}^{o} - \Sigma_{o'}^{o'} \cdot G_{o'}^{o}\right) \bigg], \end{split}$$

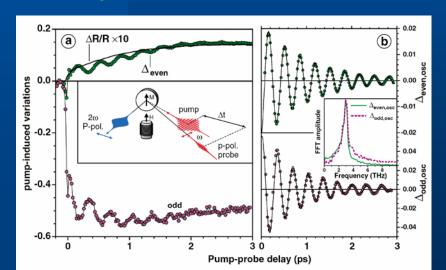
$$\begin{split} \left(\mathcal{D}_{oo'}^{\chi}\right)^{\mathrm{orb}} &= \frac{\mathrm{i}}{2} \, \mathrm{Tr}_{m,\sigma} \, \, \mathrm{Tr}_{\omega} \bigg[ l_{o\chi} \cdot \left(G_{o'}^{o} \cdot \Sigma_{o'}^{o'} - \Sigma_{o'}^{o} \cdot G_{o'}^{o'}\right) \\ &- l_{o'\chi} \cdot \left(G_{o'}^{o'} \cdot \Sigma_{o'}^{o} - \Sigma_{o'}^{o'} \cdot G_{o'}^{o}\right) \bigg], \end{split}$$

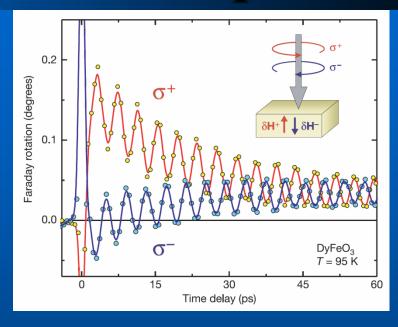
and similar for exchanges. Important for actinides, RE, and 3d systems with unquenched orbital moments (e.g., CoO)

# Ultrafast magnetism: Examples



#### Nickel Koopmans et al PRL 2005





Orthoferrites Kimel et al Nature 2005

Gadolinium Melnikov et al PRL 2003

## Ultrafast magnetism: a theory

Non-equilibrium magnetic interactions in strongly correlated systems

Annals of Physics 333 (2013) 221–271

A. Secchi<sup>a,\*</sup>, S. Brener<sup>b</sup>, A.I. Lichtenstein<sup>b</sup>, M.I. Katsnelson<sup>a</sup>

$$\hat{H}(t) \equiv \hat{H}_T(t) + \hat{H}_V^{\hat{H}_T(t)} \equiv \sum_{i_a \lambda_a} \sum_{i_b \lambda_b} T_{i_a \lambda_a, i_b \lambda_b}(t) \sum_{\sigma} \hat{\phi}^{\dagger}_{i_a \lambda_a \sigma} \hat{\phi}_{i_b \lambda_b \sigma}$$

$$\hat{H}_{V} \equiv \frac{1}{2} \sum_{i} \sum_{\lambda_{1} \lambda_{2} \lambda_{3} \lambda_{4}} \sum_{\sigma \sigma'} V_{\lambda_{1} \lambda_{2} \lambda_{3} \lambda_{4}} \hat{\phi}^{\dagger}_{i \lambda_{1} \sigma} \hat{\phi}^{\dagger}_{i \lambda_{2} \sigma'} \hat{\phi}_{i \lambda_{3} \sigma'} \hat{\phi}_{i \lambda_{4} \sigma}$$

Consider dynamics of Baym-Kadanoff-Keldysh countour

Im(
$$\zeta$$
) =  $-\tau$ 

$$t_0 \qquad \gamma_+$$

$$\gamma_- \qquad \text{Re}(\zeta) = t$$

Path integral over Grassmann variables

$$\mathcal{Z} = \int \mathcal{D}\left[\bar{\phi}, \phi\right] \mathrm{e}^{\mathrm{i}S\left[\bar{\phi}, \phi\right]}$$

### Ultrafast magnetism: a theory II

#### Introduce rotations

$$\bar{\phi}_{a\pm}(t) = \bar{\psi}_{a\pm}(t) \cdot R_{a\pm}^{\dagger}(t), \qquad \phi_{a\pm}(t) = R_{a\pm}(t) \cdot \psi_{a\pm}(t)$$

$$\bar{\phi}_{av}(\tau) = \bar{\psi}_{av}(\tau) \cdot R_{av}^{\dagger}(\tau), \qquad \phi_{av}(\tau) = R_{av}(\tau) \cdot \psi_{av}(\tau)$$

$$R_{i}(z) \equiv \begin{pmatrix} \sqrt{1 - |\xi_{i}(z)|^{2}} & \xi_{i}^{*}(z) \\ -\xi_{i}(z) & \sqrt{1 - |\xi_{i}(z)|^{2}} \end{pmatrix}$$

Expand effective actions up to the second order in "Holstein-Primakoff" fields  $\xi$ ,  $\xi$ \*

$$\xi_i(z) \equiv -e^{i\varphi_i(z)} \sin \left[\theta_i(z)/2\right]$$

$$\mathcal{Z} = \int \mathcal{D}\left[\bar{\psi}, \psi\right] e^{iS\left[\bar{\psi}, \psi\right]} \int \mathcal{D}\left[\theta, \varphi\right] e^{iS'\left[\bar{\psi}, \psi, \xi^*(\theta, \varphi), \xi(\theta, \varphi)\right]}$$

Integrate over Grassman variables neglecting vertex corrections

## Ultrafast magnetism: a theory III

General expression of nonlocal in time exchange interactions in terms of Beym-Kadanoff-Keldysh Green's functions. E.g., time-dependent stiffness constant:

$$\begin{split} D_{\alpha\beta}(t) &\equiv -\frac{\mathrm{i}}{2M} \sum_{\eta} \eta \sum_{\sigma} \int_{t_0}^{\infty} \mathrm{d}t' \operatorname{sign}(t'-t) \, \overline{\Sigma}^{S}(t) \, \overline{\Sigma}^{S}(t') \\ &\times \frac{1}{n} \sum_{\mathbf{k}} \frac{\partial G_{\mathbf{k}}^{\eta\sigma}(t',t)}{\partial k_{\alpha}} \, \frac{\partial G_{\mathbf{k}}^{\bar{\eta}\bar{\sigma}}(t,t')}{\partial k_{\beta}}. \end{split}$$

Additional terms (twist exchange) of the structure  $\propto (\sigma_1 \times \sigma_2) \cdot \sigma_3$ 

(at equilibrium forbidden by time-reversal symmetry)

The first step is done, a lot of things to do

## Beyond the talk

Finite-temperature effects

Ab initio spin dynamics for real systems

Intermediate level: TB spin dynamics

And many, many specific applications to real materials

## Collaboration

#### Recent:

- A. Lichtenstein and S. Brener (Hamburg)
- A. Secchi and A. Rudenko (Nijmegen)
- V. Mazurenko (Ekaterinburg)
- Ya. Kvashnin and O. Eriksson (Uppsala)

and many other people involved in development of the formalism and calculations for specific materials in 1987-2013, esp. V. Antropov (Ames) and D. Boukhvalov (Seoul)

Thank you for your attention